NSDL DP Id : IN302927 **CDSL** DP Id : 12033300

JM Financial

JM FINANCIAL SERVICES LIMITED

NON-INDIVIDUAL CLIENT REGISTRATION FORM

STRICTLY CONFIDENTIAL

FOR OFFICE USE

Sr. No.

Client Name: _____

Product: (Please Tick) ☐ Trading ☐ NSDL Demat Account ☐ CDSL Demat Account ☐ Mutual Fund Unit

Branch Name / Authorised Person Name: _____

Branch Code / Authorised Person Code: _____ Group: _____

Relationship Manager Name: _____

Relationship Manager Employee Code: _____

Business Acquirer Name: _____

Business Acquirer Employee Code: _____

Branch Head: _____ IFA Code: _____

Family Code & Name / PAN (If Existing): _____
(provide client code of family head only)

Walk in (Please Tick): ☐ Yes ☐ No

FOR HEAD OFFICE USE ONLY

Charges & Cheque Details

☐ Broking + Demat Tariff
☐ Applicable for DP Tariff & Broking Waiver
☐ Applicable for Broking & DP Tariff Waiver
☐ Waiver for DP Tariff & Broking Both

Bank Name _____ Cheque No. _____

Account No. _____ IFSC Code _____

Cheque Date _____ Cheque Amount _____

Approver Name _____ ☐ Joint Bank Account

Trading Account No. _____

Demat Account No. _____

Filing Reference No. _____

Note: Total 12 Signatures in this Form

☐ Digital CN
☐ Currency
☐ F & O
☐ SLBS
☐ Commodity Derivatives
☐ IBT
☐ SMS for Brokerage A/c
☐ Authorised Person

Dear Investor!!

Subject: Prevention of Money Laundering

Money laundering is a process of making dirty money clean. Money is moved around the financial system again and again in such manner that its origin gets hidden.

It involves complex chain of activities whereby huge amount of money generated from illegitimate activities viz. selling of narcotic drugs, extortion, corruption, illicit dealing in weapons, human trafficking, etc. is put through a series of process so that it comes out at the other end as clean and legal money. Terrorist organizations encourage money laundering to support their illegal acts.

It is important to note due to increased vigilance in the wake of threats emanating from increasing terrorism, any failure on our part to discharge the duties cast on us under the applicable laws or we becoming an instrumental or a part of the chain, even if unknowingly or ignorantly, may invite the trouble.

In order to fight against the money laundering and terrorist financing, the Prevention of Money Laundering Act (PMLA) was brought into force w.e.f. July 1, 2005 in India. Guidelines were also issued in the context of the recommendations made by the Financial Action Task Force on anti-money laundering standards, Compliance with these standards has become imperative for international financial relations.

PMLA is applicable to every intermediary registered with Securities & Exchange Board of India (SEBI), which includes a stock broker, depository participant, portfolio manager and any other intermediary associated with securities market.

As per the provisions of PMLA, the intermediaries are required to comply with Know Your Client (KYC) norms, conduct ongoing client due diligence to ensure that the activity being conducted in any account is consistent with the intermediary's knowledge of the client, its business and risk profile.

In light of the above, you are requested to provide the information or documents evidencing source of funds, income tax returns, bank records, demat holding, etc. at the time of registration as a client with us or subsequently whenever asked for during the course of your dealings with us.

Website : www.jmfinancialservices.in

Compliance Officer Name : Mr. Amar Agrawal : Phone No. (91 22) 4505 7165; Email id : amar.agrawal@jmfl.com

CEO Name : Mr. Nirav Gandhi / Mr. DimpleKumar Shah: Phone No. (91 22) 6704 3131; Email Id : nirav.gandhi@jmfl.com

For any grievance/dispute please contact JM Financial Services Limited at the given address or email at ig.broking@jmfl.com (for Broking related grievance/dispute) and ig.dp@jmfl.com (for demat related grievance/dispute) or call at Phone No. (91 22) 5023 7000

In case you are not satisfied with the response, please contact the concerned Exchange(s) and/or Depository(ies) :

NSE - email id : ignse@nse.co.in ; Phone no. 18002660058

BSE - email id : is@bseindia.com ; Phone no. (91 22) 2272 8097 / 8517

MSEI - email id : investorcomplaints@msei.in ; Phone no. (91 22) 6112 9028

NCDEX - email id : askus@ncdex.com / ig@ncdex.com ; Phone No. (91 22) 6640 6608 / 6640 6789

MCX - email id : grievance@mcxindia.com ; Phone No. (91 22) 6731 8888 / 6649 4070

CDSL - email id : compliants@cdslindia.com ; Phone No. (022) 2302 3333 /1800 22 5533

NSDL - email id : relations@nsdl.co.in ; Phone No. (022) 2499 4200 /1800 222 990

SEBI Scores - website : https://scores.sebi.gov.in ; Phone no. 18002667575 / 1800227575

INDEX OF DOCUMENTS

Sr.No.	Name of the Document	Brief Significance of the Document	Page No.
	MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES		
1.	Account Opening Form	A. KYC Form - Document captures the basic information about the constituent and an instruction/check list	3-12
		B. Document captures the additional information about the constituent relevant to Trading/ Demat account and an instruction/check list.	
2.	Tariff Sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on exchange(s).	13
3.	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	SEPARATE CLIENT HANDBOOK
4.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
5.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, Authorised Person and client for trading one exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	
6.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
7.	Rights & Obligations of Beneficial Owner & Depository Participant	Document stating the Rights & Obligations of Beneficial Owner and Depository Participant.	
	FOR COMMODITY DERIVATIVES		
8.	Uniform Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the commodities market.	
9.	Rights and Obligations of Members, Authorized Persons and Clients	Document stating the Rights & Obligations of member, Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	
10.	Do's and Don'ts for the Investors	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
11.	CDSL-SMART Terms & Conditions	Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL	
	VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER		
12.	Terms And Conditions Between Research Analyst And The Client		19 - 21
13.	Execution of 'Demat Debit and Pledge Instruction' (DDPI)		22 - 23
14.	Running Account Authorization		24
15.	Authority Letter		24

INSTRUCTIONS/CHECK LIST FOR OPENING BROKING ACCOUNT

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement or Copy of Annual Accounts	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

* In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For Non-individuals:
   a. Form need to be initialized by all the authorized signatories.
   b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.
5. All details to be filled in English and in BLOCK letters in Black / Blue Ink Only.
6. Email ID & Mobile number is very important for account related passwords and transaction details.
7. Corrections in the KYC form should be counter signed.
8. Strike off whichever option, in the account opening form, is not applicable.
9. All Originals to be produced for physical verification.
10. If any proof of identity or address is in a foreign language, then translation into English is required.
11. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
12. Account will be opened as per Name appearing on Income Tax website
13. Tick (P) wherever applicable.
14. Fields marked with '*' are mandatory fields.
15. Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available in form.
16. KYC number of applicant is mandatory for updation of KYC details.
17. Please fill the date in DD-MM-YYYY format.
18. Please read section wise detailed guidelines / instructions at the end.
19 For particular section update, please tick (P) in the box available before the section number and strike off the sections not required to be updated.

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line),Electricity bill or Gas bill -Not more than 3 months old.
3. Bank Account Statement/Passbook -Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled CommercialCommercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Bank /Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly or Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.,to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/fi ling tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. Incase of Non-Individuals, additional documents tobe obtained from non-individuals, over & above the POI & POA, as mentioned below:

Type of entity	Documentary requirements
Corporate	• Copy of the balance sheets for the last 2 fi nancial years (to be submitted every year) • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certifi ed by the company secretary/Whole time director/ MD(to be submitted every year) • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations • Photograph, POI, POA, PAN of individual promoters holding control – either directly or indirectly • Copies of the Memorandum and Articles of Association and certifi cate of incorporation • Copy of the Board Resolution for investment in securities market • Authorised signatories list with specimen signatures
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered partnership firms only) • Copy of partnership deed • Authorised signatories list with specimen signatures • Photograph, POI, POA, PAN of Partners
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered trust only). Copy of Trust deed • List of trustees certified by managing trustees/CA • Photograph, POI, POA, PAN of Trustees
HUF	• PAN of HUF • Deed of declaration of HUF/List of coparceners • Bank pass-book/bank statement in the name of HUF • Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	• Proof of Existence/Constitution document • Resolution of the managing body & Power of Attorney granted to transact business on its behalf • Authorized signatories list with specimen signatures
Banks/Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 fi nancial years • Authorized signatories list with specimen signatures
Foreign Institutional Investors (FII)	• Copy of SEBI registration certificate • Authorized signatories list with specimen signatures
Army/Government Bodies	• Self-certifi cation on letterhead • Authorized signatories list with specimen signatures
Registered Society	• Copy of Registration Certificate under Societies Registration Act • List of Managing Committee members • Committee resolution for persons authorised to act as authorised signatories with specimen signatures • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

JM FINANCIAL SERVICES LIMITED

CIN : U67120MH1998PLC115415
Registered Office: 7th Floor, Cnergy, Appasaheb Marathe Marg, Prabhadevi, Mumbai 400 025
Tel: No.: (91 22) 6630 3030.

NON-INDIVIDUAL CLIENT REGISTRATION FORM

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Legal Entity/other than Individual

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update
CKYC Number _____ (Mandatory for CKYC update request)

☐ 1. ENTITY DETAILS*

1. Name* (same as ID proof) _____

2. Date of Incorporation/ Formation* ____/____/_____ 2a. Place of Incorporation/ Formation* _____

2b.Country of Incorporation/ Formation* _____ 2c.TIN or Equivalent Issuing Country _____

3. Registration No. (e.g. CIN) _____ 3a. Date of commencement of business ____/____/_____

3b TIN / GST Registration Number _____

4. Status/Entity Type* Please tick(✓) ☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Partnership

☐ Not Categorized ☐ Liquidator ☐ FPI Category I ☐ FPI Category II ☐ FPI Category III

☐ Body of Individuals ☐ Non-Government Organisation ☐ Trust / Charities / NGOs ☐ Government Body

☐ Artificial Juridical Person ☐ Defence Establishment ☐ Limited Liability Partnership

☐ Central/State Government Department or Agency ☐ FI ☐ FII ☐ HUF ☐ AOP ☐ Bank

☐ Section 8 Companies (Companies Act 2013) ☐ Society ☐ Artificial Liability Partnership

☐ International Organization or Agency Foreign Embassy or Consular Office etc. ☐ Others Please specify _____

5. PAN (MANDATORY) _____ Please enclose a duly attested copy of your PAN Card. ☐ Form 60 fumished

☐ 2. PROOF OF IDENTITY (PoI)*

☐ Officially valid document(s) in respect of person authorised to transact

☐ Certificate of Incorporation / Formation _____ ☐ Registration Certificate Regn. Certificate No. _____

☐ Memorandum and Articles of Association ☐ Partnership Deed ☐ Trust Deed

☐ Resolution of Board / Managing Committee ☐ Power of attorney granted to its manager, officers or employees to transact on its behalf

☐ Activity Proof - 1 (For Sole Proprietorship Only) ☐ Activity Proof - 2 (For Sole Proprietorship Only)

3. ADDRESS*

3.1 Registered Office Address / Place of Business*

Proof of Address * (attested copy of any one POA to be submitted—#Not more than 3 months old)

☐ Certificate of Incorporation / Formation ☐ Registered Certificate ☐ Other Document _____

☐ Latest Telephone Bill# (Landline only) ☐ Latest Electricity Bill# ☐ Latest Bank Account Statement#

☐ Registered Lease/ Sale Agreement of Office Premises **Validity/Expiry Date of POA** (Expiry Date) ____ ____ ____

☐ Any other proof of address document (as listed overleaf) _____

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____

Country* _____ ISO 3166 Country Code* _____

3.2 Correspondence/Local Address in India (If different from above)*

Proof of Address * (attested copy of any one POA to be submitted—#Not more than 3 months old)

☐ Certificate of Incorporation / Formation ☐ Registered Certificate ☐ Other Document _____

☐ Latest Telephone Bill# (Landline only) ☐ Latest Electricity Bill# ☐ Latest Bank Account Statement#

☐ Registered Lease/ Sale Agreement of Office Premises **Validity/Expiry Date of POA** (Expiry Date) _____ _____ _____

☐ Any other proof of address document (as listed overleaf) _____

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____

Country* _____ ISO 3166 Country Code* _____

4. CONTACT DETAILS (All communications will be sent to Mobile number / Email-ID provided" may be used) (Please refer instruction **D** at the end)

Tel. (Off) _____ FAX _____

Mobile _____ Email ID _____

Mobile _____ Email ID _____

5. ANNEXURES SUBMITTED

Number of Related Persons - ☐

6. REMARKS / ADDITIONAL INFORMATION

_____

_____

7. APPLICANT DECLARATION

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA/Central KYC Registry through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I hereby give my consent for downloading my CKYC record from Central KYC Records Registry (CERSAI Portal) to match the CKYC details provided by me at the time of Account opening and/or any modify the same in case of mismatch

AS 1/12

[Signature / Thumb Impression]

Signature/Thumb Impression of Applicant
Authorised Signatory

Place: _____ Date: _____

8. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ **Documents Received** ☐ **Attested Copy** ☐ **Certified Copies**

	KYC VERIFICATION CARRIED OUT BY			INSTITUTION DETAILS	
Identity Verification	☐ Done	Date			
Emp. Name				Name	JM Financial Services Limited
Emp. Code				Code	IN0221
Emp. Designation					
Emp. Branch					
Employee Signature					

JM FINANCIAL SERVICES LIMITED ★ Mumbai

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

JM FINANCIAL SERVICES LIMITED

CIN : U67120MH1998PLC115415
Registered Office: 7th Floor, Cnergy, Appasaheb Marathe Marg, Prabhadevi, Mumbai 400 025
Tel: No.: (91 22) 6630 3030.

JM Financial

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update ☐ Delete
CKYC Number _____ (Mandatory for CKYC update request)

1. DETAILS OF RELATED PERSON*

☐ Addition of Related Person ☐ Deletion of Related Person ☐ Update Related Person Details

CKYC Number of Related Person (if available*) _____ If CKYC number is available, only 'Related Person Type' & 'Name' is mandatory

Related Person Type* ☐ Director ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointment Official
☐ Proprietor ☐ Beneficiary ☐ Authorised Signatory ☐ Beneficiary Owner
☐ Power of Attorney Holder ☐ Other (Please specify)

DIN (Director Identification Number) _____ (Mandatory if Related Person Type is Director)

DPIN For (LLP Partner) _____ UID For Ohter _____

Designation _____

1.1 PERSONAL DETAILS

Name* (Same as ID proof) _____

Maiden Name _____

Father / Spouse Name _____

Mother Name _____

Date of Birth* _____

Gender ☐ M - Male ☐ F - Female ☐ T - Transgender

Nationality* ☐ N - India ☐ Others (ISO 3166 Country Code_____)

PAN* _____ Please enclose a duly attested copy of your PAN Card ☐ Form 60 fumished

1.2 PROOF OF IDENTITY AND CORRESPONDENCE/ LOCAL ADDRESS *

I. (Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (any one of the following OVDs)

☐ A - Passport Number _____ (Expiry Date) _____
☐ B - Voter ID Card _____
☐ C - Driving Licence _____ (Expiry Date) _____
☐ D - NREGA Job Card _____
☐ E - National Population Register Letter _____
☐ F - Proof of Possession of Aadhaar XXXXXXXX _____
II ☐ E - KYC Authentication XXXXXXXX _____
III ☐ Offline Verification of Aadhaar XXXXXXXX _____

☐ PHOTO



Address

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____

Country* _____ ISO 3166 Country Code* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

☐ 1.3 PERMANENT RESIDENCE ADDRESS / OVERSEAS ADDRESS* (Mandatory for NRI Applicant)

☐ Same as above mentioned address (in such cases address details as below need not be provided)

I. (Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (any one of the following OVDs)

- ☐ A - Passport Number _____ (Expiry Date) _____
- ☐ B - Voter ID Card _____
- ☐ C - Driving Licence _____ (Expiry Date) _____
- ☐ D - NREGA Job Card _____
- ☐ E - National Population Register Letter _____
- ☐ F - Proof of Possession of Aadhaar XXXXXXXX _____

II ☐ E - KYC Authentication XXXXXXXX _____

III ☐ Offline Verification of Aadhaar XXXXXXXX _____

Address

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____ ISO 3166 Country Code* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

1.4. CONTACT DETAILS (All communications will be sent on provided Mobile no / Email-ID)

Tel. (Off) _____ Tel. (Res) _____ Mobile _____

Email ID _____

2. APPLICANT DECLARATION

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA/Central KYC Registry through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I hereby give my consent for downloading my CKYC record from Central KYC Records Registry (CERSAI Portal) to match the CKYC details provided by me at the time of Account opening and/or any modify the same in case of mismatch

RP 1/2

[Signature / Thumb Impression]

Signature/Thumb Impression of Applicant
Related Person

Place: _____ Date: _____

3. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline Verification ☐ Digital KYC Process ☐ Equivalent e-document

	KYC VERIFICATION CARRIED OUT BY	INSTITUTION DETAILS	
Date			
Emp. Name		Name	JM Financial Services Limited
Emp. Code		Code	IN0221
Emp. Designation			
Emp. Branch			
Employee Signature			

JM FINANCIAL SERVICES LIMITED ★ Mumbai

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

JM FINANCIAL SERVICES LIMITED

CIN : U67120MH1998PLC115415
Registered Office: 7th Floor, Cnergy, Appasaheb Marathe Marg, Prabhadevi, Mumbai 400 025
Tel: No.: (91 22) 6630 3030.

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update ☐ Delete
CKYC Number _____ (Mandatory for CKYC update request)

1. DETAILS OF RELATED PERSON*

☐ Addition of Related Person ☐ Deletion of Related Person ☐ Update Related Person Details

CKYC Number of Related Person (if available*) _____ If CKYC number is available, only 'Related Person Type' & 'Name' is mandatory

Related Person Type* ☐ Director ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointment Official
☐ Proprietor ☐ Beneficiary ☐ Authorised Signatory ☐ Beneficiary Owner
☐ Power of Attorney Holder ☐ Other (Please specify)

DIN (Director Identification Number) _____ (Mandatory if Related Person Type is Director)

DPIN For (LLP Partner) _____ UID For Ohter _____

Designation _____

1.1 PERSONAL DETAILS

Name* (Same as ID proof) _____

Maiden Name _____

Father / Spouse Name _____

Mother Name _____

Date of Birth* _____

Gender ☐ M - Male ☐ F - Female ☐ T - Transgender

Nationality* ☐ N - India ☐ Others (ISO 3166 Country Code_____)

PAN* _____ Please enclose a duly attested copy of your PAN Card ☐ Form 60 fumished

1.2 PROOF OF IDENTITY AND CORRESPONDENCE/ LOCAL ADDRESS *

I. (Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (any one of the following OVDs)

☐ A - Passport Number _____ (Expiry Date) _____

☐ B - Voter ID Card _____

☐ C - Driving Licence _____ (Expiry Date) _____

☐ D - NREGA Job Card _____

☐ E - National Population Register Letter _____

☐ F - Proof of Possession of Aadhaar XXXXXXXX _____

II ☐ E - KYC Authentication XXXXXXXX _____

III ☐ Offline Verification of Aadhaar XXXXXXXX _____

☐ PHOTO



Address

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____

Country* _____ ISO 3166 Country Code* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

☐ 1.3 PERMANENT RESIDENCE ADDRESS / OVERSEAS ADDRESS* (Mandatory for NRI Applicant)

☐ Same as above mentioned address (in such cases address details as below need not be provided)

I. (Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (any one of the following OVDs)

- ☐ A - Passport Number _____ (Expiry Date) _____
- ☐ B - Voter ID Card _____
- ☐ C - Driving Licence _____ (Expiry Date) _____
- ☐ D - NREGA Job Card _____
- ☐ E - National Population Register Letter _____
- ☐ F - Proof of Possession of Aadhaar XXXXXXXX _____

II ☐ E - KYC Authentication XXXXXXXX _____

III ☐ Offline Verification of Aadhaar XXXXXXXX _____

Address

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____ ISO 3166 Country Code* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

1.4. CONTACT DETAILS (All communications will be sent on provided Mobile no / Email-ID)

Tel. (Off) _____ Tel. (Res) _____ Mobile _____

Email ID _____

2. APPLICANT DECLARATION

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA/Central KYC Registry through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I hereby give my consent for downloading my CKYC record from Central KYC Records Registry (CERSAI Portal) to match the CKYC details provided by me at the time of Account opening and/or any modify the same in case of mismatch

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[Signature / Thumb Impression]

Signature/Thumb Impression of Applicant
Related Person

Place: _____ Date: _____

3. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline Verification ☐ Digital KYC Process ☐ Equivalent e-document

	KYC VERIFICATION CARRIED OUT BY	INSTITUTION DETAILS	
Date			
Emp. Name		Name	JM Financial Services Limited
Emp. Code		Code	IN0221
Emp. Designation			
Emp. Branch			
Employee Signature			

JM FINANCIAL SERVICES LIMITED ★ Mumbai

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

DEMAT AND TRADING ACCOUNT RELATED DETAILS

DP Internal Ref. No.: (To be filled by Depository Participant) Date : _____

Client ID :_____ ☐ CDSL DP ID: 12033300 ☐ NSDL DP ID: IN302927

(PLEASE TICK WHICHEVER IS APPLICABLE & DETAILS TO BE FILLED BY THE APPLICANT IN **BLOCK LETTERS IN ENGLISH**)

I / We request you to open a Demat /Trading Account in my / our name as per the following details:

Name of the Holders	UID(Please write last 4 digit of Aadhar)	PAN	GST Identification Number
①	XXXXXXXX		
UCC Code_____ Exchange Name_____ ID_____			
UCC Code_____ Exchange Name_____ ID_____			
UCC Code_____ Exchange Name_____ ID_____			
UCC Code_____ Exchange Name_____ ID_____			
②	XXXXXXXX		
③	XXXXXXXX		

*In case of Firm, HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of the natural persons, the name, PAN & GST Number of the HUF, Partnership Firm, Unregistered Trust, AOP etc., should be mentioned below:

Name	PAN	GST Identification Number

A. TYPE OF ACCOUNT

NSDL

☐ Body Corporate ☐ Bank ☐ Trust ☐ Mutual Fund ☐ CM ☐ FII
☐ Qualified Foreign Investor ☐ FI ☐ HUF ☐ Other (Please Specify)_____

CDSL

Status	Sub-Status
☐ Body Corporate ☐ Banks ☐ Trust ☐ Mutual Fund ☐ OCB ☐ FII ☐ CM ☐ FI ☐ Clearing House ☐ Other (Please Specify)_____	To be filled by the DP

B. IN CASE OF FIIS / OTHERS (AS MAY BE APPLICABLE)

RBI Approval Reference /Registration Number		RBI Approval Date	
SEBI Registration Number (for FIIs)		SEBI Registration Date	
Nationality	☐ Indian ☐ Others (specify)_____		

C. GROSS ANNUAL INCOME DETAILS AS ON THE DATE OF THIS DECLARATION: INCOME RANGE PER ANNUM (PLEASE SPECIFY)

Gross Annual Income*	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-20 Lacs ☐ 20-25 Lacs ☐ 25-50 Lacs ☐ 50 Lacs – 1 Cr ☐ >1Cr Net Worth in ₹_____ as on date_____ (*Net worth should not be older than 1 year)

D. STANDING INSTRUCTIONS

Account Statement *	☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly Mode of receiving Statement of Account ☐ Physical Form ☐ Electronic Form *(ensure that email ID is provided in* CKYC Form)	
Automatic Credit*	I / We instruct the DP to receive each and every credit automatically in my / our account	☐ Yes ☐ No
ECS Mandate *	I/We wish to receive dividend/interest directly in to my bank account as given below through ECS [ECS is mandatory for locations notified by SEBI from time to time]	☐ Yes ☐ No
* If not marked, the default option will be "Yes"		
Easi	To register for easi please visit CDSL website www.cdslindia.com Easi allows a BO to view his ISIN balance, transaction and value of the portfolio online.	☐ Yes ☐ No
POA	Account to be operated through Power of Attorney (POA)	☐ Yes ☐ No
DDPI	Account to be operated through Demat Debit and Pledge Instruction(DDPI)	☐ Yes ☐ No

SMS Alert Facility	Sole/First Holder	Second Holder	Third Holder
Mandatory if you are giving Power of Attorney/Demat Debit and Pledge Instruction((POA/DDPI). Ensure that the mobile number is provided in CKYC Form	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

I/We have read and understand the Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL provided under client hand book and agree to receive sms alert on the mobile number mentioned in the CKYC form.

I/We wish to receive the Consolidated Account Statement from Depository	☐ Yes ☐ No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	☐ Yes ☐ No
I / We would like to share the email ID with the RTA	☐ Yes ☐ No

I / We would like to receive the Annual Report (If not marked the default option would be Physical)	☐ Physical ☐ Electronic ☐ Both

Option for issuance of Delivery Instruction Slip (DIS) booklet alongwith account opening (to be filled by persons seeking to open depository account who have given Power of Attorney to operate the depository account to a Stock Broker / Portfolio Manager for executing delivery instruction for Settling stock exchange trades (please tick any one)

Option 1	☐ I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening of my / our Demat account though I / we have issued a Power of Attorney (POA) / registered for eDIS / executed PMS agreement in favour of / with _____(name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney holder -Clearing Member / by PMS manager/ for executing delivery instructions through eDIS
Option 2	☐ I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a POA/registered for eDIS / executed PMS agreement in favour of / with_____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder - Clearing Member / by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Basic Services Demat Account (BSDA) Confirmation (Note: If opted client should sign BSDA Tariff Sheet separately	☐ I/We wish to opt for BSDA ☐ I/We do not wish to opt for BSDA till my fruther instructions from my side

E. BANK ACCOUNT DETAILS (Default Bank will be updated in DP account as Dividend Bank details)

(tick✓for Default)	Bank 1 ☐	Bank 2 ☐
Bank Name & Branch Address		
Account No.		
Account Type	☐ Savings ☐ Current ☐ Others (please specify)	☐ Savings ☐ Current ☐ Others (please specify)
MICR Code* (9 digit No. on Cheque leaf)		
IFSC Code* (11 character)		

(I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.
➢ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

F. CLEARING MEMBER DETAILS (TO BE FILLED UP BY CLEARING MEMBERS ONLY)

1.	Name of Stock Exchange	
2.	Name of Clearing Corporation/ Clearing House	
3.	Clearing Member ID	
4.	SEBI Registration Number	
5.	Trade Name	
6.	CM BP ID **(to be filled up by Participant)**	
7.	Trading Member ID	

G. PEP

Please tick, if applicable, for any of your authorized signatories/ Promoters/Partners/Karta/Trustees/whole time directors:	☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

H. DEPOSITORY ACCOUNT DETAILS

(tick✓for Default)	DP 1 Details ☐	DP 2 Details ☐
Depository	☐ **NSDL** ☐ **CDSL**	☐ **NSDL** ☐ **CDSL**
DP Name	JM FINANCIAL SERVICES LIMITED	
BO Name		
DP ID	☐ IN302927 ☐ 12033300	
BO Beneficiary ID		

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Page with Signature

I. TRADING PREFERENCES Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchange(s)	Segment	Signature of Authorised Signatories.
NSE/BSE	Cash	
NSE/BSE	F&O /Derivative	
NSE/BSE	Currency Derivative	
NSE/BSE	Mutual Fund	
NSE/BSE	SLBS	
NSE/BSE	Debt	
MCX	Commodity Segment (including Commodity Options)	

Please sign here to select all the segments/exchanges

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If you do not wish to trade in any of segments / Mutual Fund, please mention here _____

J. INVESTMENT / TRADING EXPERIENCE AND BUSINESS DETAILS

Investment / Trading Experience	☐ No Prior Experience ☐ F&O/Derivatives _____ years ☐ Equity_____ years ☐ Commodities _____ years ☐ Other Investment Field _____years

K. EDUCATION & OTHER DETAILS

Source of Wealth	☐ Business Income ☐ Gift ☐ Ancestral Property ☐ Rental Income ☐ Others (Specify) _____
Nature of Business	☐ Agriculture ☐ Gems & Jewellery ☐ Money Lending ☐ Forex Dealer ☐ Real Estate ☐ Export-Import ☐ Manufacturing ☐ Service Industry ☐ Trading Firm ☐ Casino Owner ☐ Arms Manufacturer ☐ Pawn Broker ☐ Others (Specify) _____

L. OTHER DETAILS / EMAIL-SMS ALERT/CONTRACT NOTE PREFERENCE

Contract Note, Statement of Account, Retention Statements, Statement of Margin & Securities	☐ Electronic ☐ Physical ☐ Both (Email ID/Mobile No. mentioned in KRA will be used)	
E-Broking	Do you wish to avail Internet Trading / Wireless Technology	☐ Yes ☐ No
SMS /Email	Do you wish to receive trade confirmation SMS/Email directly from the exchanges	☐ SMS ☐ Email ☐ Both
Name of the Person whose Mobile no is provided		
Relationship with the Client (Tick wherever applicable)	☐ Authorised Signatory ☐ Director ☐ Partner ☐ Trustee ☐ Beneficiary Owner ☐ Karta ☐ Co-parcener/Member	
Name of the Person whose Email id is provided		
Relationship with the Client (Tick wherever applicable)	☐ Authorised Signatory ☐ Director ☐ Partner ☐ Trustee ☐ Beneficiary Owner ☐ Karta ☐ Co-parcener/Member	
Would like to receive Client Hand Book in	☐ Electronic ☐ Physical	

M. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS/ INTRODUCER DETAILS

Status of Introducer ☐ Existing Client ☐ Employee/Director ☐ AP ☐ Remiser ☐ Others (Specify) _____

I) Introducer Name: (Optional)			
Emp. Code / Client Code			
Address			
Mobile / Phone		Introducer's Signature:	I
Registration No. in case of AP			

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

ii) If client is dealing through the Authorized Person, provide the following details:					
Authorized Person Name					
Registration Number					
Registered office address					
Phone		Fax		Website	

iii) Whether dealing with any Other Stock Broker/ AP (If registered with multiple Stock Broker/AP, provide details) ☐ Yes ☐ No

Name of Stock Broker (If yes)		Name of AP	
Name of Exchange (If yes)			
Client Code (as given by other broker)			
Details of disputes/dues pending from/to such stock broker/AP			

N. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/FMC/Exchange(s)/Depository(ies) any other authority against the applicant /constituent or its Partners/Promoters/Whole time directors/authorised persons in charge of dealing in Securities:-

_____

_____ (Please write 'None' if no action is taken)

O. CONSENT FOR OPTION TRADING

Consent for trading in Commodity Options	☐ Yes ☐ No

P. HIGH VALUE TRANSACTIONS

High value transactions of Rs. 5 lakhs and above in a day on Exchange(s) Intended nature of the business relationship of carrying out high value sale, purchase and/or dealing in commodities transaction is:

☐ Investment of my/our own money and/or for the purpose of dealing in commodities derivatives market for my/our own interest.

☐ Other _____ (Please specify)

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Signature of Authorised Signatory(ies)

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

TARIFF SHEET

Charge Head ##	TARIFF STRUCTURE FOR DP SERVICES				
	Charge Scheme G ☐	Charge Scheme H-5 TXN 23 ☐	Charge Scheme I Discount ☐	Charge Scheme I ☐	Charge Scheme EBG_0 AMC_T 30 ☐
Account Maintenance Charges (AMC)	NIL @	NIL @	NIL @	NIL @	NIL @
Fixed On-Market Transaction Charges	₹ 450 p.a.	₹500 #	₹1000 Life Time	₹1999 Life Time	NIL
Sell – Outside JMFS DP (Off & On Mkt) Debits	₹ 15	₹23	₹10	NIL	₹ 30
Sell – JMFS DP (Off & On Mkt) Debits	NIL	₹23	₹10	NIL	₹ 30
Failed Instruction	₹ 10 per instance.	₹ 10 per instance.	₹ 10 per instance.	₹ 10 per instance.	₹ 10 per instance.
Late Charges	₹10 per Transaction	₹10 per Transaction	₹10 per Transaction	₹10 per Transaction	₹10 per Transaction
Dematerialisation and Rematerialisation Charges					
Courier Charges	₹ 100	₹100	₹100	₹100	₹100
Dematerialisation Per certificate	₹ 10 Minimum Rs. 50	₹ 10 Minimum Rs. 50	₹ 10 Minimum Rs. 50	₹ 10 Minimum Rs. 50	₹ 10 Minimum Rs. 50
Rematerialisation Per certificate	₹ 20 per certificate, minimum ₹ 50. (₹ 20 per 100 shares and part thereof)	₹ 20 per certificate, minimum ₹ 50. (₹ 20 per 100 shares and part thereof)	₹ 20 per certificate, minimum ₹ 50. (₹ 20 per 100 shares and part thereof)	₹ 20 per certificate, minimum ₹ 50. (₹ 20 per 100 shares and part thereof)	₹ 20 per certificate, minimum ₹ 50. (₹ 20 per 100 shares and part thereof)
Pledge Creation/Closure/Invocation	₹50 per transaction	₹50 per transaction	₹50 per transaction	₹50 per transaction	₹50 per transaction
Market Margin Pledge Creation/ Closure/Invocation	₹10	₹10	₹10	₹ 10	₹ 10
Lending/Borrowing	₹ 50	₹ 50	₹ 50	₹ 50	₹ 50
Non Disposal Undertaking (NDU) Charges	0.015% of the market value subject to a minimum of Rs 100 per Transaction	0.015% of the market value subject to a minimum of Rs 100 per Transaction	0.015% of the market value subject to a minimum of Rs 100 per Transaction	0.015% of the market value subject to a minimum of Rs 100 per Transaction	0.015% of the market value subject to a minimum of Rs 100 per Transaction

Notes:

1. All charges and service standards are subject to revision at the discretion of JM Financial Services Limited.
2. Any service not mentioned above will be charged separately as per the applicable rates from time to time.
3. Value of transactions will be computed in accordance with rates provided by respective depositories.
4. Account Maintenance Charges & Fixed On-Market Transaction Charges will be charged up front at the time of account opening.
5. Pay-in transaction will be considered received late if received after 4 pm on a working day prior to pay-in date. Such transaction will be accepted only at Client's Risk. Off market instructions will be processed on a best effort basis if received on the day of execution.
6. In case of delay in the payment of charges, demat account can be frozen for all operations till such time all dues are cleared.
7. Account statements will be provided as specified by the Depository/SEBI, accordingly its sent for the month in which there are transactions or annually/half yearly, as the case maybe. Additional Account statements will be charged Rs.20/- per statement.
8. This Tariff Structure will apply to only those Clients who have given a Power of Attorney/Demat Debit and Pledge Instruction in favour of JM Financial Services Ltd to transfer shares sold through JM Financial Services Ltd .
9. KRA/CERSAI Charges of Rs. 100/- ##
10. If the client does not tick any option or ticks multiple option, then Default Charge Scheme EBG_0 AMC_T 30 which is same as Basic Service Demat Account ("BSDA") Tariff will be applicable.
11. IdeAS is a facility for viewing of Transaction Statements, on NSDL's website. Subscription to IdeAS is Rs. 10/- per quarter.
12. DP transaction charges will be levied/added to brokerage charges.
13. For NSDL and CDSL Charges to Depository Participant, refer the links:
    https://nsdl.co.in/downloadables/charges/Fees_and_Charges_to_Depository_Participants.pdf
    https://www.cdslindia.com/downloads/DP/HowToBecomeaDP/CDSL-DP-Tariff-structure_Oct-2024.pdf

@ For Corporate Accounts Maintenance Charges levied by NSDL & CDSL will be recovered in addition to AMC specified above. Currently NSDL & CDSL charges Rs 500 per annum (financial year) computed on pro rata basis for Corporate Accounts.

Fixed Transaction charges for the 5 Years

##GST & other levies would be extra as applicable.

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Signature of Authorised Signatory(ies)

** Please note amendments in Tariff would be done once in FOUR QUARTERS. Kindly provide cheque as per the details of charges mentioned on page 24

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

BROKERAGE DETAILS

	Cash Segment		F&O Future		F&O Option		Currency Future		Currency Option		Mutual Fund	
Brokerage Table No												
	Min (Paise)	Max (%)	Min (Paise)	Max (%)	% on Premium	Min Per Lot (Rs.)	Min (Paise)	Max (%)	% on Premium	Min Per Lot (Rs.)	Min (Paise)	Max (%)
Trading 1st Leg					Nifty							
Trading 2nd Leg					Other Options							
One Side/Both Side												
Delivery Brokerage for all segment							Min(Paise)		Max(%)			

If DP Tariff Scheme opted is H-5 or I Discount then additional brokerage per scrip sold would be charged Rs. 15/- or Rs. 10/- respectively.

SLBS Brokerage	Max. 2.5% and Min 0.01ps of Trade Value + Lending/Borrowing Fees. Trade Value Defined = (Quantity Lent/Borrowed* Market Price) + Lending /Borrowing Fees.	Table No.	

COMMODITY BROKERAGE DETAILS

Brokerage Table No				
Brokerage Details	Future	One Side/Both Sides	Option	One Side/Both Sides
Daily Square up				
Settlement				
Minimum Brokerage				
Delivery Brokerage				

OTHER CHARGES

The following charges will be charged and collected from the Client on the Contract Note

a. SEBI Turnover fees, Exchange Transaction changes as applicable.

b. Statutory levies including but not limited to Securities Transaction Tax (STT), Commodity Transaction Tax (CTT), GST, Stamp duty, Education Cess, as applicable shall be levied as per the prevailing rates.

The following charges will be charged and collected from the Client in the Client Ledger or on the bill

c. Interest on delayed payment

d. Research report charges

e. Trading account opening documentation charges of ₹1000/-

f. Demat charges for pay-in/pay-out of shares from Pool/Margin Account (on actual basis)

g. Clearing charges (for F&O and Currency Derivatives segment of all Exchanges, if applicable)-Upto ₹50/- per crore on futures contract and upto ₹1000/- per crore on options contract (on premium)

h. JMFS will charge penalty for short delivery (other than internal close out charges) up to 0.5% on the value of scrip to the defaulting seller

I. Document handling charges-Upto ₹15/- per Contract note

j. Cheque received towards Funds Pay In gets bounced/returned Uncleared by the bank, charges would be levied @ 1% of amount of Cheque not cleared or Rs.500/- whichever is higher

k. SMS services (if opted) charges is ₹150 + GST per quarter

l. For scrips in Trade to Trade, delivery brokerage will be levied even for intraday trades.

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Signature of Authorised Signatory(ies)

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

CATEGORIZATION OF PARTICIPANTS IN COMMODITIES DERIVATIVE MARKET

CLIENT PROFILE ☐ COMMERCIAL PARTICIPANT ☐ NON COMMERCIAL PARTICIPANT

Pursuant to SEBI circular no. SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated January 04, 2019 on Disclosures by the Stock Exchange(s) for commodity derivatives on their website, I/we declare the following category for my/our trading in Commodities Derivatives Market.

Field Name Description : Please tick relevant boxes for your categorization against commodities in which you wish to trade.

FIELD NAME	FARMER PRODUCER ORGANIZATION/ FARMER	VALUE CHAIN PARTICIPANT (VCPs) / HEDGER	DOMESTIC FINANCIAL INSTITUTIONAL INVESTOR	FOREIGN PARTICIPANT	OTHERS*
ALUMINIUM	N.A.	☐	☐	☐	☐
BRASS	N.A.	☐	☐	☐	☐
CARDAMOM	☐	☐	☐	☐	☐
CASTORSEED	☐	☐	☐	☐	☐
COPPER	N.A.	☐	☐	☐	☐
COTTON	☐	☐	☐	☐	☐
CPO	☐	☐	☐	☐	☐
CRUDEOIL	N.A.	☐	☐	☐	☐
GOLD	N.A.	☐	☐	☐	☐
LEAD	N.A.	☐	☐	☐	☐
MENTHAOIL	☐	☐	☐	☐	☐
NATURALGAS	N.A.	☐	☐	☐	☐
NICKEL	N.A.	☐	☐	☐	☐
PEPPER	☐	☐	☐	☐	☐
RBDPMOLEIN	☐	☐	☐	☐	☐
SILVER	N.A.	☐	☐	☐	☐
ZINC	N.A.	☐	☐	☐	☐
BARLEYJPR	☐	☐	☐	☐	☐
CHANA	☐	☐	☐	☐	☐
COCUDAKL	☐	☐	☐	☐	☐
DHANIYA	☐	☐	☐	☐	☐
GUARGUM5	☐	☐	☐	☐	☐
GUARGSEED10	☐	☐	☐	☐	☐
JEERAUNJHA	☐	☐	☐	☐	☐
KAPAS	☐	☐	☐	☐	☐
MAIZE	☐	☐	☐	☐	☐
MOONG	☐	☐	☐	☐	☐
PADYPB1121	N.A.	☐	☐	☐	☐
RMSEED	☐	☐	☐	☐	☐
SYBEANIDR	☐	☐	☐	☐	☐
SYOREF	☐	☐	☐	☐	☐
TMCFGRNZM	☐	☐	☐	☐	☐
WHEATFAQ	☐	☐	☐	☐	☐
ALL COMMODITIES	☐	☐	☐	☐	☐
ANY OTHER COMMODITY PLEASE SPECIFY	☐	☐	☐	☐	☐

* Others: If cannot be classified in any of the above categories.

I/We undertake to inform you immediately in writing of any change in the particulars furnished above in the declaration. In case of trade in any commodity which is newly listed on the exchange and not forming part of the above declaration, I/we shall inform you immediately in writing the category for my/our trading in such commodity.

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/We may be held liable for termination & suitable action.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read, received and understood the contents of the 'Rights and Obligation document(s)', 'Risk Disclosure Document', 'Guidance Note' and 'Policies and Procedures' provided through separate booklet. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on the stock broker's designated website.
4. The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.
5. I/We further confirm having received, read and understood Rights and Obligations document of Beneficial Owner and Depository Participant, Terms and Conditions and Depository Participant letter of confirmation/ authorization provided through separate booklet and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time.
6. I/We shall furnish such information as you or the Exchange(s)/ SEBI may require from time to time and if I/We fail to give such information, you shall have the right to cancel my/our registration and you or the Exchange(s) shall have the right to debar me/us from doing business on the Exchange(s).
7. I/We am/are not disqualified/debarred under any Rules, Regulations, Bye-Laws of the Exchange(s)/SEBI and all such Acts and Laws for the time being in force in India to deal in Securities.
8. I/We am/are not a Trading Member/Authorized Person affiliated to the main broker of National Stock Exchange of India Ltd. (NSE) or BSE Ltd. (BSE) or Metropolitan Stock Exchange of India Limited (MSEI) or Multi Commodity Exchange Of India Ltd.(MCX) or National Commodity & Derivatives Exchange Ltd.(NCDEX).
9. I/We am/are not expelled /suspended member/ broker of any recognized Exchanges(s) in India.
10. I/We am/are not an employee of another Trading Member of any Exchange(s).
11. If any Demat account is opened with you in my/our name, solely or jointly, either on the date of opening of the brokerage account or at any time in future, you may use such Demat Account as default account for releasing my/our securities lying with you in my/our said Demat Account.
12. I/We further agree to the terms and conditions as laid down by JM Financial Services Limited from time to time.
13. I/We hereby confirm that I/we have received the copy of Risk Disclosure Documents provided through separate booklet for Commodities Options Trading from you and I/we have read and understood the contents of the same, including but not limited to risk involved in Commodities Options Trading.
14. I/We hereby confirm that the brokerage details as filled by JMFS herein above are as per the terms agreed with them.
15. I/We, undersigned, having demat account with you as per the details mentioned below, hereby declare that I/we will submit only those inter depository transfer instructions in respect of Government Securities (G-Sec) which are bonafide and arising out of genuine trade or transfer transaction.
16. I/we hereby give my/our consent to JMFS that in future if they receive my/our any updated annual income details / net-worth details, then they are authorized to update the same in my/our broking and / or demat account with them, in my /our records with the stock exchanges, Depositories and/or any other regulated entities, and/or to any regulatory authorities without seeking any confirmation from me/us in this regard.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories) In case of HUF details of Karta to be given

Holder/Signatory	Name	Signature (s)
First Signatory/Karta of HUF		AS 6/12
Second Signatory		
Third Signatory		
Other Holders		
Second Holder		
Third Holder		

Mode of Operation for (In case of joint holdings, all the holders must sign) In case of HUF this is not applicable

☐ Any one singly	
☐ Jointly by	
☐ As per resolution	
☐ Others (please specify)	

Date: _____ Place: _____

Notes: 1. In case of additional signatures, separate annexure should be attached to the application form.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. For receiving Statement of Account in electronic form:
   I. Client(s) must ensure the confidentiality of the password of the email account.
   II. Client(s) must promptly inform the Participant if the email address has changed.
   III. Client(s) may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
   IV. Client(s) are aware that they will not receive the transaction statements/ intimations in paper form.
   V. Client(s) are aware that the transaction statement/ may be accessed by others in case the confidentiality/secrecy of the login name and password is compromised.
4. Strike off whichever is not applicable.

- JMFS means JM FINANCIAL SERVICES LIMITED.
- Associate means as defined under Securities and Exchange Board of India (Intermediaries) Regulations, 2008
- This information is the sole property of the trading member/brokerage house and would not be disclosed to anyone unless required by law or in case of express permission of client.
- TRADING MEMBERS DISCLOSURE : JMFS hereby notifies to the client that it is engaged in proprietary business in addition to the agency business on behalf of its client.

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

List of Family Members (separate Annexure to be used incase number of members is higher).						
Sr. No.	PAN	Name of Coparceners/Member	Gender	Relationship with Karta	Date of Birth	Whether Coparcener / Member (please specify)

AS 7/12

Signature of Authorised Signatory(ies)

DECLARATION FOR API SERVICES

Date: DD-MM-YYYY

To,
JM Financial Services Limited (JMFS)
Mumbai

I/We request you to provide me the access of API service to use the software or developer service associated to API service so as to enable programmatic access to my trading account with JMFS.

I/We understand and confirm that I/we have decided to make use of the API services, after fully understanding its features, the associated risks with such use of technology, applicable charges and keeping in mind my/our own risk appetite, liquidity needs and financial position.

I/We hereby confirm that I/We have neither been influenced nor been given any assurance of guaranteed/fixed returns or any past or expected future return/performance of platform or software or APIs, in any manner whatsoever, by Software Vendor / Developer or JM Financial Services Limited (JMFS) or by any of their employee(s) or representative or relationship manager in relation to the platform or software or APIs detailed above.

I/We hereby confirm to have understood that there is no assurance of expected future return/performance of software/ application. I/We further confirm to have understood that there may be limits (number / value of orders / request sent through API) or limit on use of the APIs (for instance, limiting the number of requests sent to a particular API) manually or via automated checks to ensure the stability of the APIs or limit on order to trade ratio. I/We also understand that there could be technical disruptions not limited to network failure, trading systems, telecom providers' disruption, exchange technical glitches and other factors where dependency exists on external providers and entities and on which JMFS has no control over the same. I/We also understand that there could be and would be substantial delay in repairing the breakdown of the systems stated earlier and there could be loss of opportunities and delay in execution which could lead to substantial losses and for which I/We shall not hold JMFS liable and responsible for the same. I/We confirm to have understood that such services will be subject to SEBI regulations [as amended from time to time] and may be withdrawn or modified or restricted by regulator at any point of time.

Yours faithfully,

AS 8/12

Signature of Authorised Signatory(ies)

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

TERMS AND CONDITIONS BETWEEN RESEARCH ANALYST AND THE CLIENT

The Client is requested to take note following Terms and Conditions while dealing with the Research Analyst in accordance with the SEBI circular no. SEBI/HO/MIRSD/ MIRSD-PoD-1/P/CIR/2025/004 dated January 8, 2025 and/or such other changes/amendments made from time to time:

- **AVAILING RESEARCH SERVICES :**

  By accepting delivery of the research service, the Client confirms that he/she has elected to subscribe/ avail the research services of JMFSL at his/her sole discretion. JMFSL confirms that research services shall be rendered in accordance with the applicable provisions of the SEBI (Research Analyst) Regulations, 2014 ("RA Regulations").

- **OBLIGATIONS ON RESEARCH ANALYST** :

  JMFSL and the Client shall be bound by SEBI Act, 1992 and all the applicable rules and regulations of SEBI, including the RA Regulations and relevant notifications of Government, as may be in force, from time to time. BSE Limited ("BSE") has been granted recognition under Regulation 14 of RA Regulations for administration and supervision of Research Analysts as Research Analyst Administration and Supervisory Body.

- **CLIENT INFORMATION AND KYC :**

  The Client shall furnish all such details in full as may be required by JMFSL in its standard form with supporting details, if required, as may be made mandatory by BSE/SEBI from time to time.

  JMFSL shall collect, store, upload and check KYC records of the Client with KYC Registration Agency (KRA) as specified by SEBI from time to time.

- **STANDARD TERMS OF SERVICE :**

  The Client agrees on the following understanding:

i. The Client has read and understood the terms and conditions applicable to a research analyst as defined under regulation 2(1)(u) of the RA Regulations, including the fee structure.

ii. The Client is subscribing to/ availing the research services for Client's own benefits and consumption, and any reliance placed on the research report provided by JMFSL shall be as per Client's own judgement and assessment of the conclusions contained in the research report.

iii. The Client understands that –

  a. Any investment made based on the recommendations in the research report are subject to market risk.

  b. Recommendations in the research report do not provide any assurance of returns.

  c. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report.

  In case of dependent family members the Client shall also provide an annual declaration or periodic updation, as the case may be, in respect of such dependent family members.

- **DECLARATION FROM RESEARCH ANALYST :**

  JMFSL hereby declares that:

i. It is duly registered with SEBI as a Research Analyst pursuant to the RA Regulations and its registration details are: SEBI Registration No.: INH000001196, SEBI Registration date: January 21, 2021

ii. It has registration and qualifications required to render the services contemplated under the RA Regulations, and the same are valid and subsisting;

iii. Research services provided by it do not conflict with or violate any provision of law, rule or regulation, contract, or other instrument to which it is a party or to which any of its property is or may be subject;

iv. The maximum fee that may be charged by JMFSL is Rs. 1,51,000/- per annum for individual Client only; and

v. The recommendations provided by JMFSL do not provide any assurance of returns.

- **CONSIDERATION AND MODE OF PAYMENT :**

  The Client shall duly pay to JMFSL, the agreed fees, if any, for the research services that JMFSL renders to the Client and statutory charges, as applicable. Such fees and statutory charges shall be payable through the specified manner and mode(s)/ mechanism(s).

- **OPTIONAL CENTRALISED FEE COLLECTION MECHANISM :** SEBI vide its circular no. SEBI/HO/MIRSD/MIRSD-POD-1/P/CIR/2024/120 dated September 13, 2024, has unveiled a new optional mechanism for fee collection by registered Research Analysts, aimed at enhancing transparency and accountability in fee transactions within the securities market.

  Under this mechanism, the Client may pay fees to Research Analysts through a designated platform/portal administered by BSE, with the system being operational from October 1, 2024.

- **RISK FACTORS :** JMFSL renders stock broking, Depository participant, investment advisory, research, and distribution services primarily to retail, HNI and corporate investors/ clients. JMFSL and its associates are part of a multi-service, integrated investment banking, investment management, brokerage and financing group. While reasonable care has been taken by JMFSL in providing research services, the research services provided by it does not purport to be a complete description of the securities, markets or developments, and JMFSL does not warrant its accuracy or completeness.

  Investment in securities market are subject to market risks. Read all the related documents carefully before investing. JMFSL shall not be in any way responsible for any loss or damage that may arise to any person from any inadvertent error in the information contained while providing research services. Research reports are

provided for information only and not an investment advice and must not alone be taken as the basis for an investment decision. The investment discussed or views expressed or recommendations/opinions given by JMFSL may not be suitable for all clients. Research reports are neither an offer nor solicitation of an offer to buy and/or sell any securities and/or not an official confirmation of any transaction.

Registration granted by SEBI and certification from the National Institute of Securities Market (NISM) in no way guarantee performance of JMFSL or provide any assurance of returns to the Clients.

- **CONFLICT OF INTEREST :**

  JMFSL shall adhere to the applicable regulations/ circulars/ guidelines/ directions specified by SEBI from time to time in relation to disclosure and mitigation of any actual or potential conflict of interest. JMFSL operates in a fiduciary capacity towards the Client(s), committed to full disclosure of all conflicts of interest as they arise. JMFSL shall not derive any direct or indirect benefit from the Client's securities or investment products. Any actual or potential conflicts of interest arising from connections or associations with issuers of products/securities will be promptly disclosed to the Client. This includes providing any material information or facts that may compromise objectivity or independence in the provision of research advisory services.

  JMFSL, principally responsible for the preparation of the research reports, The Research Analyst employed by JMFSL or their immediate relatives (as defined under RA Regulations); hereby declares that (a) do not have any financial interest in the company(ies) covered by them or (b) did not receive any compensation from the company(ies) covered under their research reports, or from any third party, in connection with any report or (c) do not have any other material conflict of interest at the time of publication of the report. Research Analyst employed by JMFSL are not serving as an officer, director or employee of the company(ies) covered under any research report.

  JMFSL and its affiliates (hereinafter referred to as "JM Financial Group") are a multi-service, integrated investment banking, investment management, advisory and brokerage group. In the normal course of business, JM Financial Group may perform or seek to perform investment banking services for any of the company(s) covered in research report, have invested or be engaged in any other transaction involving such securities and earn brokerage or other compensation or act as a market maker in the financial instruments of the company(s) or act as advisor or lender / borrower to such company(s) or have other potential conflict of interest with respect to any recommendation and related information and opinions.

- **INTELLECTUAL PROPERTY :**

  Unless otherwise stated, copyright and all intellectual property rights in and to all content and material presented on the research reports, trademarks and logos appearing on the research reports are the property of JMFSL and are protected under applicable Indian Laws. No part of any information or material from the research report may be -

  (i) copied, removed, photocopied, duplicated or recompiled in any form by any means, or

  (ii) re-distributed without JMFSL's prior written consent. If the Client downloads any information or material from the research report, the Client agrees that he/she will not copy such information or material, or remove or obscure any copyright or other notices or legends contained in any such information. Any infringement shall be strongly defended and pursued to the fullest extent permitted by law.

- **NO FIDUCIARY DUTY :**

  Neither the relationship between JMFSL and the Client, nor the services to be provided by JMFSL, nor any recommendation or advice tendered to the Client under these Terms and Conditions, nor any other matter, shall give rise to any fiduciary or equitable duties on JMFSL's part which would oblige either JMFSL and/or any of its affiliates to accept responsibilities more extensive than expressly stated in these Terms and Conditions.

  Without limitation to the generalities of the foregoing, JMFSL shall not provide any legal, regulatory, accounting, taxation, financial or any other advice in relation to any investment or series of investments or trading decisions or traded products to the Client and the Client is solely responsible, where necessary, for seeking and obtaining its own advice and taking its own trading decisions. The Client agrees that it will rely on its own judgement for all trading decisions and investments or series of investments.

  Furthermore, any research, investment research, trading recommendation, trade idea, information about investment and investment strategy, market commentary, generic advisory material or other information communicated to the Client is not personalised to, tailored to or based on a consideration of, the Client's individual circumstance, and is incidental to the provision of services by JMFSL under these Terms and Conditions. Any such information is subject to change without notice.

  The Client is responsible for making its own investment decisions having given due consideration to its own financial circumstances and investment objectives and should consult with his/her own independent tax, legal or other advisers as he/she believes necessary. Neither JMFSL nor any of its affiliates gives any representation, warranty or guarantee as to the regulatory, legal, accounting, taxation or other consequences of any investment and/or traded products.

- **DISCLAIMER OF WARRANTY AND LIMITATION OF LIABILITY :**
  JMFSL does not, either expressly or impliedly, warrant the accuracy of the information and materials provided herein for any particular purpose and expressly disclaims any warranties of merchantability and/or fitness for a particular purpose. JMFSL will not be responsible or liable for any loss, liability or damage that could result from or arise from the research reports, including, but not limited to any errors in and/or omissions from the research reports or its content therein.

JMFSL does not guarantee the accuracy, validity, reliability, timeliness or completeness of any information or material made available to the Client for any particular purpose. Neither JMFSL, nor any of its, directors, officers or employees, will be liable or have any responsibility of any kind for any loss or damage that the Client may incur in the event of any failure or interruption from the research reports.

The distribution of research reports in certain jurisdictions may be restricted by law and the Client into whose possession such research reports come should inform themselves about, and observe, any such restrictions. Any failure to comply with these restrictions may constitute a violation of the laws of such jurisdictions and JMFSL does not accept any liability and/or responsibility whatsoever for any loss whatsoever arising from any use of such research reports or its contents or otherwise arising in connection therewith.

- **USE OF ARTIFICIAL INTEELIGENCE ("AI") TOOLS :**

  The Client acknowledges that JMFSL may use AI technologies to enhance its services. JMFSL shall be solely responsible for the security, confidentiality, integrity of the Client's data, use of any other information or data for providing research services. JMFSL is responsible for ensuring compliance with applicable laws when using AI tools and will not misuse or misrepresent AI outputs. By accepting the research services of JMFSL, the Client acknowledges the terms of usage of AI.

- **CLIENT LEVEL SEGREGATION OF RESEARCH AND DISTRIBUTION ACTIVITIES :**

  Research Analyst providing research services exclusively to institutional clients and accredited investors may not be subject to compliance with the requirements of segregation of research and distribution activities provided that the Client signs a standard waiver stating the above. In view of the same, the Client understands that JMFSL may be engaged in providing research services to various clients including institutional clients / accredited investors, and agrees that the Client does not have any concern in availing distribution services from JMFSL or any other entities within JM Financial Group.

- **GOVERNING LAW AND JURISDICTION :**

  These Terms and Conditions shall be governed by Indian Laws. Any dispute arising with respect to these Terms and Conditions shall be subject to the sole and exclusive jurisdiction of Court(s) at Mumbai only.

- **TERMINATION OF SERVICE AND REFUND OF FEES :**

  JMFSL may suspend or terminate rendering of research services to the Client on account of suspension/ cancellation of registration of Research Analyst by SEBI and shall refund the residual amount to the Client (only in case of advance payment by the Client).

  In case of suspension of certificate of registration for more than 60 (sixty) days or cancellation of the registration by BSE/SEBI, JMFSL shall refund the fees, on a pro rata basis for the period from the effective date of cancellation/suspension to end of the subscription period.

- **GRIEVANCE REDRESSAL AND DISPUTE RESOLUTION :**

  Any grievance related to:

  i) non-receipt of research report or;

  ii) missing pages or inability to download the entire report, or;

  iii) any other deficiency in the research services, shall be escalated promptly by the Client to the Grievance Officer.

  JMFSL shall be responsible to redress grievances of clients within 21 calendar days or such timelines as may be specified by SEBI under the RA Regulations.

  JMFSL shall redress grievances of the Client in a timely and transparent manner.

  Any claims, differences or disputes between JMFSL and its Client arising out of or in relation to the research activities shall be submitted to a dispute resolution mechanism that includes mediation and/or conciliation and/or arbitration, in accordance with the procedure specified by the SEBI, from time to time.

- **AMENDMENTS :**

  Any change in the above Terms and Conditions shall be intimated to the Client via email and the Client confirms to acknowledge the same. In case of no confirmation is received, it will be deemed to be confirmed by the Client.

In confirmation of the above

AS 9/12

Signature of Authorised Signatory(ies)

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VOLUNTARY DOCUMENT

EXECUTION OF DEMAT DEBIT AND PLEDGE INSTRUCTION VOLUNTARY REQUEST FORM

PLEASE FILL ALL THE DETAILS IN BLOCK LETTERS IN ENGLISH & FILL SEPARATE REQUEST FOR NSDL & CDSL (Please mark (✓) on the appropriate box)

Client Name _____

☐ CDSL DP ID: 1 2 0 3 3 3 0 0 BO ID: _____ Client Code: _____

☐ NSDL DP ID: I N 3 0 2 9 2 7 Client ID: _____ Date: _____

Dear Sir/Madam,

I/We are executing the Demat Debit and Pledge Instruction in favour of JM Financial Services Ltd., authorising them to operate aforesaid beneficiary account for the below mentioned specific purpose.

Demat Debit and Pledge Instruction

Sr. No.	Purpose	Signature of First Signatory/ Karta of HUF *	Signature of Second Signatory *	Signature of Third Signatory *
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker			
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin equirements of the clients in connection with the trades executed by the clients on the Stock Exchange.			
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms			
4	Tendering shares in open offers through Stock Exchange platforms			

Note : This authorization will continue to remain valid until revoked in writing by you (persuant to SEBI Circular no. **SEBI/HO/MIRSD/DoP/P/CIR/ 2022/44 dated April 04,2022)**

Authorised Signatory: _____

Name: _____

Institution Stamp

* the same may be signed physically against each purpose of DDPI.

Annexure A - List of Demat Account

Sr. No.	Client ID	DP ID	DP Name	Account Holder Name
Transfer/Pledge of the Securities to JMFS's demat account(s)				
1	10000038	In302927	JM Financial Services Limited	JM Financial Services Limited
2	10000046	IN302927	JM Financial Services Limited	JM Financial Services Limited
3	1203330000000012	12033300	JM Financial Services Limited	JM Financial Services Limited
4	1203330000000027	12033300	JM Financial Services Limited	JM Financial Services Limited
5	1203330000000031	12033300	JM Financial Services Limited	JM Financial Services Limited
6	1203330000061207	12033300	JM Financial Services Limited	JM Financial Services Limited
7	1203330000061211	12033300	JM Financial Services Limited	JM Financial Services Limited
8	1203330002592463	12033300	JM Financial Services Limited	JM Financial Services Limited
9	1100001100016468	11000011	National Securities Clearing Corporation Ltd.	JM Financial Services Limited
10	1100001000014654	11000010	Indian Clearing Corporation Limited	JM Financial Services Limited
11	1308010000009030	13080100	Indian Clearing Corporation Limited	JM Financial Services Limited
12	1308010000009309	13080100	Indian Clearing Corporation Limited	JM Financial Services Limited
13	1100002300001357	11000023	National Securities Clearing Corporation Ltd.	JM Financial Services Limited
Pledge of the Securities to JMFS's Demat account(s)				
14	1203330001199854	12033300	JM Financial Services Limited	JM Financial Services Limited
15	1203330001200096	12033300	JM Financial Services Limited	JM Financial Services Limited
16	10357493	IN302927	JM Financial Services Limited	JM Financial Services Limited
17	1203330001199932	12033300	JM Financial Services Limited	JM Financial Services Limited
18	10357516	IN302927	JM Financial Services Limited	JM Financial Services Limited
19	10366978	IN302927	JM Financial Services Limited	JM Financial Services Limited
20	10372572	IN302927	JM Financial Services Limited	JM Financial Services Limited
21	10372589	IN302927	JM Financial Services Limited	JM Financial Services Limited
22	1203330002058727	12033300	JM Financial Services Limited	JM Financial Services Limited
Pledge of the Securities to JMFS's Demat account(s)				
23	10009124	IN001002	NSE Clearing Limited	JM Financial Services Limited
24	10000010	IN001133	Indian Clearing Corporation Limited	JM Financial Services Limited
Auto Pledge of Unpaid Securities to JMFS's CLIENT UNPAID SECURITIES PLEDGEE ACCOUNT				
25	1203330002594023	12033300	JM Financial Services Limited	JM Financial Services Limited
26	10377058	IN302927	JM Financial Services Limited	JM Financial Services Limited

Running Account Authorization

Authorization to maintain running account	☐ Yes ☐ No (If not selected, then by default it will be Yes)	AS 10/12 Signature of Authorised Signatory(ies)
If yes, settlement of funds	☐ Monthly ☐ Quarterly (If not selected, then by default it will be Quarterly)	

(For detailed terms and conditions relating to Running Account Authorization, kindly refer Clause no.36 mentioned in Voluntary document "Confirmation for other Terms & Conditions")

Additional Details

Preference for receiving Standard documents* ☐ Electronic ☐ Physical (In case your preference is "Physical" then please ensure that you receive the copy of Standard documents from sales person before account opening.)
*Standard documents includes Rights & Obligations document, Risk Disclosure document & Guidance Note.
(Ref: SEBI Cir No.: CIR/MIRSD/64/2016 dated July 12, 2016)

AUTHORITY LETTER

Authority to place orders / instructions with JM Financial Services Ltd. ("JMFS") on my behalf.

I hereby authorize the following persons, whose specimen signature is appended hereunder;

a. To place orders/give instructions to JMFS for buying/selling of securities/contract on the Stock Exchanges.
b. Sign, execute relevant documents as may be necessary from time to time for the purpose of my broking transaction " and acknowledge receipt of documents pertaining to the transactions i.e. Contract Notes, Statement of Accounts w.r.t. Funds, Securities & Commodities, Daily Activity statement, Retention Statement of Funds, Securities and Commodities, Annual Global Statement.

Authorised Person(s) Details

Sr. No.	Name	PAN	Mobile/ Landline No.	Email Id	Relationship	Specimen Signature(s)
1.						
2.						

I hereby agree and understand that, JMFS reserves the right to refuse to execute any instruction given by the Authorized Person, if details of the Authorized Person matches or apparently resembles with the details appearing in the list of debarred entities published by SEBI/Exchanges/any regulatory authority.

This authority shall remain in force for the Exchange segment opted by me from time to time until otherwise notified by me/us in writing.

Notes:

1) PAN, Proof of identity other than PAN (since PAN is not accepted as Proof of Identity as per the amended AML rules) and Signature of Authorised Person is mandatory.
2) Clients cannot authorize Relationship Manager / Dealers / Authorized Person / Employee of JMFS as authorized person.

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Signature of Authorised Signatory(ies)

Note:
Kindly provide cheque for the charges mentioned below:

BREAKUP OF COMBINE AMOUNT TO BE COLLECTED FOR BROKING CHARGES AND CDSL DP TARIFF IS AS BELOW

Particulars	Scheme - G	Scheme - H-5 TXN 23	Scheme - I discount	Scheme - I
DP Charges	450	500	1000	1999
KRA Charges	100	100	100	100
Account opening charges for Trading account	1000	1000	1000	1000
Sub Total	**1550**	**1600**	**2100**	**3099**
GST -18 %	**279**	**288**	**378**	**558**
Total cheque amount to be collected from client	**1829**	**1888**	**2478**	**3657**

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

For non-custodial settled trading accounts

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Cont...

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

For providing research services

1. These terms and conditions, and consent thereon are for the research services provided by the Research Analyst (RA) and RA cannot execute/carry out any trade (purchase/sell transaction) on behalf of, the client. Thus, the clients are advised not to permit RA to execute any trade on their behalf.
2. The fee charged by RA to the client will be subject to the maximum of amount prescribed by SEBI/ Research Analyst Administration and Supervisory Body (RAASB) from time to time (applicable only for Individual and HUF Clients).

Note:

2.1. The current fee limit is Rs 1,51,000/- per annum per family of client for all research services of the RA.

2.2. The fee limit does not include statutory charges.

2.3. The fee limits do not apply to a non-individual client / accredited investor.

3. RA may charge fees in advance if agreed by the client. Such advance shall not exceed the period stipulated by SEBI; presently it is one quarter. In case of pre-mature termination of the RA services by either the client or the RA, the client shall be entitled to seek refund of proportionate fees only for unexpired period.
4. Fees to RA may be paid by the client through any of the specified modes like cheque, online bank transfer, UPI, etc. Cash payment is not allowed. Optionally the client can make payments through Centralized Fee Collection Mechanism (CeFCoM) managed by BSE Limited (i.e. currently recognized RAASB).
5. The RA is required to abide by the applicable regulations/ circulars/ directions specified by SEBI and RAASB from time to time in relation to disclosure and mitigation of any actual or potential conflict of interest. The RA will endeavor to promptly inform the client of any conflict of interest that may affect the services being rendered to the client.
6. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. No scheme of this nature shall be offered to the client by the RA.
7. The RA cannot guarantee returns, profits, accuracy, or risk-free investments from the use of the RA's research services. All opinions, projections, estimates of the RA are based on the analysis of available data under certain assumptions as of the date of preparation/publication of research report.
8. Any investment made based on recommendations in research reports are subject to market risks, and recommendations do not provide any assurance of returns. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report. Any reliance placed on the research report provided by the RA shall be as per the client's own judgement and assessment of the conclusions contained in the research report.
9. The SEBI registration, Enlistment with RAASB, and NISM certification do not guarantee the performance of the RA or assure any returns to the client.
10. For any grievances,

Step 1: the client should first contact the RA using the details on its website or following contact details:

a. Designated email IDs: customer.support@jmfl.com

b. Written communication at the registered office of the Company

c. Call on designated number: +91 22 5023 7000

Step 2: If the resolution is unsatisfactory, the client can also lodge grievances through SEBI's SCORES platform at www.scores.sebi.gov.in

Step 3: The client may also consider the Online Dispute Resolution (ODR) through the Smart ODR portal at https://smartodr.in

11. Clients are required to keep contact details, including email id and mobile number/s updated with the RA at all times.
12. The RA shall never ask for the client's login credentials and OTPs for the client's Trading Account Demat Account and Bank Account. Never share such information with anyone including RA.

(Ref - Acknowledgement Page 27 Point 17)

ACKNOWLEDGEMENT

Date: _____

To,

JM Financial Services Ltd.
Reg. Office: 7th Floor, Cnergy, Appasaheb Marathe Marg, Prabhadevi, Mumbai 400 025
Adm. Office: 1st & 2nd Floor, Suashish IT Park, Plot No. 68 E, Off. Datta Pada Road,
Opp. Tata Steel, Borivali (East), Mumbai 400 066.

I/We hereby acknowledge that the following documents/ process were made available/ explained to me:

1. Policies and Procedures
2. Risk Disclosure Document for Capital Market and Derivatives Segments
3. Rights and Obligations of Stock Brokers, Authorized Person and Clients as prescribed by SEBI and Stock Exchange(s)
4. Guidance Note-Do's and Don'ts for Trading on the Exchange(s) for Investors
5. Rights and Obligations of Beneficial Owner and Depository Participant as Prescribed By SEBI and Depositories
6. Depository Participant Letter of Confirmation/Authorisation
7. Risk Disclosure Document (Commodity Derivatives)
8. Rights and Obligations of Members, Authorized Persons And Clients as Prescribed By SEBI and Exchanges (Commodity Derivatives)
9. Guidance Note-Do's And Don'ts for the Clients (Commodity Derivatives)
10. Rights & Obligations of the Stock Broker and the Client For Margin Trading Facility (SEBI MTF)
11. Voluntary Terms & Conditions for Trading and Research Services
12. Terms and Conditions-cum-Registration / Modification Form for Receiving SMS Alerts from CDSL
13. Notes For Nomination (Trading & Demat Account CDSL / NSDL)
14. Notes For BSDA (Basic Services Demat Account)
15. Central KYC Registry | Instructions / Check List / Guidelines for filling Individual KYC Application Form
16. Terms & Conditions for API Service
17. Most Important Terms and Conditions (MITC) for Trading and Research Services
18. Investment Charter of Stock Broker, Depository Participant and Research Analyst

I/We understand that the Voluntary documents executed by me are out of my/our free will.

I/We hereby confirm that I have clearly understood and agree to abide by the Policies and Procedures, Rights and obligations, Terms and Conditions (containing para 1 to 39) and Tariff Structure and be bound by same and by the bye laws as are in force from time to time as described by JM Financial Services Ltd. (JMFS).

I also understand and agree that these Policies and Procedures, Terms and Conditions and Tariff Structure can be changed by JMFS from time to time with prior notice of 30 days through email.

I/We aware that the welcome kit, login Id and password of my trading account will be sent on my email ID and alerts on Mobile no. furnished in KYC form and any changes thereof.

AS 12/12

Signature of Authorised Signatory(ies)

FOR OFFICE USE ONLY

UCC code allotted to the Client : _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee/AP			
Employee Code/AP Code			
Designation of the employee			
Date			

I am aware of civil and criminal liability that may devolve upon me (irrespective of whether I remain in Employment or not) as per law and company policies, in the event of false declaration to this effect.

I undertake that I have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note.

Signature			

I have given/sent the client a copy of all the KYC documents. I undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I also undertake that any change in the 'Rights and Obligations' and RDD would be made available on the website of JMFS, if any, for the information of the clients.

_____

Signature of the Authorised Signatory **Date** _____ **Seal/Stamp of the JM Financial Services Limited**

Account opening form and KYC document verified by

Name		**Signature**	

CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Individual KYC Application Form

A Clarification / Guidelines on filling 'Personal Details' section

1 Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2 One the following is mandatory : Mother's name, Spouse's name, Father's name.

B Clarification / Guidelines on filling 'Current Address details' section

1 In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR.
2 PoA to be submitted only if the submitted Pol dose not have current address or address as per Pol is invalid or not in force.
3 State / U.T. Code and Pin / Post Code will not be mandatory for Overseas addresses.
4 In Section 2, one of I, II, and III, is to be selected. In case of online E-KYC authentication, II is to be selected.
5 In Section 3, one of I, II, III, and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
6 List of documents for 'Deemed Proof of Address':

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies leave and license agreements with such employers allotting official accommodation.

7 Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof possession of Aadhaar, while uploading on CKYCR.
8 "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the information Technology (Preservation and Retention of information by intermediaries.
Providing Digital Locker Facilities) Rules, 2016.
9 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.

C Clarification / Guidelines on filling 'Contact details' section

1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2 Do not add '0' in the beginning of Mobile number.

CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Legal Entity / Other than Individual KYC Application Form

A. In case of companies and partnership, PAN of the entity is mandatory. In case of other entitites, FORM 60 may be obtained if PAN is not

B. Clarification / Guidelines for filling 'Proof of Identity [Pol]' section

1 Activity Proof - 1 and Activity Proof - 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.
2 Please refer to the relevant instruction issued by the regulator regarding applicable documents for the legal entity
3 Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted
4 "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the information Technology (Preservation and Retention of information by intermediaries Providing Digital Locker Facilities) Rules, 2016.
5 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005
6 KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time

C Clarification / Guidelines for filling 'Proof of Address' section

1 State/U.T. Code and Pin/Post Code will not be mandatory for Oversees addresses.
2 Certified copy of document or equivalent w-document to be submitted.

D Clarification / Guidelines for filling 'Contact details' section

1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2 Do not add '0' in the beginning of Mobile number.

E Clarification / Guidelines on filling 'Current Address details' section

1 Personal Details
* The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2 Proof of Address [PoA]
* PoA to be submitted only if the submitted Pol dose not have current address or address as per Pol is invalid or not in force.
* State / U.T. Code and Pin / Post Code will not be mandatory for Overseas addresses.
* In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
* REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository.
3 If KYC number of Related Person is available, on other details except 'Person Type' and 'Name of the Related Person' are required.
4 Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related dataand documents such as proof possession of Aadhaar, while uploading on CKYCR.

F Provision for capturing signature of multiple authorised persons is to be made by the RE.

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T State / U.T	Code
Andaman & Nicobar	AN
Andhra Pradesh	AP
Arunachal Pradesh	AR
Assam	AS
Bihar	BR
Chandigarh	CH
Chattisgarh	CG
Dadra and Nagar Haveli	DN
Daman & Diu	DD
Delhi	DL
Goa	GA
Gujarat	GJ
Haryana	HR

State / U.T	Code
Himachal Pradesh	HP
Jammu & Kashmir	JK
Jharkhand	JH
Karnataka	KA
Kerala	KL
Lakshadweep	LD
Madhya Pradesh	MP
Maharashtra	MH
Manipur	MN
Meghalaya	ML
Mizoram	MZ
Nagaland	NL
Orissa	OR

State / U.T	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarakhand	UA
West Bengal	WB
Other	XX

List of ISO List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

CONTACT INFORMATION

JM FINANCIAL SERVICES LIMITED

CIN : U67120MH1998PLC115415
Website : www.jmfinancialservices.in

Registered Office:
7th Floor, Cnergy, Appasaheb Marathe Marg,
Prabhadevi, Mumbai 400 025
Tel: No.: (91 22) 6630 3030.
Fax No.: (91 22) 6630 3223

Corporate Office:
5th Floor, Cnergy, Appasaheb Marathe Marg,
Prabhadevi, Mumbai 400 025
Tel: No.: (91 22) 6704 0404
Fax. No.: (91 22) 6704 3139

Administrative Office:
1st & 2nd Floor, Suashish IT Park,
Plot No. 68 E, Off. Datta Pada Road,
Opp. Tata Steel, Borivali (East),
Mumbai 400 066.
Tel: No.: (91 22) 4505 7000
Fax No.: (91 22) 4505 7222

Member of:
SEBI Registration No: INZ000195834. Single Registration Date: August 29, 2018

Member of:

National Stock Exchange of India Ltd. (NSE)
Membership No: 10548

Segment	Membership Type
Capital Market	Trading cum Self-Clearing Member
Futures & Options	Trading cum Clearing Member
Currency Derivatives	Trading cum Clearing Member
Commodity Derivatives	Trading cum Self-Clearing Member
Debt Market	Trading cum Clearing Member
Mutual Funds	

BSE Ltd. (BSE)
Membership No. : 325

Segment	Membership Type
Cash Market	Trading cum Self-Clearing Member
Derivatives	Trading Member
Currency Derivatives	Trading cum Self-Clearing Member
Commodity Derivatives	Trading cum Self-Clearing Member
New Debt Market	Trading cum Clearing Member
Mutual Funds	

Multi Commodity Exchange Of India Ltd.(MCX)
Membership No. 56555

Segment	Membership Type
Commodity Derivatives	Trading cum Self-Clearing Member

National Commodity & Derivatives Exchange Ltd.(NCDEX)
Membership No. 1282

Segment	Membership Type
Commodity Derivatives	Trading cum Self-Clearing Member

Depository Participant
SEBI Registration No.IN-DP-541-2020. Single Registration Date: January 20, 2021
Central Depository Services (India) Limited: DP ID-12033300
National Securities Depository Limited: DP ID-IN302927

If you require any clarification, please get in touch with your relationship manager or branch.
Alternatively you can call us on 91-22-50237000 or write us at customer.support@jmfl.com