



Qualification Pack



Associate- Regulatory Affairs and Intellectual Property (IVD and Medical Devices)

Regulated Business Operations

QP Code: LFS/Q0513

Version: 1.0

NSQF Level: 5



Qualification Pack

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LFS/Q0513: Associate- Regulatory Affairs and Intellectual Property (IVD and Medical Devices)

Brief Job Description

Associate- Regulatory Affairs and Intellectual Property (IVD and Medical Devices) prepares dossiers to support appropriate licensing, marketing and legal compliance of products and ensure products comply with current regulations. The job role holder carries out proper documentation and reporting for dossier preparation and assist in intellectual property management

Personal Attributes

Job role holder is expected to have orientation to detail and high level of customer centricity. He/she should have excellent communication and negotiation skills. As this job requires interacting across stakeholder hence good interpersonal skills and planning and organizing skills are needed. The person should have excellent problem solving and decision making skills.

Applicable National Occupational Standards (NOS)

Compulsory NOS:

1. [LFS/N0570: Development of Technical Dossier as per the regulatory guidelines of intended market \(India and Global\) for medical devices and In-vitro Diagnostic Devices \(IVD\)](#)
2. [LFS/N0502: Submission of Technical Dossier as per the regulatory guidelines](#)
3. [LFS/N0569: Assist in managing the regulatory affairs for medical devices and In-vitro Diagnostic Devices \(IVD\)](#)
4. [LFS/N0571: Assist in intellectual property rights management for life sciences products and assets](#)
5. [LFS/N0567: Coordinate with Manager, R&D team, other cross-functional teams, external stakeholders and regulatory agencies to manage regulatory affairs operations](#)
6. [LFS/N0122: Ensure adherence to Environment, health and safety guidelines at the workplace by self and subordinates](#)
7. [DGT/VSQ/N0103: Employability Skills \(90 Hours\)](#)

Options(Not mandatory):

Option : Regulated Business Operations

1. [LFS/N0120: Establish own enterprise and perform various entrepreneurial activities to run the business operations in Life Sciences Sector](#)



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2. [LFS/N0121: Maintain the critical business documents as Entrepreneur in Life Sciences Sector](#)

Qualification Pack (QP) Parameters

Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical and Contract Research
Occupation	Research and Development
Country	India
NSQF Level	5
Credits	22
Aligned to NCO/ISCO/ISIC Code	NCO-2015/3359.00 , NCO-2015/2611.1001
Minimum Educational Qualification & Experience	B.Tech (Final Year Student (in Relevant Field)) OR B.Pharm (final year student) OR M.Sc ((with relevant Subjects) Final Year Student)
Minimum Level of Education for Training in School	Not Applicable
Pre-Requisite License or Training	NA
Minimum Job Entry Age	21 Years
Last Reviewed On	NA
Next Review Date	28/07/2025
NSQC Approval Date	28/07/2022
Version	1.0
Reference code on NQR	QM-05-LS-00253-2023-V1.1-LSSSDC
NQR Version	1



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LFS/N0570: Development of Technical Dossier as per the regulatory guidelines of intended market (India and Global) for medical devices and In-vitro Diagnostic Devices (IVD)

Description

This NOS is about a person involved in the Development of Technical Dossier as per the regulatory guidelines of intended market (India and Global) for medical devices and In-vitro Diagnostic Devices (IVD)

Scope

The scope covers the following :

- Regulatory Dossier Preparation
- Regulatory Compliance for labelling and inserts

Elements and Performance Criteria

Regulatory Dossier Preparation

To be competent, the user/individual on the job must be able to:

- PC1.** collaborate with internal stakeholders (manufacturing, R&D, stability) to compile quality dossiers timely, as per country specific requirements
- PC2.** prepare a Technical Dossier that provides detailed information on your medical device/ in-vitro diagnostic device (IVD) ensuring compliance with regulatory and quality framework as per country specific regulatory authority
- PC3.** ensure availability of clinical data or clinical studies / Trials data (as applicable basis the classification) in technical dossier referring to the subject device.
- PC4.** collaborate with research team for Preparing a Design Dossier, ensuring compliance with regulatory and quality framework as per country specific regulatory authority, for CE Marking application or other market authorization applications
- PC5.** prepare a Clinical Evaluation Report (CER) and performance evaluation report according to regulatory and quality framework as per country specific regulatory authority
- PC6.** prepare a Declaration of Conformity (DoC), which states that your device complies with the regulatory and quality framework as per country specific regulatory authority
- PC7.** ensure implementation of quality management system (preferably ISO 13485), in collaboration with design and quality team in compliance with the regulatory and quality framework as per country specific regulatory authority
- PC8.** maintain a procedure for design transfer as well as maintain an approved device master file with all the approved design specifications (i.e., design outputs) for medical device/ in-vitro diagnostic device (IVD) as per regulatory guidelines
- PC9.** facilitate in preparation of certificate of analysis (COA), technical data sheet (TDS), method of analysis (MOA), and any other mandatory document for product registration as per regulatory guidelines
- PC10.** maintain locally the database of product registration

Regulatory Compliance for labelling and inserts



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To be competent, the user/individual on the job must be able to:

- PC11.** outline requirements for labelling, storage and packaging as per regulatory requirements
- PC12.** keeping a check on label content and leaflets as per regulatory norms (Label proofing & Artwork)
- PC13.** create and edit Structured Product Labels using software like pharmaready, Xforms or any other.
- PC14.** perform label proofing and artwork review with the help of text verification software like TVT or any other.
- PC15.** review and facilitate development of Patient information leaflet (PIL), and package Insert for regulatory dossier of an intended application

Knowledge and Understanding (KU)

The individual on the job needs to know and understand:

- KU1.** Global Regulatory Authorities for Medical Device Industry
- KU2.** common technical documents in regulatory dossier for submission of an application
- KU3.** knowledge of pharmaceutical dossiers like the master formula of the product, manufacturing procedure and the equipments list used in the production, the Stability data, preclinical and clinical data, specifications used in testing the final product and other regulatory documents
- KU4.** different quality management systems, good laboratory and manufacturing practices.
- KU5.** knowledge of regulation requirements and approval processes
- KU6.** clinical trial data, clinical evaluation report and performance evaluation report according to regulatory bodies
- KU7.** different regulatory documents reports, forms, plans associated with product quality and compliance as required by different regulatory agencies
- KU8.** PIL (Patient information leaflet) and Package insert for regulatory dossier
- KU9.** Certificate of analysis (COA), Declaration of conformity (DoC) and Method of analysis (MOA) submission processes as per regulatory guidelines

Generic Skills (GS)

User/individual on the job needs to know how to:

- GS1.** use written communication skills to record information accurately in compliance with ALCOA principles as per SOP and regulatory guidelines in the English language
- GS2.** use reading and comprehension skills to read the various coding systems, instructions, guidelines, procedures, rules, and signage
- GS3.** use listening skills to interpret the instructions, procedures, and alarms
- GS4.** use verbal communication skills to interact with supervisor, teammates, cross-functional teams and customers as applicable
- GS5.** apply problem-solving skills to find solutions for workflow-related difficulties
- GS6.** apply planning and organizing skills to plan and organize tools and material required to fulfil documentation related requirements



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- GS7.** apply critical thinking skills to analyse and identify what and when to report an issue/concern to the supervisor/ Clinical research team/ QA team / any other stakeholder
- GS8.** apply decision-making skills to make balanced judgments within the authority while dealing with daily work-life situations

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Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Regulatory Dossier Preparation</i>	15	30	10	5
PC1. collaborate with internal stakeholders (manufacturing, R&D, stability) to compile quality dossiers timely, as per country specific requirements	-	-	-	-
PC2. prepare a Technical Dossier that provides detailed information on your medical device/ in-vitro diagnostic device (IVD) ensuring compliance with regulatory and quality framework as per country specific regulatory authority	-	-	-	-
PC3. ensure availability of clinical data or clinical studies / Trials data (as applicable basis the classification) in technical dossier referring to the subject device.	-	-	-	-
PC4. collaborate with research team for Preparing a Design Dossier, ensuring compliance with regulatory and quality framework as per country specific regulatory authority, for CE Marking application or other market authorization applications	-	-	-	-
PC5. prepare a Clinical Evaluation Report (CER) and performance evaluation report according to regulatory and quality framework as per country specific regulatory authority	-	-	-	-
PC6. prepare a Declaration of Conformity (DoC), which states that your device complies with the regulatory and quality framework as per country specific regulatory authority	-	-	-	-
PC7. ensure implementation of quality management system (preferably ISO 13485), in collaboration with design and quality team in compliance with the regulatory and quality framework as per country specific regulatory authority	-	-	-	-

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Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC8. maintain a procedure for design transfer as well as maintain an approved device master file with all the approved design specifications (i.e., design outputs) for medical device/ in-vitro diagnostic device (IVD) as per regulatory guidelines	-	-	-	-
PC9. facilitate in preparation of certificate of analysis (COA), technical data sheet (TDS), method of analysis (MOA), and any other mandatory document for product registration as per regulatory guidelines	-	-	-	-
PC10. maintain locally the database of product registration	-	-	-	-
<i>Regulatory Compliance for labelling and inserts</i>	10	15	10	5
PC11. outline requirements for labelling, storage and packaging as per regulatory requirements	-	-	-	-
PC12. keeping a check on label content and leaflets as per regulatory norms (Label proofing & Artwork)	-	-	-	-
PC13. create and edit Structured Product Labels using software like pharmaready, Xforms or any other.	-	-	-	-
PC14. perform label proofing and artwork review with the help of text verification software like TVT or any other.	-	-	-	-
PC15. review and facilitate development of Patient information leaflet (PIL), and package Insert for regulatory dossier of an intended application	-	-	-	-
NOS Total	25	45	20	10



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National Occupational Standards (NOS) Parameters

NOS Code	LFS/N0570
NOS Name	Development of Technical Dossier as per the regulatory guidelines of intended market (India and Global) for medical devices and In-vitro Diagnostic Devices (IVD)
Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical, Contract Research
Occupation	Research and Development
NSQF Level	5
Credits	4.00
Version	1.0
Last Reviewed Date	NA
Next Review Date	28/07/2025
NSQC Clearance Date	28/07/2022



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LFS/N0502: Submission of Technical Dossier as per the regulatory guidelines

Description

This NOS is about a person involved in the Submission of Technical Dossier as per the regulatory guidelines

Scope

The scope covers the following :

- Operating the Regulatory Systems
- Regulatory Dossier Submission

Elements and Performance Criteria

Operating the Regulatory Systems

To be competent, the user/individual on the job must be able to:

- PC1.** register the organization on SUGAM portal of Indian national regulator.
- PC2.** perform various operations on SUGAM portal related to applications and related Common Technical Document (CTD), query escalation and meeting request with Indian regulatory officers
- PC3.** register the organization on portal of regulator of intended market/ country
- PC4.** perform various operations on international regulator portal/ software (like electronic submission gateway (ESG), Common European Submission Portal (CESP), eSubmission gateway etc.) related to applications, query escalation and supplement/ amendment submissions as well as for tracking the application status
- PC5.** ensure efficient operations of international regulator portal/ software for Submission Management, DLP, SLP and Submission Dispatch, Archival and Troubleshooting during the electronic Common Technical Document (eCTD) filing.
- PC6.** ensure eCTD Validation Criteria, ICH Study Tagging Files (ICH STF) specifications and Submission Transmission Specifications are met while submitting the eCTD.
- PC7.** ensure mitigation of risk in eCTD publishing.

Regulatory Dossier Submission

To be competent, the user/individual on the job must be able to:

- PC8.** prepare and submit dossier for ASEAN countries as per ACTD format of respective country
- PC9.** prepare and submit dossier for US, EU, Canada, GCC, Australia, Switzerland, South Africa, Thailand as per eCTD format of respective country
- PC10.** prepare and submit dossier for Australia, New Zealand, EU, Canada, GCC, Bosnia and Herzegovina as per NeeS format of respective country
- PC11.** prepare and submit dossier for Emerging market like LATAM, MENA, APAC, ASEAN, and CIS region as per pCTD format of respective country
- PC12.** prepare and submit dossier for European region as per vNeeS format



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Knowledge and Understanding (KU)

The individual on the job needs to know and understand:

- KU1.** different quality management systems (ISO-9000, ISO-14001, OHSAS-18000), good laboratory and manufacturing practices
- KU2.** Dossier preparation in eCTD format, NeeS format, ICH-CTD and their respective submission guidelines
- KU3.** guidelines for submission of eCTD on SUGAM portal
- KU4.** use of computer and application Software
- KU5.** Indian regulatory guidelines
- KU6.** ICH study tagging Files (ICH STF), eCTD validation criteria and submission transmission specification
- KU7.** various international regulatory guidelines and their portal
- KU8.** dossier for emerging market like LATAM, MENA, APAC, ASEAN and CIS region
- KU9.** reporting incidents where standard operating procedures are not followed
- KU10.** the importance of complete and accurate documentation
- KU11.** knowledge of pharmaceutical dossiers like the master formula of the product, manufacturing procedure and the equipments list used in the production, the Stability data, preclinical and clinical data, specifications used in testing the final product and other regulatory documents
- KU12.** training in necessary procedures for filing licenses and liaising with regulatory authorities
- KU13.** good Knowledge of GMP, GCP and Safety requirements

Generic Skills (GS)

User/individual on the job needs to know how to:

- GS1.** use written communication skills to record information accurately in compliance with ALCOA principles as per SOP and regulatory guidelines in the English language
- GS2.** use reading and comprehension skills to read the various coding systems, instructions, guidelines, procedures, rules, and signage
- GS3.** use listening skills to interpret the instructions, procedures, and alarms
- GS4.** use verbal communication skills to interact with supervisor, teammates and cross-functional teams
- GS5.** apply critical thinking skills to analyse and identify what and when to report an issue/concern to the supervisor/ regulatory team/ QA Team / any other stakeholder
- GS6.** apply problem-solving skills to find solutions for workflow-related difficulties
- GS7.** apply planning and organizing skills to plan and organize tools and material required to fulfil documentation related requirements

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Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Operating the Regulatory Systems</i>	20	30	9	-1
PC1. register the organization on SUGAM portal of Indian national regulator.	-	-	-	-
PC2. perform various operations on SUGAM portal related to applications and related Common Technical Document (CTD), query escalation and meeting request with Indian regulatory officers	-	-	-	-
PC3. register the organization on portal of regulator of intended market/ country	-	-	-	-
PC4. perform various operations on international regulator portal/ software (like electronic submission gateway (ESG), Common European Submission Portal (CESP), eSubmission gateway etc.) related to applications, query escalation and supplement/ amendment submissions as well as for tracking the application status	-	-	-	-
PC5. ensure efficient operations of international regulator portal/ software for Submission Management, DLP, SLP and Submission Dispatch, Archival and Troubleshooting during the electronic Common Technical Document (eCTD) filing.	-	-	-	-
PC6. ensure eCTD Validation Criteria, ICH Study Tagging Files (ICH STF) specifications and Submission Transmission Specifications are met while submitting the eCTD.	-	-	-	-
PC7. ensure mitigation of risk in eCTD publishing.	-	-	-	-
<i>Regulatory Dossier Submission</i>	10	20	3	6
PC8. prepare and submit dossier for ASEAN countries as per ACTD format of respective country	-	-	-	-
PC9. prepare and submit dossier for US, EU, Canada, GCC, Australia, Switzerland, South Africa, Thailand as per eCTD format of respective country	-	-	-	-



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Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC10. prepare and submit dossier for Australia, New Zealand, EU, Canada, GCC, Bosnia and Herzegovina as per NeeS format of respective country	-	-	-	-
PC11. prepare and submit dossier for Emerging market like LATAM, MENA, APAC, ASEAN, and CIS region as per pCTD format of respective country	-	-	-	-
PC12. prepare and submit dossier for European region as per vNeeS format	-	-	-	-
NOS Total	30	50	12	5



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National Occupational Standards (NOS) Parameters

NOS Code	LFS/N0502
NOS Name	Submission of Technical Dossier as per the regulatory guidelines
Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical and Contract Research
Occupation	Research and Development
NSQF Level	5
Credits	2.00
Version	2.0
Last Reviewed Date	NA
Next Review Date	28/07/2025
NSQC Clearance Date	28/07/2022



Qualification Pack

LFS/N0569: Assist in managing the regulatory affairs for medical devices and In-vitro Diagnostic Devices (IVD)

Description

This NOS is about a person who Assist in managing the regulatory affairs for medical devices and In-vitro Diagnostic Devices (IVD)

Scope

The scope covers the following :

- Regulatory facilitation for US Market
- Regulatory facilitation for Indian and Other Market
- Regulatory facilitation for Miscellaneous Approvals
- Regulatory facilitation for Post Approval Changes

Elements and Performance Criteria

Regulatory facilitation for US Market

To be competent, the user/individual on the job must be able to:

- PC1.** facilitate for submission of investigational device exemption (IDE) for regulatory approval.
- PC2.** facilitate for submission of clinical trial applications (CTA) for regulatory approval.
- PC3.** facilitate for submission of Premarket notification (PMN) application or 510(k) application for Class 2 devices for regulatory approval.
- PC4.** facilitate for submission of Premarket approval (PMA) application for class 3 devices for regulatory approval.
- PC5.** facilitate for submission of De Novo application for novel medical devices without a predicate for regulatory approval.
- PC6.** facilitate for submission of Humanitarian Device Exemption (HDE) application for regulatory approval

Regulatory facilitation for Indian and Other Market

To be competent, the user/individual on the job must be able to:

- PC7.** facilitate for submission of investigational device (medical device/ IVD) application / clinical study approval for regulatory approval for EU market.
- PC8.** facilitate for submission of Premarket approval (PMA) application for medical device/ IVD for regulatory approval for EU market
- PC9.** facilitate for regulatory approval of medical device/ IVD for market authorization application (MAA) via Gulf Cooperation Council procedure (GCC) for GCC Countries
- PC10.** facilitate for submission of the application for manufacturing licence for medical device/ In Vitro Diagnostic Device (IVD) from state or national regulatory authority in India as per applicable classification

Regulatory facilitation for Miscellaneous Approvals

To be competent, the user/individual on the job must be able to:

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- PC11.** classify the medical device/ In Vitro Diagnostic Device (IVD) as per available classification given by regulatory authorities
- PC12.** register the medical device/ In Vitro Diagnostic Device (IVD) with regulator and get Unique Identification number (UID) either country specific or global as per requirement
- PC13.** facilitate in obtaining Institutional review board (IRB) / ethics committee approval for clinical trials
- PC14.** facilitate in obtaining European CE Marking Certificate for medical device/ In Vitro Diagnostic Device (IVD)
- PC15.** facilitate transition from MDR to MDD for medical device/ In Vitro Diagnostic Device (IVD) approved for EU market
- PC16.** facilitate in getting the manufacturing plant and laboratory audited by a notified body for compliance with CE marking and ISO 13485 QMS.
- PC17.** facilitate in obtaining test license and certificate of good manufacturing practices from Indian drug regulatory authority (CDSCO).
- PC18.** facilitate in obtaining the free sale and commerce certificate (FSC)/ certificate for export from Indian Drug Regulatory authority (CDSCO).
- PC19.** facilitate in liasoning and filing submission with national pharmaceutical pricing authority (NPPA)

Regulatory facilitation for Post Approval Changes

To be competent, the user/individual on the job must be able to:

- PC20.** perform gap assessments for post approval compliance
- PC21.** facilitate the post approval periodic reports submission like Medical device reporting (MDR) and medical product safety network (MedSun, clinical evaluation reports (CER), post market surveillance (PMS)
- PC22.** perform compliance checks of current registered information versus manufacturing documentation for licensed medical device/ In Vitro Diagnostic Device (IVD)
- PC23.** perform change control review and management while implementation of vendor changes, lubricant changes in machines, and change in plant machineries, design specification updates or any process changes etc
- PC24.** prepare and facilitate submission of variations to the regulators to close the identified 'compliance gaps'
- PC25.** provide strategic support to internal management / clients (in case providing services) in advising on the appropriate processes and procedures to ensure sustainable compliance

Knowledge and Understanding (KU)

The individual on the job needs to know and understand:

- KU1.** Global Regulatory Authorities for Medical Device Industry
- KU2.** investigational device exemption (IDE), clinical trial applications (CTA), De Novo application and Humanitarian Device Exemption (HDE) as well as their approval process
- KU3.** FDA Regulations and Guidelines on Medical Devices
- KU4.** FDA classification of an IVD (or other medical device) and their approval processes
- KU5.** premarket, clinical study approval process in EU market



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- KU6.** GCC countries approval process for IVD/ medical Devices
- KU7.** Indian regulatory authorities for IVD approval and its processes
- KU8.** Medical Device Regulations from Indian perspective
- KU9.** Management of the risks associated with Medical devices
- KU10.** Medical Device Registration, e-Submissions and Approvals in US, Europe and India
- KU11.** different quality management systems (ISO-9000, ISO-13485, OHSAS-18000)
- KU12.** medical safety networks(MedSun), Clinical Evaluation Report(CER), Post market Surveillance (PMS) and their submission process
- KU13.** Biocompatibility Studies and Medical Devices

Generic Skills (GS)

User/individual on the job needs to know how to:

- GS1.** use written communication skills to record information accurately in compliance with ALCOA principles as per SOP and regulatory guidelines in the English language
- GS2.** use reading and comprehension skills to read the various coding systems, instructions, guidelines, procedures, rules, and signage
- GS3.** use listening skills to interpret the instructions, procedures, and alarms
- GS4.** use verbal communication skills to interact with supervisor, teammates, cross-functional teams and customers as applicable
- GS5.** apply problem-solving skills to find solutions for workflow-related difficulties
- GS6.** apply planning and organizing skills to plan and organize tools and material required to fulfil documentation related requirements
- GS7.** apply critical thinking skills to analyse and identify what and when to report an issue/concern to the supervisor/ regulatory team / QA team / any other stakeholder
- GS8.** apply decision-making skills to make balanced judgments within the authority while dealing with daily work-life situations

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Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Regulatory facilitation for US Market</i>	10	15	5	2
PC1. facilitate for submission of investigational device exemption (IDE) for regulatory approval.	-	-	-	-
PC2. facilitate for submission of clinical trial applications (CTA) for regulatory approval.	-	-	-	-
PC3. facilitate for submission of Premarket notification (PMN) application or 510(k) application for Class 2 devices for regulatory approval.	-	-	-	-
PC4. facilitate for submission of Premarket approval (PMA) application for class 3 devices for regulatory approval.	-	-	-	-
PC5. facilitate for submission of De Novo application for novel medical devices without a predicate for regulatory approval.	-	-	-	-
PC6. facilitate for submission of Humanitarian Device Exemption (HDE) application for regulatory approval	-	-	-	-
<i>Regulatory facilitation for Indian and Other Market</i>	5	10	5	3
PC7. facilitate for submission of investigational device (medical device/ IVD) application / clinical study approval for regulatory approval for EU market.	-	-	-	-
PC8. facilitate for submission of Premarket approval (PMA) application for medical device/ IVD for regulatory approval for EU market	-	-	-	-
PC9. facilitate for regulatory approval of medical device/ IVD for market authorization application (MAA) via Gulf Cooperation Council procedure (GCC) for GCC Countries	-	-	-	-
PC10. facilitate for submission of the application for manufacturing licence for medical device/ In Vitro Diagnostic Device (IVD) from state or national regulatory authority in India as per applicable classification	-	-	-	-

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Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Regulatory facilitation for Miscellaneous Approvals</i>	5	10	5	2
PC11. classify the medical device/ In Vitro Diagnostic Device (IVD) as per available classification given by regulatory authorities	-	-	-	-
PC12. register the medical device/ In Vitro Diagnostic Device (IVD) with regulator and get Unique Identification number (UID) either country specific or global as per requirement	-	-	-	-
PC13. facilitate in obtaining Institutional review board (IRB) / ethics committee approval for clinical trials	-	-	-	-
PC14. facilitate in obtaining European CE Marking Certificate for medical device/ In Vitro Diagnostic Device (IVD)	-	-	-	-
PC15. facilitate transition from MDR to MDD for medical device/ In Vitro Diagnostic Device (IVD) approved for EU market	-	-	-	-
PC16. facilitate in getting the manufacturing plant and laboratory audited by a notified body for compliance with CE marking and ISO 13485 QMS.	-	-	-	-
PC17. facilitate in obtaining test license and certificate of good manufacturing practices from Indian drug regulatory authority (CDSCO).	-	-	-	-
PC18. facilitate in obtaining the free sale and commerce certificate (FSC)/ certificate for export from Indian Drug Regulatory authority (CDSCO).	-	-	-	-
PC19. facilitate in liasoning and filing submission with national pharmaceutical pricing authority (NPPA)	-	-	-	-
<i>Regulatory facilitation for Post Approval Changes</i>	5	10	5	3
PC20. perform gap assessments for post approval compliance	-	-	-	-



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Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC21. facilitate the post approval periodic reports submission like Medical device reporting (MDR) and medical product safety network (MedSun, clinical evaluation reports (CER), post market surveillance (PMS)	-	-	-	-
PC22. perform compliance checks of current registered information versus manufacturing documentation for licensed medical device/ In Vitro Diagnostic Device (IVD)	-	-	-	-
PC23. perform change control review and management while implementation of vendor changes, lubricant changes in machines, and change in plant machineries, design specification updates or any process changes etc	-	-	-	-
PC24. prepare and facilitate submission of variations to the regulators to close the identified 'compliance gaps'	-	-	-	-
PC25. provide strategic support to internal management / clients (in case providing services) in advising on the appropriate processes and procedures to ensure sustainable compliance	-	-	-	-
NOS Total	25	45	20	10



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National Occupational Standards (NOS) Parameters

NOS Code	LFS/N0569
NOS Name	Assist in managing the regulatory affairs for medical devices and In-vitro Diagnostic Devices (IVD)
Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical, Contract Research
Occupation	Research and Development
NSQF Level	5
Credits	4.00
Version	1.0
Last Reviewed Date	NA
Next Review Date	28/07/2025
NSQC Clearance Date	28/07/2022



Qualification Pack

LFS/N0571: Assist in intellectual property rights management for life sciences products and assets

Description

This unit is about a person who assists in intellectual property rights management for life sciences products and assets

Scope

The scope covers the following :

- IPR Management

Elements and Performance Criteria

IPR Management

To be competent, the user/individual on the job must be able to:

- PC1.** develop initial understanding of the invention, creating search strategies, executing search strategies.
- PC2.** conduct comprehensive patent searches of technical and patent information using online database and other information resources.
- PC3.** collect the relevant information on products, probable customers and competitors from API Marketing team, IP or secondary sources (IMS, Scifinder, Newport, IPD Analytics).
- PC4.** perform prior Art Search / Patentability Search by using various paid and freely available databases
- PC5.** perform extracting and evaluating search results, culling, report formation, mapping and result evaluation, rejection summary and visualization through charts
- PC6.** create, update & maintain the required reports with the correct information & naming convention.
- PC7.** perform patent infringement analysis for various jurisdiction.
- PC8.** assist in preliminary evaluation based on sales projection, possible competitors, expert comments for the success of molecule, IP Analysis etc.
- PC9.** assist in preparation of patent landscape report for API/ Formulation/ Biological products/ Medical Devices/ In-vitro diagnostic devices (IVD) as per organizational need.
- PC10.** assist in drafting In-house opinion reports for the Invalidation of patents.
- PC11.** facilitate in providing clearance reports for various markets.
- PC12.** draft patent application for provisional/ non provisional & complete filing.
- PC13.** assist in prosecution of patent application in collaboration with legal department for national and global markets
- PC14.** liaise with multiple organizational functions like legal, regulatory affairs, research& development, medical affairs, marketing team to gather and validate cross-functional inputs required for decision making

Knowledge and Understanding (KU)



Qualification Pack

The individual on the job needs to know and understand:

- KU1.** Intellectual Property Rights and its types- Patents, Copyrights, Trademarks, etc.
- KU2.** intellectual property laws in India and in Global market
- KU3.** procedure for filing the copyright for intellectual assets in India and in Global market
- KU4.** how to operate software tools like IMS, Scifinder, Newport, IPD Analytics
- KU5.** patent infringement analysis and how to defend the IPR in court of law
- KU6.** how to liaising for various IPR related matters with cross functional colleagues like product marketing, QA, Production, R&D, legal etc.
- KU7.** process of obtaining patents and trademarks
- KU8.** various licensing scenarios: cross-licensing, inbound licensing and out-bound licensing
- KU9.** impact of IPR on regulatory affairs

Generic Skills (GS)

User/individual on the job needs to know how to:

- GS1.** use written communication skills to record information accurately in compliance with ALCOA principles as per SOP and regulatory guidelines in the English language
- GS2.** use reading and comprehension skills to read the various coding systems, instructions, guidelines, procedures, rules, and signage
- GS3.** use listening skills to interpret the instructions, procedures, and alarms
- GS4.** use verbal communication skills to interact with supervisor, teammates, cross-functional teams and customers as applicable
- GS5.** apply problem-solving skills to find solutions for workflow-related difficulties
- GS6.** apply planning and organizing skills to plan and organize tools and material required to fulfil documentation related requirements
- GS7.** apply critical thinking skills to analyse and identify what and when to report an issue/concern to the supervisor/ regulatory team / QA team / any other stakeholder
- GS8.** apply decision-making skills to make balanced judgments within the authority while dealing with daily work-life situations

Qualification Pack

Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>IPR Management</i>	30	50	10	10
PC1. develop initial understanding of the invention, creating search strategies, executing search strategies.	-	-	-	-
PC2. conduct comprehensive patent searches of technical and patent information using online database and other information resources.	-	-	-	-
PC3. collect the relevant information on products, probable customers and competitors from API Marketing team, IP or secondary sources (IMS, Scifinder, Newport, IPD Analytics).	-	-	-	-
PC4. perform prior Art Search / Patentability Search by using various paid and freely available databases	-	-	-	-
PC5. perform extracting and evaluating search results, culling, report formation, mapping and result evaluation, rejection summary and visualization through charts	-	-	-	-
PC6. create, update & maintain the required reports with the correct information & naming convention.	-	-	-	-
PC7. perform patent infringement analysis for various jurisdiction.	-	-	-	-
PC8. assist in preliminary evaluation based on sales projection, possible competitors, expert comments for the success of molecule, IP Analysis etc.	-	-	-	-
PC9. assist in preparation of patent landscape report for API/ Formulation/ Biological products/ Medical Devices/ In-vitro diagnostic devices (IVD) as per organizational need.	-	-	-	-
PC10. assist in drafting In-house opinion reports for the Invalidation of patents.	-	-	-	-



Qualification Pack

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC11. facilitate in providing clearance reports for various markets.	-	-	-	-
PC12. draft patent application for provisional/ non provisional & complete filing.	-	-	-	-
PC13. assist in prosecution of patent application in collaboration with legal department for national and global markets	-	-	-	-
PC14. liaise with multiple organizational functions like legal, regulatory affairs, research& development, medical affairs, marketing team to gather and validate cross-functional inputs required for decision making	-	-	-	-
NOS Total	30	50	10	10



Qualification Pack

National Occupational Standards (NOS) Parameters

NOS Code	LFS/N0571
NOS Name	Assist in intellectual property rights management for life sciences products and assets
Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical, Contract Research
Occupation	Research and Development
NSQF Level	5
Credits	2.00
Version	1.0
Last Reviewed Date	NA
Next Review Date	28/07/2025
NSQC Clearance Date	28/07/2022



Qualification Pack

LFS/N0567: Coordinate with Manager, R&D team, other cross-functional teams, external stakeholders and regulatory agencies to manage regulatory affairs operations

Description

This NOS is about a person who Coordinate with Manager, R&D team, other cross-functional teams, external stakeholders and regulatory agencies to manage regulatory affairs operations

Scope

The scope covers the following :

- Coordination with Manager
- Coordination with R&D Team
- Coordination with cross-functional teams
- Coordination with External Stakeholders and Regulatory Agencies
- Sensitivity towards all genders and people with disability

Elements and Performance Criteria

Coordination with Manager

To be competent, the user/individual on the job must be able to:

- PC1.** effectively communicate and collaborate with manager in order to develop regulatory strategies
- PC2.** provide local regulation intelligence to manager for efficient regulatory affairs management
- PC3.** maintain protocol-related documents and get them reviewed and approved by manager
- PC4.** identify problems arising within the process, resulting from change management or due to change in vendors, or human error, and escalate the same by following the proper escalation matrix

Coordination with R&D Team

To be competent, the user/individual on the job must be able to:

- PC5.** coordinate with various technical professionals to gather, organize and compile information on new products or processes and maintain confidentiality
- PC6.** work effectively with other staff in clinical operations (e.g., biostatistics, data management, clinical monitoring), regulatory affairs and others in team.
- PC7.** maintain protocol-related documents by obtaining approval from required departments and review staff

Coordination with cross-functional teams

To be competent, the user/individual on the job must be able to:

- PC8.** coordinate with the quality and production team for required facilitation in various activities for regulatory compliance
- PC9.** coordinate with BA/BE and Clinical Trial Team for seeking the status of project and for filing the IND supplements and other reports
- PC10.** coordinate with pharmacovigilance team for periodic product safety reporting



Qualification Pack

- PC11.** coordinate with the product development team for development of CMC related documents
- PC12.** support the Regulatory prices related decision making in collaboration with commercial department

Coordination with External Stakeholders and Regulatory Agencies

To be competent, the user/individual on the job must be able to:

- PC13.** collaborate with the local Regulatory liaison agent for smooth execution & liasoning
- PC14.** participate in Ethics Committee Meetings as and when required
- PC15.** maintain cordial relationship with the national and state level regulatory authorities for keeping self and organization updated and abreast with latest changes in regulations as well as status of applications
- PC16.** obtaining market permissions and approvals for company distributors as per regulatory laws if any
- PC17.** collaborate with the local Regulatory liaison agent for smooth execution & liasoning

Sensitivity towards all genders and people with disability

To be competent, the user/individual on the job must be able to:

- PC18.** respect all genders, religions, and caste
- PC19.** empathize with people with disability
- PC20.** offer support or help to a person with disability only when asked
- PC21.** ensure to adhere with the guidelines laid in Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act
- PC22.** report any violation of prevention of sexual harassment (POSH) rules immediately to the POSH committee

Knowledge and Understanding (KU)

The individual on the job needs to know and understand:

- KU1.** company's policies on preferred language of communication, reporting and escalation policy, quality delivery standards, and personnel management
- KU2.** the reporting structure of the organization
- KU3.** the required regulatory and statutory compliance related documentation
- KU4.** the guidelines for data integrity, ethics, and compliance in the life sciences industry
- KU5.** the guidelines laid on Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act
- KU6.** the methods of workplace communication
- KU7.** the methods of team coordination
- KU8.** the types of possible disabilities among people with disability (PWD)
- KU9.** the importance of awareness for gender sensitization and prevention of sexual harassment (POSH) act
- KU10.** the importance of respect for all the religions, caste, and cultures
- KU11.** the right way to use the laws acts, and provisions defined for PwD by the statutory bodies
- KU12.** various approach to define key performance indicators and methods to evaluate performance at work



Qualification Pack

KU13. time management strategies

KU14. how to develop a collaborative culture for cross-culture and gender-inclusive team

Generic Skills (GS)

User/individual on the job needs to know how to:

- GS1.** use written communication skills to record information accurately in compliance with ALCOA principles as per SOP and regulatory guidelines in the English language
- GS2.** use reading and comprehension skills to read the various coding systems, instructions, guidelines, procedures, rules, and signage
- GS3.** use listening skills to interpret the instructions, procedures, and alarms
- GS4.** use verbal communication skills to interact with supervisor, teammates, cross-functional teams and customers as applicable
- GS5.** apply problem-solving skills to find solutions for workflow-related difficulties
- GS6.** apply planning and organizing skills to plan and organize tools and material required to fulfil documentation related requirements
- GS7.** apply critical thinking skills to analyse and identify what and when to report an issue/concern to the supervisor/ QA team/ audit team / any other stakeholder
- GS8.** apply decision-making skills to make balanced judgments within the authority while dealing with daily work-life situations

Qualification Pack

Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Coordination with Manager</i>	5	10	3	2
PC1. effectively communicate and collaborate with manager in order to develop regulatory strategies	-	-	-	-
PC2. provide local regulation intelligence to manager for efficient regulatory affairs management	-	-	-	-
PC3. maintain protocol-related documents and get them reviewed and approved by manager	-	-	-	-
PC4. identify problems arising within the process, resulting from change management or due to change in vendors, or human error, and escalate the same by following the proper escalation matrix	-	-	-	-
<i>Coordination with R&D Team</i>	5	10	3	2
PC5. coordinate with various technical professionals to gather, organize and compile information on new products or processes and maintain confidentiality	-	-	-	-
PC6. work effectively with other staff in clinical operations (e.g., biostatistics, data management, clinical monitoring), regulatory affairs and others in team.	-	-	-	-
PC7. maintain protocol-related documents by obtaining approval from required departments and review staff	-	-	-	-
<i>Coordination with cross-functional teams</i>	5	10	3	2
PC8. coordinate with the quality and production team for required facilitation in various activities for regulatory compliance	-	-	-	-
PC9. coordinate with BA/BE and Clinical Trial Team for seeking the status of project and for filing the IND supplements and other reports	-	-	-	-
PC10. coordinate with pharmacovigilance team for periodic product safety reporting	-	-	-	-

Qualification Pack

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC11. coordinate with the product development team for development of CMC related documents	-	-	-	-
PC12. support the Regulatory prices related decision making in collaboration with commercial department	-	-	-	-
<i>Coordination with External Stakeholders and Regulatory Agencies</i>	5	10	5	5
PC13. collaborate with the local Regulatory liaison agent for smooth execution & liasoning	-	-	-	-
PC14. participate in Ethics Committee Meetings as and when required	-	-	-	-
PC15. maintain cordial relationship with the national and state level regulatory authorities for keeping self and organization updated and abreast with latest changes in regulations as well as status of applications	-	-	-	-
PC16. obtaining market permissions and approvals for company distributors as per regulatory laws if any	-	-	-	-
PC17. collaborate with the local Regulatory liaison agent for smooth execution & liasoning	-	-	-	-
<i>Sensitivity towards all genders and people with disability</i>	5	5	3	2
PC18. respect all genders, religions, and caste	-	-	-	-
PC19. empathize with people with disability	-	-	-	-
PC20. offer support or help to a person with disability only when asked	-	-	-	-
PC21. ensure to adhere with the guidelines laid in Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act	-	-	-	-
PC22. report any violation of prevention of sexual harassment (POSH) rules immediately to the POSH committee	-	-	-	-
NOS Total	25	45	17	13



Qualification Pack

National Occupational Standards (NOS) Parameters

NOS Code	LFS/N0567
NOS Name	Coordinate with Manager, R&D team, other cross-functional teams, external stakeholders and regulatory agencies to manage regulatory affairs operations
Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical, Contract Research
Occupation	Research and Development
NSQF Level	5
Credits	2.00
Version	1.0
Last Reviewed Date	NA
Next Review Date	28/07/2025
NSQC Clearance Date	28/07/2022



Qualification Pack

LFS/N0122: Ensure adherence to Environment, health and safety guidelines at the workplace by self and subordinates

Description

This NOS is about a person who Ensure adherence to Environment, health and safety guidelines at the workplace by self and subordinates

Scope

The scope covers the following :

- Adhere to health and hygiene protocols
- Adhere to safety and security procedures
- Adhere to emergency procedures
- Environment Sustainability

Elements and Performance Criteria

Adhere to health and hygiene protocols

To be competent, the user/individual on the job must be able to:

- PC1.** comply with health and personal hygiene-related protocols as per WHO standards and ICH GMP guidelines
- PC2.** sanitize your hands and follow cleanroom protocol, if applicable before entering in laboratory and production area and ensure the adherence of same by subordinates
- PC3.** report any allergy, sickness or any other environment-related breach before or after entering the work premises to the designated person
- PC4.** take preventive actions on the report of any allergy, sickness or any other environment-related breach reported by subordinates

Adhere to safety and security procedures

To be competent, the user/individual on the job must be able to:

- PC5.** observe compliance by self and subordinates with safety and security policies and procedures
- PC6.** ensure the use of appropriate safety gears like headgear, masks, gloves and other accessories as mentioned in the guidelines, by self and subordinates while working or visiting the manufacturing or laboratory area
- PC7.** take preventive and corrective actions on the report of any identified breaches in safety and security policies and procedures by subordinates and team mates
- PC8.** ensure proper material segregation and labelling at workplace
- PC9.** comply with material handling, segregation and storage as per 5S system at workplace

Adhere to emergency procedures

To be competent, the user/individual on the job must be able to:

- PC10.** report any hazards that he/she is not competent to deal with the relevant EHS personnel and warn other people who may be affected



Qualification Pack

- PC11.** raise the alarm and inform the concerned person immediately for action in the cases of spill, fall, injury, toxic inhale, fire or explosion
- PC12.** follow emergency protocols for any alarms and ensure the safety of subordinates in the area under supervision
- PC13.** follow emergency procedures efficiently
- PC14.** ensure injured employees are provided appropriate first aid and medical aid

Environment Sustainability

To be competent, the user/individual on the job must be able to:

- PC15.** ensure energy conservation by switching off the machine (including laptops etc.) and equipment post operations
- PC16.** identify ways to optimize the usage of electricity/energy in various tasks/activities/processes
- PC17.** ensure energy conservation by optimizing the machine (including laptop etc) / equipment performance
- PC18.** identify recyclable and non-recyclable, and hazardous waste generated
- PC19.** segregate waste into different categories to achieve minimum pollution of land and water
- PC20.** Ensure no water leakage in work area and take corrective actions, if any

Knowledge and Understanding (KU)

The individual on the job needs to know and understand:

- KU1.** the WHO guidelines and ICH-cGMP rules for health and personal hygiene
- KU2.**
 - individual's role and responsibilities in maintaining healthy, hygienic, safe and secure
 - working environment
- KU3.** company's procedures and protocols for the environment, health and safety
- KU4.** relevant legislative requirements as per local laws
- KU5.** implications that any non-compliance with health, safety and security may have on individuals and the organization
- KU6.** limits of individual responsibility for dealing with hazards
- KU7.** the organization's emergency procedures for different emergency situations and the importance of following these
- KU8.** evacuation procedures for employees, contract staff and visitors
- KU9.** importance of material segregation and 5S system
- KU10.** types of safety gears and procedure to use them
- KU11.** different types of breaches in the environment, health, safety and security and how and when to report these
- KU12.** procedure to summon medical assistance and the emergency services, where necessary
- KU13.** the guidelines related to environmental sustainability
- KU14.** methods to conserve energy, water and methods to minimize pollution
- KU15.** WHO guidelines and ICH-cGMP rules for waste disposal and waste management

Generic Skills (GS)



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User/individual on the job needs to know how to:

- GS1.** use reading and comprehension skills to understand the various coding systems and to read instructions, guidelines, procedures, rules, and signages to understand the procedure to be followed
- GS2.** use listening skills to follow the instructions and procedures during emergency alarms
- GS3.** use written communication skills to accurately record every information required to be reported as per SOP and GCP guidelines in the prescribed language
- GS4.** apply planning and organizing skills to plan and organize tools and material required for work to fulfil environment, health, safety and security requirements
- GS5.** use critical thinking skills to take relevant actions on the accidents and breach in compliance with EHS protocols
- GS6.** apply decision-making skills to make balanced judgments within the authority while dealing with hazards and breaches
- GS7.** apply problem-solving skills to find solutions for workflow-related difficulties
- GS8.** use verbal communication skills to communicate with supervisor/ manager/ EHS Incharge or any other concerned authority clearly for escalating any emergency or hazard

Qualification Pack

Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Adhere to health and hygiene protocols</i>	10	10	3	2
PC1. comply with health and personal hygiene-related protocols as per WHO standards and ICH GMP guidelines	-	-	-	-
PC2. sanitize your hands and follow cleanroom protocol, if applicable before entering in laboratory and production area and ensure the adherence of same by subordinates	-	-	-	-
PC3. report any allergy, sickness or any other environment-related breach before or after entering the work premises to the designated person	-	-	-	-
PC4. take preventive actions on the report of any allergy, sickness or any other environment-related breach reported by subordinates	-	-	-	-
<i>Adhere to safety and security procedures</i>	10	10	2	2
PC5. observe compliance by self and subordinates with safety and security policies and procedures	-	-	-	-
PC6. ensure the use of appropriate safety gears like headgear, masks, gloves and other accessories as mentioned in the guidelines, by self and subordinates while working or visiting the manufacturing or laboratory area	-	-	-	-
PC7. take preventive and corrective actions on the report of any identified breaches in safety and security policies and procedures by subordinates and team mates	-	-	-	-
PC8. ensure proper material segregation and labelling at workplace	-	-	-	-
PC9. comply with material handling, segregation and storage as per 5S system at workplace	-	-	-	-
<i>Adhere to emergency procedures</i>	10	10	3	3

Qualification Pack

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC10. report any hazards that he/she is not competent to deal with the relevant EHS personnel and warn other people who may be affected	-	-	-	-
PC11. raise the alarm and inform the concerned person immediately for action in the cases of spill, fall, injury, toxic inhale, fire or explosion	-	-	-	-
PC12. follow emergency protocols for any alarms and ensure the safety of subordinates in the area under supervision	-	-	-	-
PC13. follow emergency procedures efficiently	-	-	-	-
PC14. ensure injured employees are provided appropriate first aid and medical aid	-	-	-	-
<i>Environment Sustainability</i>	10	10	2	3
PC15. ensure energy conservation by switching off the machine (including laptops etc.) and equipment post operations	-	-	-	-
PC16. identify ways to optimize the usage of electricity/energy in various tasks/activities/processes	-	-	-	-
PC17. ensure energy conservation by optimizing the machine (including laptop etc) / equipment performance	-	-	-	-
PC18. identify recyclable and non-recyclable, and hazardous waste generated	-	-	-	-
PC19. segregate waste into different categories to achieve minimum pollution of land and water	-	-	-	-
PC20. Ensure no water leakage in work area and take corrective actions, if any	-	-	-	-
NOS Total	40	40	10	10



Qualification Pack

National Occupational Standards (NOS) Parameters

NOS Code	LFS/N0122
NOS Name	Ensure adherence to Environment, health and safety guidelines at the workplace by self and subordinates
Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical, Contract Research
Occupation	Generic
NSQF Level	5
Credits	1.00
Version	1.0
Last Reviewed Date	NA
Next Review Date	28/07/2025
NSQC Clearance Date	28/07/2022



Qualification Pack

DGT/VSQ/N0103: Employability Skills (90 Hours)

Description

This unit is about employability skills, Constitutional values, becoming a professional in the 21st Century, digital, financial, and legal literacy, diversity and Inclusion, English and communication skills, customer service, entrepreneurship, and apprenticeship, getting ready for jobs and career development.

Scope

The scope covers the following :

- Introduction to Employability Skills
- Constitutional values - Citizenship
- Becoming a Professional in the 21st Century
- Basic English Skills
- Career Development & Goal Setting
- Communication Skills
- Diversity & Inclusion
- Financial and Legal Literacy
- Essential Digital Skills
- Entrepreneurship
- Customer Service
- Getting ready for Apprenticeship & Jobs

Elements and Performance Criteria

Introduction to Employability Skills

To be competent, the user/individual on the job must be able to:

- PC1.** understand the significance of employability skills in meeting the current job market requirement and future of work
- PC2.** identify and explore learning and employability relevant portals
- PC3.** research about the different industries, job market trends, latest skills required and the available opportunities

Constitutional values – Citizenship

To be competent, the user/individual on the job must be able to:

- PC4.** recognize the significance of constitutional values, including civic rights and duties, citizenship, responsibility towards society etc. and personal values and ethics such as honesty, integrity, caring and respecting others, etc.
- PC5.** follow environmentally sustainable practices

Becoming a Professional in the 21st Century

To be competent, the user/individual on the job must be able to:

- PC6.** recognize the significance of 21st Century Skills for employment



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- PC7.** practice the 21st Century Skills such as Self-Awareness, Behaviour Skills, time management, critical and adaptive thinking, problem-solving, creative thinking, social and cultural awareness, emotional awareness, learning to learn for continuous learning etc. in personal and professional life
- PC8.** adopt a continuous learning mindset for personal and professional development

Basic English Skills

To be competent, the user/individual on the job must be able to:

- PC9.** use basic English for everyday conversation in different contexts, in person and over the telephone
- PC10.** read and understand routine information, notes, instructions, mails, letters etc. written in English
- PC11.** write short messages, notes, letters, e-mails etc. in English

Career Development & Goal Setting

To be competent, the user/individual on the job must be able to:

- PC12.** identify career goals based on the skills, interests, knowledge, and personal attributes
- PC13.** prepare a career development plan with short- and long-term goals

Communication Skills

To be competent, the user/individual on the job must be able to:

- PC14.** follow verbal and non-verbal communication etiquette while communicating in professional and public settings
- PC15.** use active listening techniques for effective communication
- PC16.** communicate in writing using appropriate style and format based on formal or informal requirements
- PC17.** work collaboratively with others in a team

Diversity & Inclusion

To be competent, the user/individual on the job must be able to:

- PC18.** communicate and behave appropriately with all genders and PwD
- PC19.** escalate any issues related to sexual harassment at workplace according to POSH Act

Financial and Legal Literacy

To be competent, the user/individual on the job must be able to:

- PC20.** identify and select reliable institutions for various financial products and services such as bank account, debit and credit cards, loans, insurance etc.
- PC21.** carry out offline and online financial transactions, safely and securely, using various methods and check the entries in the passbook
- PC22.** identify common components of salary and compute income, expenses, taxes, investments etc
- PC23.** identify relevant rights and laws and use legal aids to fight against legal exploitation

Essential Digital Skills

To be competent, the user/individual on the job must be able to:

- PC24.** operate digital devices and use their features and applications securely and safely
- PC25.** carry out basic internet operations by connecting to the internet safely and securely, using the mobile data or other available networks through Bluetooth, Wi-Fi, etc.
- PC26.** display responsible online behaviour while using various social media platforms



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- PC27.** create a personal email account, send and process received messages as per requirement
- PC28.** carry out basic procedures in documents, spreadsheets and presentations using respective and appropriate applications
- PC29.** utilize virtual collaboration tools to work effectively

Entrepreneurship

To be competent, the user/individual on the job must be able to:

- PC30.** identify different types of Entrepreneurship and Enterprises and assess opportunities for potential business through research
- PC31.** develop a business plan and a work model, considering the 4Ps of Marketing Product, Price, Place and Promotion
- PC32.** identify sources of funding, anticipate, and mitigate any financial/ legal hurdles for the potential business opportunity

Customer Service

To be competent, the user/individual on the job must be able to:

- PC33.** identify different types of customers and ways to communicate with them
- PC34.** identify and respond to customer requests and needs in a professional manner
- PC35.** use appropriate tools to collect customer feedback
- PC36.** follow appropriate hygiene and grooming standards

Getting ready for apprenticeship & Jobs

To be competent, the user/individual on the job must be able to:

- PC37.** create a professional Curriculum vitae (Résumé)
- PC38.** search for suitable jobs using reliable offline and online sources such as Employment exchange, recruitment agencies, newspapers etc. and job portals, respectively
- PC39.** apply to identified job openings using offline /online methods as per requirement
- PC40.** answer questions politely, with clarity and confidence, during recruitment and selection
- PC41.** identify apprenticeship opportunities and register for it as per guidelines and requirements

Knowledge and Understanding (KU)

The individual on the job needs to know and understand:

- KU1.** need for employability skills and different learning and employability related portals
- KU2.** various constitutional and personal values
- KU3.** different environmentally sustainable practices and their importance
- KU4.** Twenty first (21st) century skills and their importance
- KU5.** how to use English language for effective verbal (face to face and telephonic) and written communication in formal and informal set up
- KU6.** importance of career development and setting long- and short-term goals
- KU7.** about effective communication
- KU8.** POSH Act
- KU9.** Gender sensitivity and inclusivity
- KU10.** different types of financial institutes, products, and services



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- KU11.** components of salary and how to compute income and expenditure
- KU12.** importance of maintaining safety and security in offline and online financial transactions
- KU13.** different legal rights and laws
- KU14.** different types of digital devices and the procedure to operate them safely and securely
- KU15.** how to create and operate an e- mail account
- KU16.** use applications such as word processors, spreadsheets etc.
- KU17.** how to identify business opportunities
- KU18.** types and needs of customers
- KU19.** how to apply for a job and prepare for an interview
- KU20.** apprenticeship scheme and the process of registering on apprenticeship portal

Generic Skills (GS)

User/individual on the job needs to know how to:

- GS1.** read and write different types of documents/instructions/correspondence in English and other languages
- GS2.** communicate effectively using appropriate language in formal and informal settings
- GS3.** behave politely and appropriately with all to maintain effective work relationship
- GS4.** how to work in a virtual mode, using various technological platforms
- GS5.** perform calculations efficiently
- GS6.** solve problems effectively
- GS7.** pay attention to details
- GS8.** manage time efficiently
- GS9.** maintain hygiene and sanitization to avoid infection

Qualification Pack

Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Introduction to Employability Skills</i>	1	1	-	-
PC1. understand the significance of employability skills in meeting the current job market requirement and future of work	-	-	-	-
PC2. identify and explore learning and employability relevant portals	-	-	-	-
PC3. research about the different industries, job market trends, latest skills required and the available opportunities	-	-	-	-
<i>Constitutional values – Citizenship</i>	1	1	-	-
PC4. recognize the significance of constitutional values, including civic rights and duties, citizenship, responsibility towards society etc. and personal values and ethics such as honesty, integrity, caring and respecting others, etc.	-	-	-	-
PC5. follow environmentally sustainable practices	-	-	-	-
<i>Becoming a Professional in the 21st Century</i>	1	3	-	-
PC6. recognize the significance of 21st Century Skills for employment	-	-	-	-
PC7. practice the 21st Century Skills such as Self-Awareness, Behaviour Skills, time management, critical and adaptive thinking, problem-solving, creative thinking, social and cultural awareness, emotional awareness, learning to learn for continuous learning etc. in personal and professional life	-	-	-	-
PC8. adopt a continuous learning mindset for personal and professional development	-	-	-	-
<i>Basic English Skills</i>	3	4	-	-
PC9. use basic English for everyday conversation in different contexts, in person and over the telephone	-	-	-	-

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Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC10. read and understand routine information, notes, instructions, mails, letters etc. written in English	-	-	-	-
PC11. write short messages, notes, letters, e-mails etc. in English	-	-	-	-
<i>Career Development & Goal Setting</i>	1	2	-	-
PC12. identify career goals based on the skills, interests, knowledge, and personal attributes	-	-	-	-
PC13. prepare a career development plan with short- and long-term goals	-	-	-	-
<i>Communication Skills</i>	2	2	-	-
PC14. follow verbal and non-verbal communication etiquette while communicating in professional and public settings	-	-	-	-
PC15. use active listening techniques for effective communication	-	-	-	-
PC16. communicate in writing using appropriate style and format based on formal or informal requirements	-	-	-	-
PC17. work collaboratively with others in a team	-	-	-	-
<i>Diversity & Inclusion</i>	1	1	-	-
PC18. communicate and behave appropriately with all genders and PwD	-	-	-	-
PC19. escalate any issues related to sexual harassment at workplace according to POSH Act	-	-	-	-
<i>Financial and Legal Literacy</i>	2	3	-	-
PC20. identify and select reliable institutions for various financial products and services such as bank account, debit and credit cards, loans, insurance etc.	-	-	-	-
PC21. carry out offline and online financial transactions, safely and securely, using various methods and check the entries in the passbook	-	-	-	-

Qualification Pack

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC22. identify common components of salary and compute income, expenses, taxes, investments etc	-	-	-	-
PC23. identify relevant rights and laws and use legal aids to fight against legal exploitation	-	-	-	-
<i>Essential Digital Skills</i>	3	5	-	-
PC24. operate digital devices and use their features and applications securely and safely	-	-	-	-
PC25. carry out basic internet operations by connecting to the internet safely and securely, using the mobile data or other available networks through Bluetooth, Wi-Fi, etc.	-	-	-	-
PC26. display responsible online behaviour while using various social media platforms	-	-	-	-
PC27. create a personal email account, send and process received messages as per requirement	-	-	-	-
PC28. carry out basic procedures in documents, spreadsheets and presentations using respective and appropriate applications	-	-	-	-
PC29. utilize virtual collaboration tools to work effectively	-	-	-	-
<i>Entrepreneurship</i>	2	3	-	-
PC30. identify different types of Entrepreneurship and Enterprises and assess opportunities for potential business through research	-	-	-	-
PC31. develop a business plan and a work model, considering the 4Ps of Marketing Product, Price, Place and Promotion	-	-	-	-
PC32. identify sources of funding, anticipate, and mitigate any financial/ legal hurdles for the potential business opportunity	-	-	-	-
<i>Customer Service</i>	1	2	-	-
PC33. identify different types of customers and ways to communicate with them	-	-	-	-



Qualification Pack

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC34. identify and respond to customer requests and needs in a professional manner	-	-	-	-
PC35. use appropriate tools to collect customer feedback	-	-	-	-
PC36. follow appropriate hygiene and grooming standards	-	-	-	-
<i>Getting ready for apprenticeship & Jobs</i>	2	3	-	-
PC37. create a professional Curriculum vitae (Résumé)	-	-	-	-
PC38. search for suitable jobs using reliable offline and online sources such as Employment exchange, recruitment agencies, newspapers etc. and job portals, respectively	-	-	-	-
PC39. apply to identified job openings using offline /online methods as per requirement	-	-	-	-
PC40. answer questions politely, with clarity and confidence, during recruitment and selection	-	-	-	-
PC41. identify apprenticeship opportunities and register for it as per guidelines and requirements	-	-	-	-
NOS Total	20	30	-	-



Qualification Pack

National Occupational Standards (NOS) Parameters

NOS Code	DGT/VSQ/N0103
NOS Name	Employability Skills (90 Hours)
Sector	Cross Sectoral
Sub-Sector	Professional Skills
Occupation	Employability
NSQF Level	5
Credits	3
Version	1.0
Last Reviewed Date	08/05/2025
Next Review Date	31/10/2025
NSQC Clearance Date	08/05/2025



Qualification Pack

LFS/N0120: Establish own enterprise and perform various entrepreneurial activities to run the business operations in Life Sciences Sector

Description

This NOS is about an entrepreneur setting up his/her own enterprise and performing various entrepreneurial activities to run the business operations in Life Sciences Sector

Scope

The scope covers the following :

- Set up enterprise and perform entrepreneurial activities
- Maintenance of accounts and ledgers
- Comply with legal, regulatory and statutory standards

Elements and Performance Criteria

Set up enterprise and perform entrepreneurial activities

To be competent, the user/individual on the job must be able to:

- PC1.** perform a survey in the identified area for business activities to identify prospective customers and business opportunity
- PC2.** identify products and/ or services and its sources, that match the business opportunity
- PC3.** develop business proposal with objective and identified business area for registering the proposed business as independent entity with competent authorities
- PC4.** submit business proposals and feasibility plans for securing the required funding from govt. schemes for MSMEs like MUDRA etc. as and when needed
- PC5.** present the business proposal to investors and stakeholders to gain their confidence and trust for possible funding
- PC6.** ensure setting up the infrastructure and resources for business as per approved plan and investors/ shareholders agreement
- PC7.** enrol into various government schemes and programs for MSME and avail the benefits
- PC8.** promote the product/ services through various means including digital promotions in line to regulations for promotion and sale as per law of law
- PC9.** develop the supply chain and distribution network
- PC10.** maintain updated information regarding new market trends to provide service to customers and constantly upskill self and employees for futuristic technologies, innovations and methodologies

Maintenance of accounts and ledgers

To be competent, the user/individual on the job must be able to:

- PC11.** ensure to generate a final invoice for the services rendered/ or products sold
- PC12.** collect payment either in cash (as per RBI guidelines)/ cheque/ demand draft or through online transactions via RTGS/NEFT/UPI etc.

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- PC13.** ensure accounts and ledgers are maintained for the material supplied, payables and receivables, loan ledgers, taxes collected and paid into government treasury as well for wages paid and statutory benefits like PF, ESI contribution deposited with authorities
- PC14.** ensure maintenance and reconciliation of a monthly account as well as movable and immovable assets and stock to keep track of profit/ loss as well as periodic audit as per legal requirements

Comply with legal, regulatory and statutory standards

To be competent, the user/individual on the job must be able to:

- PC15.** comply with workplace health and safety rules stipulated by local authorities
- PC16.** comply with rules related to taxes and licensing regulations
- PC17.** comply with prevalent labour laws and keep records for employee's qualifications, employment as well as trainings
- PC18.** comply with regulations and protocols related to maintenance of product/ service license including the pre conditions (if any)
- PC19.** comply with quality system like ISO/GMP/GLP etc and any other rule mandated by appropriate regulatory authorities
- PC20.** comply with pollution norms as per land of law and ensure near zero pollution for a sustainable environment and to earn carbon credits
- PC21.** ensure that business facility and employees are always prepared and ready for any surprise inspection/ audit from clients/ regulatory/ statutory authorities

Knowledge and Understanding (KU)

The individual on the job needs to know and understand:

- KU1.** business administration, financial and planning activities
- KU2.** data analysis techniques for continuous improvement and identifying new business opportunities
- KU3.** maintaining confidentiality for information about finances, business secrets and information shared by clients/ stakeholders
- KU4.** procedures for funding approvals, and presenting new business idea/ opportunity for license or stakeholder approvals
- KU5.** prevailing and possible environmental issues and expected quality standards
- KU6.** license, patent and copyright laws
- KU7.** applicable tax, duties and labour laws
- KU8.** fundamentals of costing, pricing and profit
- KU9.** accounting principles and use of accounting software and government software/ portals for taxes and returns
- KU10.** Good Practices (GxP), ISO and other quality systems
- KU11.** talent management and resource planning
- KU12.** Awareness of applicable engineering concepts
- KU13.** audits and regulations in Life Sciences sector applicable for chosen business area
- KU14.** market promotion, globally trending strategies and distribution network
- KU15.** concept of innovation and jugaad principle



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Generic Skills (GS)

User/individual on the job needs to know how to:

- GS1.** note the information to be communicated
- GS2.** fill relevant applications where required
- GS3.** write clear communications to government officials, funding partners, team members, etc
- GS4.** discuss task lists, schedules and activities with customers, peers, partners, vendors etc.
- GS5.** effectively communicate with teams
- GS6.** interact with successful local entrepreneurs, and enterprises in a similar field for best practices and expertise
- GS7.** ask questions in order to understand problems and clarify queries
- GS8.** multi-task and adapt to meet work timelines
- GS9.** apply emotional intelligence while dealing with other genders and people with disability

Qualification Pack

Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Set up enterprise and perform entrepreneurial activities</i>	20	30	6	3
PC1. perform a survey in the identified area for business activities to identify prospective customers and business opportunity	-	-	-	-
PC2. identify products and/ or services and it's sources, that match the business opportunity	-	-	-	-
PC3. develop business proposal with objective and identified business area for registering the proposed business as independent entity with competent authorities	-	-	-	-
PC4. submit business proposals and feasibility plans for securing the required funding from govt. schemes for MSMEs like MUDRA etc. as and when needed	-	-	-	-
PC5. present the business proposal to investors and stakeholders to gain their confidence and trust for possible funding	-	-	-	-
PC6. ensure setting up the infrastructure and resources for business as per approved plan and investors/ shareholders agreement	-	-	-	-
PC7. enrol into various government schemes and programs for MSME and avail the benefits	-	-	-	-
PC8. promote the product/ services through various means including digital promotions in line to regulations for promotion and sale as per land of law	-	-	-	-
PC9. develop the supply chain and distribution network	-	-	-	-
PC10. maintain updated information regarding new market trends to provide service to customers and constantly upskill self and employees for futuristic technologies, innovations and methodologies	-	-	-	-
<i>Maintenance of accounts and ledgers</i>	10	20	6	5

Qualification Pack

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC11. ensure to generate a final invoice for the services rendered/ or products sold	-	-	-	-
PC12. collect payment either in cash (as per RBI guidelines)/ cheque/ demand draft or through online transactions via RTGS/NEFT/UPI etc.	-	-	-	-
PC13. ensure accounts and ledgers are maintained for the material supplied, payables and receivables, loan ledgers, taxes collected and paid into government treasury as well for wages paid and statutory benefits like PF, ESI contribution deposited with authorities	-	-	-	-
PC14. ensure maintenance and reconciliation of a monthly account as well as movable and immovable assets and stock to keep track of profit/ loss as well as periodic audit as per legal requirements	-	-	-	-
<i>Comply with legal, regulatory and statutory standards</i>	-	-	-	-
PC15. comply with workplace health and safety rules stipulated by local authorities	-	-	-	-
PC16. comply with rules related to taxes and licensing regulations	-	-	-	-
PC17. comply with prevalent labour laws and keep records for employee's qualifications, employment as well as trainings	-	-	-	-
PC18. comply with regulations and protocols related to maintenance of product/ service license including the pre conditions (if any)	-	-	-	-
PC19. comply with quality system like ISO/GMP/GLP etc and any other rule mandated by appropriate regulatory authorities	-	-	-	-
PC20. comply with pollution norms as per land of law and ensure near zero pollution for a sustainable environment and to earn carbon credits	-	-	-	-
PC21. ensure that business facility and employees are always prepared and ready for any surprise inspection/ audit from clients/ regulatory/ statutory authorities	-	-	-	-



Qualification Pack

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
NOS Total	30	50	12	8



Qualification Pack

National Occupational Standards (NOS) Parameters

NOS Code	LFS/N0120
NOS Name	Establish own enterprise and perform various entrepreneurial activities to run the business operations in Life Sciences Sector
Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical, Contract Research
Occupation	Generic
NSQF Level	6
Credits	1.00
Version	2.0
Last Reviewed Date	29/09/2023
Next Review Date	29/09/2026
NSQC Clearance Date	29/09/2023



Qualification Pack

LFS/N0121: Maintain the critical business documents as Entrepreneur in Life Sciences Sector

Description

This NOS is about an entrepreneur maintaining the critical business documents for its business in life sciences sector.

Scope

The scope covers the following :

- Infrastructure related documentation
- Supply Chain related documentation
- Documentation for sales & marketing
- Quality audit and client/regulatory inspections related documentation

Elements and Performance Criteria

Infrastructure related documentation

To be competent, the user/individual on the job must be able to:

- PC1.** ensure documentation and maintenance of records for architectural building layout like blueprint of plant/lab, electric circuit and plumbing layouts and safety equipment layout, infrastructure and asset insurance and lease/rent contracts/ sale deed (if owned facility)
- PC2.** ensure documentation and maintenance of records for all machineries, equipment and tools installed/used in the plant/ lab unit, with supplier/manufacture details, manuals of all machineries / equipment, installation and qualification records and annual maintenance details etc
- PC3.** ensure documentation and maintenance of records for engineering and maintenance of each machinery/equipment, machine utilization, machine performance, breakdown details, corrective actions, spares changed, machine/equipment condition etc

Supply Chain related documentation

To be competent, the user/individual on the job must be able to:

- PC4.** ensure documentation and maintenance of records for all raw materials, ingredients and packaging materials handled, like name of the supplier, batch details, quantity supplied and quality of the materials supplies etc as well as
- PC5.** ensure documentation and maintenance of records for each supplier quality report on raw materials, ingredients, packaging materials, internal and external quality report on finished products, consumer and customer complaints , corrective actions, legal documents (if any)
- PC6.** ensure documentation and maintenance of records for storage facility, like condition of storage facility, storage parameters if any like temperature, humidity, pressure (as applicable), space utilised, stacking procedure etc
- PC7.** ensure documentation and maintenance of records for distribution details like transport details, quality, hygiene and cleanliness of vehicle, quantity loaded in the vehicle, distribution routes , outlet details, customer/ consumer details, distribution quantity, quantity returned etc.



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Documentation for sales & marketing

To be competent, the user/individual on the job must be able to:

- PC8.** ensure documentation and maintenance of records for long term and short term business plans, feasibility reports, investor/shareholder agreements and disbursement and payment records for loan/grant/equity etc.
- PC9.** ensure documentation and maintenance of records for sale like customer details, customer type, location, quantity purchased by each stockist/consumer, frequency of purchase, sale details like quantity of products/brand sold in each territory

Quality audit and client/regulatory inspections related documentation

To be competent, the user/individual on the job must be able to:

- PC10.** ensure documentation and maintenance of records for internal/ external audits with its observations, notices/ feedback, action reports and final submission of audit action reports
- PC11.** ensure documentation and maintenance of records for every communication sent to or received from regulatory/ licensing authorities

Knowledge and Understanding (KU)

The individual on the job needs to know and understand:

- KU1.** system of documentation as per ISO/ good documentation practices and method of implementation
- KU2.** scoring, grading and accreditation system of affiliating bodies and clients
- KU3.** guidelines for facing audits and best practices for making organization audit ready
- KU4.** software and latest information technology tools for documentation and record maintenance
- KU5.** statistical tools for analysis and monitoring
- KU6.** various recording and documentation formats applicable in sales, marketing, supply chain etc
- KU7.** basic awareness of engineering drawing and architectural layouts
- KU8.** best practices in engineering and maintenance in sector
- KU9.** accounting standards and regulations

Generic Skills (GS)

User/individual on the job needs to know how to:

- GS1.** record and communicate details of work done to appropriate people using written/typed report
- GS2.** interact effectively with team members
- GS3.** communicate with team members for data/ information/support/ advice needed
- GS4.** communicate with people in a form and manner and using language that is open and respectful
- GS5.** apply balanced judgement to different situations
- GS6.** plan and organize assigned work in order to drive collaboration with team members
- GS7.** effectively interact with the various stakeholders to complete assigned tasks



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- GS8.** resolve any difficulties in relationships with colleagues , or get help from an appropriate person, in a way that preserves goodwill and trust
- GS9.** use team-building skills during the interaction with teammates while managing the difficult/stressful or emotional situations at work
- GS10.** apply emotional intelligence while dealing with other genders and people with disability

Qualification Pack

Assessment Criteria

Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
<i>Infrastructure related documentation</i>	5	20	5	2
PC1. ensure documentation and maintenance of records for architectural building layout like blueprint of plant/lab, electric circuit and plumbing layouts and safety equipment layout, infrastructure and asset insurance and lease/rent contracts/ sale deed (if owned facility)	-	-	-	-
PC2. ensure documentation and maintenance of records for all machineries, equipment and tools installed/used in the plant/ lab unit, with supplier/manufacturer details, manuals of all machineries / equipment, installation and qualification records and annual maintenance details etc	-	-	-	-
PC3. ensure documentation and maintenance of records for engineering and maintenance of each machinery/equipment, machine utilization, machine performance, breakdown details, corrective actions, spares changed, machine/equipment condition etc	-	-	-	-
<i>Supply Chain related documentation</i>	5	20	5	3
PC4. ensure documentation and maintenance of records for all raw materials, ingredients and packaging materials handled, like name of the supplier, batch details, quantity supplied and quality of the materials supplies etc as well as	-	-	-	-
PC5. ensure documentation and maintenance of records for each supplier quality report on raw materials, ingredients, packaging materials, internal and external quality report on finished products, consumer and customer complaints , corrective actions, legal documents (if any)	-	-	-	-
PC6. ensure documentation and maintenance of records for storage facility, like condition of storage facility, storage parameters if any like temperature, humidity, pressure (as applicable), space utilised, stacking procedure etc	-	-	-	-

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Assessment Criteria for Outcomes	Theory Marks	Practical Marks	Project Marks	Viva Marks
PC7. ensure documentation and maintenance of records for distribution details like transport details, quality, hygiene and cleanliness of vehicle, quantity loaded in the vehicle, distribution routes , outlet details, customer/ consumer details, distribution quantity, quantity returned etc.	-	-	-	-
<i>Documentation for sales & marketing</i>	5	10	3	3
PC8. ensure documentation and maintenance of records for long term and short term business plans, feasibility reports, investor/shareholder agreements and disbursement and payment records for loan/grant/equity etc.	-	-	-	-
PC9. ensure documentation and maintenance of records for sale like customer details, customer type, location, quantity purchased by each stockist/consumer, frequency of purchase, sale details like quantity of products/brand sold in each territory	-	-	-	-
<i>Quality audit and client/regulatory inspections related documentation</i>	5	5	2	2
PC10. ensure documentation and maintenance of records for internal/ external audits with its observations, notices/ feedback, action reports and final submission of audit action reports	-	-	-	-
PC11. ensure documentation and maintenance of records for every communication sent to or received from regulatory/ licensing authorities	-	-	-	-
NOS Total	20	55	15	10



Qualification Pack

National Occupational Standards (NOS) Parameters

NOS Code	LFS/N0121
NOS Name	Maintain the critical business documents as Entrepreneur in Life Sciences Sector
Sector	Life Sciences
Sub-Sector	Pharmaceutical, Bio Pharmaceutical, Contract Research
Occupation	Generic
NSQF Level	6
Credits	1.00
Version	2.0
Last Reviewed Date	29/09/2023
Next Review Date	29/09/2026
NSQC Clearance Date	29/09/2023

Assessment Guidelines and Assessment Weightage

Assessment Guidelines

1. Criteria for assessment for each Qualification Pack will be created by Life Sciences Sector Skill Development Council (LSSSDC)
2. Each Element will be assigned marks proportional to its importance in NOS. LSSSDC will also lay down the proportion of marks for Theory, Practical, Project, and Viva for each Element.
3. The assessment for the theory part will be based on the knowledge bank of questions created by the LSSSDC.
4. Assessment will be conducted for all compulsory NOS, and where applicable, on the selected elective/option NOS/set of NOS.
5. LSSSDC as an assessment and awarding body will create unique evaluations for each assessment component i.e. theory, practical, project, and viva for every student at each examination/training center based on this criterion.
6. Wherever any assessment component is not applicable/ feasible, the balance assessment components will be used to assess the candidate, and accordingly the total marks will be calculated only for the applied



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assessment component.

7. To pass the Qualification Pack, every trainee should score a minimum of 50%-70% of marks in each NOS (depending on NSQF Level) to successfully clear the assessment. In the case of a Govt funded program, the program guidelines will be overarching on the pass percentage rules.

8. In case of unsuccessful completion, the trainee may seek re-assessment on the Qualification Pack.

Minimum Aggregate Passing % at QP Level : 70

(Please note: Every Trainee should score a minimum aggregate passing percentage as specified above, to successfully clear the Qualification Pack assessment.)

Minimum Passing % at NOS Level: 70

(Please note: A Trainee must score the minimum percentage for each NOS separately as well as on the QP as a whole.)

Assessment Weightage

Compulsory NOS

National Occupational Standards	Theory Marks	Practical Marks	Project Marks	Viva Marks	Total Marks	Weightage
LFS/N0570.Development of Technical Dossier as per the regulatory guidelines of intended market (India and Global) for medical devices and In-vitro Diagnostic Devices (IVD)	25	45	20	10	100	20
LFS/N0502.Submission of Technical Dossier as per the regulatory guidelines	30	50	12	5	97	20
LFS/N0569.Assist in managing the regulatory affairs for medical devices and In-vitro Diagnostic Devices (IVD)	25	45	20	10	100	20
LFS/N0571.Assist in intellectual property rights management for life sciences products and assets	30	50	10	10	100	10



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National Occupational Standards	Theory Marks	Practical Marks	Project Marks	Viva Marks	Total Marks	Weightage
LFS/N0567.Coordinate with Manager, R&D team, other cross-functional teams, external stakeholders and regulatory agencies to manage regulatory affairs operations	25	45	17	13	100	10
LFS/N0122.Ensure adherence to Environment, health and safety guidelines at the workplace by self and subordinates	40	40	10	10	100	10
DGT/VSQ/N0103.Employability Skills (90 Hours)	20	30	-	-	50	10
Total	195	305	89	58	647	100

Optional: 1 Regulated Business Operations

National Occupational Standards	Theory Marks	Practical Marks	Project Marks	Viva Marks	Total Marks	Weightage
LFS/N0120.Establish own enterprise and perform various entrepreneurial activities to run the business operations in Life Sciences Sector	30	50	12	8	100	30
LFS/N0121.Maintain the critical business documents as Entrepreneur in Life Sciences Sector	20	55	15	10	100	30
Total	50	105	27	18	200	60



Qualification Pack

Acronyms

NOS	National Occupational Standard(s)
NSQF	National Skills Qualifications Framework
QP	Qualifications Pack
TVET	Technical and Vocational Education and Training
PIL	Patient Information leaflet
CTD	Common Technical Document
CESP	Common European Submission Portal
SOP	Standard Operating Procedure
SOP	Standard Operating Procedure
PIL	Patient Information leaflet
CTD	Common Technical Document
CESP	Common European Submission Portal
SOP	Standard Operating Procedure
SOP	Standard Operating Procedure
SOP	Standard Operating Procedure
SOP	Standard operating Procedure

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Glossary

Sector	Sector is a conglomeration of different business operations having similar business and interests. It may also be defined as a distinct subset of the economy whose components share similar characteristics and interests.
Sub-sector	Sub-sector is derived from a further breakdown based on the characteristics and interests of its components.
Occupation	Occupation is a set of job roles, which perform similar/ related set of functions in an industry.
Job role	Job role defines a unique set of functions that together form a unique employment opportunity in an organisation.
Occupational Standards (OS)	OS specify the standards of performance an individual must achieve when carrying out a function in the workplace, together with the Knowledge and Understanding (KU) they need to meet that standard consistently. Occupational Standards are applicable both in the Indian and global contexts.
Performance Criteria (PC)	Performance Criteria (PC) are statements that together specify the standard of performance required when carrying out a task.
National Occupational Standards (NOS)	NOS are occupational standards which apply uniquely in the Indian context.
Qualifications Pack (QP)	QP comprises the set of OS, together with the educational, training and other criteria required to perform a job role. A QP is assigned a unique qualifications pack code.
Unit Code	Unit code is a unique identifier for an Occupational Standard, which is denoted by an 'N'
Unit Title	Unit title gives a clear overall statement about what the incumbent should be able to do.
Description	Description gives a short summary of the unit content. This would be helpful to anyone searching on a database to verify that this is the appropriate OS they are looking for.
Scope	Scope is a set of statements specifying the range of variables that an individual may have to deal with in carrying out the function which have a critical impact on quality of performance required.



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Knowledge and Understanding (KU)	Knowledge and Understanding (KU) are statements which together specify the technical, generic, professional and organisational specific knowledge that an individual needs in order to perform to the required standard.
Organisational Context	Organisational context includes the way the organisation is structured and how it operates, including the extent of operative knowledge managers have of their relevant areas of responsibility.
Technical Knowledge	Technical knowledge is the specific knowledge needed to accomplish specific designated responsibilities.
Core Skills/ Generic Skills (GS)	Core skills or Generic Skills (GS) are a group of skills that are the key to learning and working in today's world. These skills are typically needed in any work environment in today's world. These skills are typically needed in any work environment. In the context of the OS, these include communication related skills that are applicable to most job roles.
Electives	Electives are NOS/set of NOS that are identified by the sector as contributive to specialization in a job role. There may be multiple electives within a QP for each specialized job role. Trainees must select at least one elective for the successful completion of a QP with Electives.
Options	Options are NOS/set of NOS that are identified by the sector as additional skills. There may be multiple options within a QP. It is not mandatory to select any of the options to complete a QP with Options.