CIN: U65990KA2022PTC164941
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<u>Goldenpi ensures the following to address conflict of interest: -</u>

1. Maintain at all times high standards of integrity in the conduct of their business.
2. Fair treatment of their clients and not discriminate amongst them.
3. Their personal interest does not, at any time conflict with their duty to their clients and client's interest always takes primacy in their advice, investment decisions and transactions.
4. Make appropriate disclosure to the clients of possible source or potential areas of conflict of interest which would impair their ability to render fair, objective and unbiased services.
5. Endeavour to reduce opportunities for conflict through prescriptive measures such as through information barriers to block or hinder the flow of information from one department/ unit to another, etc.
6. Place appropriate restrictions on transactions in securities while handling a mandate of issuer or client in respect of such security so as to avoid any conflict.
7. Not dealing in securities while in possession of material nonpublished information.
8. Not communicating the material nonpublished information while dealing in securities on behalf of others.
9. Not in any way contribute to manipulate the demand for or supply of securities in the market or to influence prices of securities.
10. Not having an incentive structure that encourages sale of products not suiting the risk profile of their clients.
11. Not sharing information received from clients or pertaining to them, obtained as a result of their dealings, for their personal interest.