

April 8, 2022

The Secretary
BSE Limited
Pheeroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai - 400 001

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No- 'C' Block, G Block
Bandra-Kurla Complex, Bandra (East)
Mumbai 400 051

Scrip Code: 531595

Scrip Code: CGCL

Sub: Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir/ Madam,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed compliance certificate for the period commencing from April 1, 2021, to March 31, 2022 issued by M/s. Sandeep P Parekh & Co., Practicing Company Secretaries.

We request you to kindly take the above on record.

Thanking you,

Yours faithfully,
for Capri Global Capital Limited

Yashesh Bhatt

Yashesh Bhatt
Company Secretary & Compliance Officer
Membership No. 20491



Encl.: a/a

Capri Global Capital Limited

(CIN: L65921MH1994PLC173469)

Regd. Off: 502, Tower A, Peninsula Business Park, Lower Parel, Mumbai – 400013.

☎ +9122 4088 8100/4354 8200 ✉ contact@capriglobal.in 🌐 www.capriglobal.in



Sandeep P Parekh & Co

Company Secretaries

CERTIFICATE

(As per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015)

We have examined the relevant registers, papers and documents relating to CAPRI GLOBAL CAPITAL LIMITED maintained by Link Intime India Private Limited, Registrar and Share Transfer Agents (RTA) pertaining to the transfer of equity shares of the Company for the period 1st April, 2021 to 31st March, 2022 for submission of certificate required under the Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information and explanation furnished to us, we hereby certify that:

- a. There were no transfers lodged during the year ended 31st March, 2022 (in respect of the period 1st April, 2021 to 31st March, 2022).
- b. We further certify that there was no sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies lodged during the aforesaid period.

However, we would like to mention that during the reporting period mentioned above, 15000 shares were transferred to Capri Global Capital Limited- Unclaimed Suspense Account, as reported by the RTA

For **Sandeep P Parekh & Co**
Company Secretaries

CS Sandeep Parekh
Proprietor

FCS No: 7118
CP No: 7693

Place: Navi Mumbai
Date: 08/04/2022
UDIN: F007118D000047521