

Form A

Application for Pre-clearance of trading in securities of the Company by the Directors and designated persons (including immediate relatives) pursuant to regulation 9(1) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

To,
The Compliance Officer

With reference to above, I wish to inform you that I want to purchase / sale _____ equity shares of the Company from, details of the same are as mentioned below:

1	Name of the Directors / Designated Persons / immediate relatives / others	:	
2	Designation	:	
3	DIN / Employee Code	:	
4	PAN	:	
5	Address	:	
6	Number and value of securities held in the Company as on date	:	
7	Folio / DP Id. No. and Client Id No.	:	
8	Mode in which securities are held (i.e. physical or demat)	:	
9	The proposal is for ---- (please tick proper option)	:	(a) Acquisition in open market (b) Acquisition in off market (c) Sale in the open market (d) Sale in off market
10	Proposed date of the deal	:	
11	Estimated no. of securities proposed to be acquired / sold / subscribed	:	
12	Price at which the transaction is proposed	:	
13	Proposed mode of dealing in securities (physical or demat)	:	
14	Name and address of the Broker (if the deal is to be in the open market) and his SEBI Regn. No.	:	

I/ We declare that I/ we have complied with the requirements of Company's "Code of Conduct to Regulate, Monitor and report trading in securities by designated persons and immediate relatives of designated persons and code of practice and procedures for fair disclosures of unpublished price sensitive information" based on the Securities and Exchange Board of India (SEBI) (Prohibition of Insider Trading) Regulations, 2015 including amendments thereof. I am executing an Undertaking as required and enclose herewith.

You are requested kindly to give your permission to trade in the equity shares/ other securities of the Company as requested above.

Signature: _____
(Name : _____)

Encl. Duly executed Undertaking.

Undertaking

To,
The Compliance Officer

With reference to my application dated _____ for pre-clearance of trade for purchase/ Sale of _____ equity shares of the Company, I confirm, declare and undertake as follow :

- (a) That I do not have any access or has not received "Price-sensitive information" up to the time of signing the Undertaking.
- (b) That in case I have access to or receive "Price-sensitive information" after the signing of the Undertaking but before the execution of the transaction, I shall inform you the change in my position and I shall completely refrain from trading in the securities of the Company till such information becomes public.
- (c) That I have not contravened the provisions of the code of conduct to regulate, monitor and report trading in securities by designated persons and immediate relatives of designated persons and code of practice and procedures for fair disclosures of unpublished price sensitive information pursuant to the requirements of the Securities and Exchange Board of India (SEBI) (Prohibition of Insider Trading) Regulations, 2015 including amendments thereof.
- (d) I have made full and true disclosure in the matter.
- (e) I hereby declare that I shall execute my order in respect of securities of the Company within one week after the approval of pre-clearance is given. If the order is not executed within one week after the approval is given, I undertake to obtain pre-clearance for the transaction again.
- (f) That I hereby confirm that I abide by the provisions of clause (5) the Code of conduct with regard to time norms for execution and holding of securities.
- (g) I hereby solemnly declare that I have made a full and true disclosure in this regard to the best of my knowledge and belief.

Signature: _____

(Name : _____)

PRE-CLEARANCE ORDER

We are glad to inform you that your request for dealing in _____ (numbers) Equity Shares of the Company comprised in your application dated _____ is approved.

Please note that the said transaction must be completed within one week hereof i.e. or before _____. If you fail to complete the transaction within the stipulated period, you will be obliged to make an application for the pre-clearance of the said transaction afresh.

For Wall Street Finance Limited

Compliance Officer

Date :

Place :