

General information about company	
Scrip code	511147
NSE Symbol	
MSEI Symbol	
ISIN	INE549D01012
Name of the entity	WALL STREET FINANCE LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Yearly
Date of Report	31-03-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I																				
Annexure I to be submitted by listed entity on quarterly basis																				
I. Composition of Board of Directors																				
Disclosure of notes on composition of board of directors explanatory																				
Whether the listed entity has a Regular Chairperson														Yes						
Whether Chairperson is related to MD or CEO														No						
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Dilip Kumar Modi	ABNPM2471R	00029062	Non-Executive - Non Independent Director	Chairperson related to Promoter		12-03-1974	NA		04-04-2016			04-02-2020	2	0	0	0		
2	Ms	Asha Himanshu Shah	AQFPS6943K	08137628	Non-Executive - Independent Director	Not Applicable		03-03-1960	NA		19-05-2018				23	1	1	0	0	
3	Mr	Brij Gopal Daga	ACMPD8166C	00004858	Non-Executive - Independent Director	Not Applicable		05-01-1943	Yes	31-08-2018	03-09-2009	24-09-2019		127	2	2	5	2		
4	Mr	Madhukar Raghunath Sardar	AADPS3984N	03067522	Non-Executive - Independent Director	Not Applicable		06-06-1941	Yes	31-08-2018	06-05-2010	24-09-2019		119	1	1	2	1		

I. Composition of Board of Directors																				
Disclosure of notes on composition of board of directors explanatory																				
Whether the listed entity has a Regular Chairperson																				
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	Ramesh Venkataraman	ABSPV6296L	03545080	Non-Executive - Non Independent Director	Not Applicable		21-07-1966	NA		12-10-2016	14-05-2018			1	0	1	0		
6	Mr	Sudip Bandyopadhyay	AEEP0645J	00007382	Non-Executive - Independent Director	Not Applicable		06-04-1964	NA		20-08-2011	24-09-2019		116	2	2	7	1		
7	Mr	Narasimhan Srikrishna	AFJPS1787G	07175251	Executive Director	Not Applicable		05-06-1971	NA		22-12-2017				1	0	1	0		

Audit Committee Details								
Whether the Audit Committee has a Regular Chairperson							Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	00004858	Brij Gopal Daga	Non-Executive - Independent Director	Chairperson	09-10-2009		Textual Information(1)	
2	03067522	Madhukar Raghunath Sardar	Non-Executive - Independent Director	Member	06-05-2010		Textual Information(2)	
3	00007382	Sudip Bandyopadhyay	Non-Executive - Independent Director	Member	20-08-2011		Textual Information(3)	

Sr Text Block	
Textual Information(1)	<p>Mr. Brij Gopal Daga was appointed as the Chairman of the Audit Committee on 9th October, 2009.</p> <p>In compliance of Section 149 of the Companies Act, 2013, the approval of Members was obtained for the appointment of Mr. Brij Gopal Daga as an Independent Director on the Board of the Company for a period of five consecutive years ('First terms') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.</p>

Textual Information(2)	<p>Mr. Madhukar Raghunath Sardar was appointed as Member of the Audit Committee on 6th May, 2010.</p> <p>In compliance of Section 149 of the Companies Act, 2013 the approval of Members was obtained for the appointment of Mr. Madhukar Raghunath Sardar as an Independent Director on the Board of the Company for a period of five consecutive years ('First Term') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.</p>
Textual Information(3)	<p>Mr. Sudip Bandyopadhyay was appointed as Member of the Audit Committee on 20th August, 2011.</p> <p>In compliance of Section 149 of the Companies Act, 2013 the approval of Members was obtained for the appointment of Mr. Sudip Bandyopadhyay as an Independent Director on the Board of the Company for a period of five consecutive years ('First Term') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.</p>

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00007382	Sudip Bandyopadhyay	Non-Executive - Independent Director	Chairperson	29-07-2013		Textual Information(1)
2	00004858	Brij Gopal Daga	Non-Executive - Independent Director	Member	29-07-2013		Textual Information(2)
3	00029062	Dilip Kumar Modi	Non-Executive - Non Independent Director	Member	19-05-2016	04-02-2020	
4	03545080	Ramesh Venkataraman	Non-Executive - Non Independent Director	Member	04-02-2020		

Sr Text Block	
Textual Information(1)	<p>Mr. Sudip Bandyopadhyay Chairperson of the Committee was appointed as Member of the Nomination and Remuneration Committee on 29th July, 2013 and nominated as the Chairman with effect from 31st July, 2015.</p> <p>In compliance of Section 149 of the Companies Act, 2013 the approval of Members was obtained for the appointment of Mr. Sudip Bandyopadhyay as an Independent Director on the Board of the Company for a period of five consecutive years ('First Term') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.</p>
Textual Information(2)	<p>Mr. Brij Gopal Daga was appointed as Member of the Nomination and Remuneration Committee on 29th July, 2013.</p> <p>In compliance of Section 149 of the Companies Act, 2013 the approval of Members was obtained for the appointment of Mr. Brij Gopal Daga as an Independent Director on the Board of the Company for a period of five consecutive years ('First Term') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.</p>

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03067522	Madhukar Raghunath Sardar	Non-Executive - Independent Director	Chairperson	20-08-2011		Textual Information(1)
2	00007382	Sudip Bandyopadhyay	Non-Executive - Independent Director	Member	20-08-2011		Textual Information(2)
3	07175251	Narasimhan Srikrishna	Executive Director	Member	01-11-2018		

Sr Text Block

Textual Information(1)	<p>Mr. Madhukar Raghunath Sardar was appointed as Member of the Stakeholders Relationship Committee on 20th August, 2011 and nominated as Chairperson with effect from 24th July, 2019.</p> <p>In compliance of Section 149 of the Companies Act, 2013 the approval of Members was obtained for the appointment of Mr. Madhukar Raghunath Sardar as an Independent Director on the Board of the Company for a period of five consecutive years ('First Term') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.</p>
Textual Information(2)	<p>Mr. Sudip Bandyopadhyay, Chairperson of the Committee was appointed as Member of the Stakeholders Relationship Committee on 20th August, 2011.</p> <p>In compliance of Section 149 of the Companies Act, 2013 the approval of Members was obtained for the appointment of Mr. Sudip Bandyopadhyay as an Independent Director on the Board of the Company for a period of five consecutive years ('First Term') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.</p>

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00004858	Brij Gopal Daga	Non-Executive - Independent Director	Chairperson	30-07-2014		Textual Information(1)
2	00007382	Sudip Bandyopadhyay	Non-Executive - Independent Director	Member	30-07-2014		Textual Information(2)
3	00029062	Dilip Kumar Modi	Non-Executive - Non Independent Director	Member	28-02-2018	04-02-2020	
4	08137628	Asha Himanshu Shah	Non-Executive - Independent Director	Member	04-02-2020		

Sr Text Block

	Mr. Brij Gopal Daga, Chairperson of the Committee was appointed as Member of the Corporate Social Responsibility Committee on 30th July, 2014.
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Textual Information(1)	In compliance of Section 149 of the Companies Act, 2013 the approval of Members was obtained for the appointment of Mr. Brij Gopal Daga as an Independent Director on the Board of the Company for a period of five consecutive years ('First Term') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.
Textual Information(2)	Mr. Sudip Bandyopadhyay was appointed as Member of the Corporate Social Responsibility Committee on 30th July, 2014. In compliance of Section 149 of the Companies Act, 2013 the approval of Members was obtained for the appointment of Mr. Sudip Bandyopadhyay as an Independent Director on the Board of the Company for a period of five consecutive years ('First Term') at the Annual General Meeting held on 29th September, 2014 and his re-appointment for a period of another five consecutive years ('Second Term') was approved by the Members at the last Annual General Meeting held on 24th September, 2019.

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	14-11-2019				Yes	6	4
2		04-02-2020	81		Yes	7	4

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reson for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	14-11-2019				Yes	3	3
2	Audit Committee	04-02-2020	81			Yes	3	3

Annexure 1								
V. Related Party Transactions								

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Chaitali Desai
2	Designation	Company Secretary

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.wsfx.in
2	Terms and conditions of appointment of independent directors	Yes		www.wsfx.in
3	Composition of various committees of board of directors	Yes		www.wsfx.in

4	Code of conduct of board of directors and senior management personnel	Yes		www.wsfx.in
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.wsfx.in
6	Criteria of making payments to non-executive directors	Yes		www.wsfx.in
7	Policy on dealing with related party transactions	Yes		www.wsfx.in
8	Policy for determining 'material' subsidiaries	Yes		www.wsfx.in
9	Details of familiarization programmes imparted to independent directors	Yes		www.wsfx.in

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.wsfx.in
11	email address for grievance redressal and other relevant details	Yes		www.wsfx.in
12	Financial results	Yes		www.wsfx.in
13	Shareholding pattern	Yes		www.wsfx.in
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		www.wsfx.in
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.wsfx.in
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		www.wsfx.in
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.wsfx.in
21	Materiality Policy as per Regulation 30	Yes		www.wsfx.in
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.wsfx.in

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	

2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for	23(2), (3)	Yes	

	all related party transactions			
27	Approval for material related party transactions	23(4)	NA	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	Yes	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	NA	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II

1	Name of signatory	Chaitali Desai
2	Designation	Company Secretary

Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes

Any other information to be provided	
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Annexure II		
1	Name of signatory	Chaitali Desai
2	Designation	Company Secretary

Signatory Details	
Name of signatory	Chaitali Desai
Designation of person	Company Secretary
Place	Mumbai
Date	07-04-2020