



ZERODHA

Application Kit

Equity

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients including promoters.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OC Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor or photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (* Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of

Residence/Driving License/Flat Maintenance bill/Insurance Copy

2. Utility bills, like Telephone Bill (only land line), Electricity bill or Gas bill = Not more than 3 months old.
3. Bank Account Statement/Passbook = Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FI/sub account, Power of Attorney given by FI/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(* Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from Non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/ILD (to be submitted every year) • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations • Photograph, POI, POA, PAN of individual promoters holding control = either directly or indirectly • Copies of the Memorandum and Articles of Association and certificate of incorporation • Copy of the Board Resolution for investment in securities market • Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered partnership firms only) • Copy of partnership deed • Authorised signatories list with specimen signatures • Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered trust only). Copy of Trust deed • List of trustees certified by managing trustees/CA • Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> • PAN of HUF • Deed of declaration of HUF/List of coparceners • Bank pass-book/bank statement in the name of HUF • Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document • Resolution of the managing body & Power of Attorney granted to manage business on its behalf • Authorised signatories list with specimen signatures
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years • Authorised signatories list with specimen signatures
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate • Authorised signatories list with specimen signatures
Army/Government Bodies	<ul style="list-style-type: none"> • Self certification on letterhead • Authorised signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act • List of Managing Committee members • Committee resolution for persons authorised to act as authorised signatories with specimen signatures • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/checklist.	3 – 4
		B. Document captures the additional information about the Constituent relevant to trading account and an instruction /check list.	5 – 8
2	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	9
3	Rights and Obligations	Document stating the Rights & Obligations of stock broker /trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Annexure A
4	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Annexure B
5	Guidance note	Document detailing do's and don'ts for trading on exchange for education of investors	Annexure C
6	Policies and Procedures	Document describing significant policies and procedures of Stock Broker.	Annexure D
VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
7	Undertaking	Contains voluntary undertakings by the client with respect to Zerodha's internal operations during the course of the relationship with Zerodha.	10 – 11
8	Running Account Authorization and Mobile Declaration	Letter of Authorization for maintaining a Running Account with Zerodha and Client consent letter for receiving SMS from Zerodha	12
9	Client Defaulter Declaration and Client Acknowledgment	Client declaration stating that he is not a defaulter and Client acknowledgment that he has read all the rules & regulations.	13

Name of the Trading Member	Zerodha Broking Limited (hereinafter referred to as "Zerodha")
Name of the Clearing Member	Zerodha Broking Limited
Cash Segment	NSE, BSE : Zerodha Broking Limited
Derivatives	NSE: F&O: Zerodha Broking Limited SEBI Registration No. INZ000031633 CDS: Zerodha Broking Limited SEBI Registration No. INZ000031633 COM: Zerodha Broking Limited SEBI Registration No. INZ000031633 BSE: F&O: Zerodha Broking Limited SEBI Registration No. INZ000031633 CDS: Zerodha Broking Limited SEBI Registration No. INZ000031633 COM: Zerodha Broking Limited SEBI Registration No. INZ000031633
SEBI Registration Number: Zerodha Broking Limited: Member of NSE & BSE – INZ000031633 CDSL: Depository services through Zerodha Securities Pvt. Ltd. – IN-DP-431-2019	
Zerodha's Registered Office: 153/154, 4th Cross, Dollars Colony, Opp. Clarence Public School, J.P. Nagar, 4th Phase Bangalore - 560078. Ph : 080-40402020	
Correspondence Address : Same as above	
Compliance Officer: Venu Madhav, Phone No. & Email ID: 080-40402020, compliance@zerodha.com CEO: Nithin Kamath, Phone No. & Email ID: 080-40402020, ceo@zerodha.com For any grievance please contact Zerodha at the above address or email complaints@zerodha.com & Phone no. 91-8040402020.	

In case not satisfied with the response, please contact the concerned exchange at:

1.NSE: ignse@nse.co.in or contact at 022-26598100 | 2. BSE: is@bseindia.com or contact at 022-22728097

KNOW YOUR CLIENT (KYC) Application Form - For Non Individuals

☐ NEW ☐ CHANGE REQUEST (Please tick ✓ the appropriate)

Acknowledgement No.

ZERODHA


Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

(Please tick ✓ the box on left margin of appropriate row where **CHANGE/CORRECTION** is required and provide the details in the corresponding row)

A		IDENTITY DETAILS																										
<input type="checkbox"/>	1. Name of the Applicant	PRADEEP & FAMILY HUF																										
<input type="checkbox"/>	2a. Date of incorporation	01/01/2019	2b. Place of incorporation BANGALORE																									
<input type="checkbox"/>	3. Date of commencement of business	01/01/2019																										
<input type="checkbox"/>	4a. PAN	PPARH1134P																										
<input type="checkbox"/>	4b. Registration No. (e.g. CIN)																											
<input type="checkbox"/>	5. Status (Please tick ✓ the appropriate)	<table border="0"> <tr> <td><input type="checkbox"/> Private Limited Co</td> <td><input type="checkbox"/> Public Ltd. Co.</td> <td><input type="checkbox"/> Body Corporate</td> <td><input type="checkbox"/> Partnership</td> <td><input type="checkbox"/> Trust</td> </tr> <tr> <td><input type="checkbox"/> Charities</td> <td><input type="checkbox"/> NGO's</td> <td><input type="checkbox"/> FI</td> <td><input type="checkbox"/> FII</td> <td><input checked="" type="checkbox"/> HUF</td> </tr> <tr> <td><input type="checkbox"/> AOP</td> <td><input type="checkbox"/> Bank</td> <td><input type="checkbox"/> Government Body</td> <td><input type="checkbox"/> Non-Government Organization</td> <td><input type="checkbox"/> Defense Establishment</td> </tr> <tr> <td><input type="checkbox"/> BOI</td> <td><input type="checkbox"/> Society</td> <td><input type="checkbox"/> LLP</td> <td><input type="checkbox"/> FPI - Category I</td> <td><input type="checkbox"/> FPI - Category II</td> </tr> <tr> <td><input type="checkbox"/> FPI - Category III</td> <td colspan="4"><input type="checkbox"/> Others (Please specify)</td> </tr> </table>		<input type="checkbox"/> Private Limited Co	<input type="checkbox"/> Public Ltd. Co.	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Partnership	<input type="checkbox"/> Trust	<input type="checkbox"/> Charities	<input type="checkbox"/> NGO's	<input type="checkbox"/> FI	<input type="checkbox"/> FII	<input checked="" type="checkbox"/> HUF	<input type="checkbox"/> AOP	<input type="checkbox"/> Bank	<input type="checkbox"/> Government Body	<input type="checkbox"/> Non-Government Organization	<input type="checkbox"/> Defense Establishment	<input type="checkbox"/> BOI	<input type="checkbox"/> Society	<input type="checkbox"/> LLP	<input type="checkbox"/> FPI - Category I	<input type="checkbox"/> FPI - Category II	<input type="checkbox"/> FPI - Category III	<input type="checkbox"/> Others (Please specify)			
<input type="checkbox"/> Private Limited Co	<input type="checkbox"/> Public Ltd. Co.	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Partnership	<input type="checkbox"/> Trust																								
<input type="checkbox"/> Charities	<input type="checkbox"/> NGO's	<input type="checkbox"/> FI	<input type="checkbox"/> FII	<input checked="" type="checkbox"/> HUF																								
<input type="checkbox"/> AOP	<input type="checkbox"/> Bank	<input type="checkbox"/> Government Body	<input type="checkbox"/> Non-Government Organization	<input type="checkbox"/> Defense Establishment																								
<input type="checkbox"/> BOI	<input type="checkbox"/> Society	<input type="checkbox"/> LLP	<input type="checkbox"/> FPI - Category I	<input type="checkbox"/> FPI - Category II																								
<input type="checkbox"/> FPI - Category III	<input type="checkbox"/> Others (Please specify)																											

B		ADDRESS DETAILS							
<input type="checkbox"/>	1. Address for Correspondence	II 101 3P NAGAR							
	City / Town / Village	BANGALORE	Pin Code 560078						
	State	KARNATAKA	Country INDIA						
<input type="checkbox"/>	2. Specify the Proof of Address submitted for Correspondence Address:								
<input type="checkbox"/>	3. Contact Details	<table border="0"> <tr> <td>Tel. (Off.)</td> <td>Fax</td> </tr> <tr> <td>Tel. (Res.)</td> <td>Mobile No 9900001234</td> </tr> <tr> <td>E-Mail Id. PRADEEP@EMAIL.COM</td> <td></td> </tr> </table>		Tel. (Off.)	Fax	Tel. (Res.)	Mobile No 9900001234	E-Mail Id. PRADEEP@EMAIL.COM	
Tel. (Off.)	Fax								
Tel. (Res.)	Mobile No 9900001234								
E-Mail Id. PRADEEP@EMAIL.COM									
<input type="checkbox"/>	4. Registered Address (If different from above)	<table border="0"> <tr> <td>City / Town / Village</td> <td>Country</td> <td>Pin Code</td> </tr> <tr> <td>State</td> <td></td> <td></td> </tr> </table>		City / Town / Village	Country	Pin Code	State		
City / Town / Village	Country	Pin Code							
State									

C		OTHER DETAILS (If space is insufficient, enclose these details separately (Illustrative format enclosed))	
<input type="checkbox"/>	1. Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:		
<input type="checkbox"/>	2a. DIN of whole time directors :		
<input type="checkbox"/>	2b. Aadhar number of Promoters/Partners/Karta :		

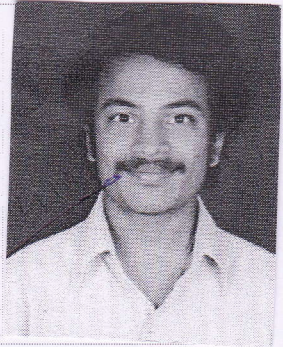
D		DECLARATION	
I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein immediately in case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/ we are aware that I/we may be held liable for it.		<p>PRADEEP & FAMILY HUF</p> <p> KARTA (Authorized Signatory)</p> <p>Date: / /</p>	

FOR OFFICE USE ONLY	
In Person Verification (IPV) Details:	
Name of the person who has done the IPV	Employee ID
Designation	Name of the organization Zerodha Broking Ltd
Date of IPV: / /	Signature of the person who has done the IPV
Originals Verified and Self Attested Document copies received	
Date	Name and Signature of the Authorized Signatory

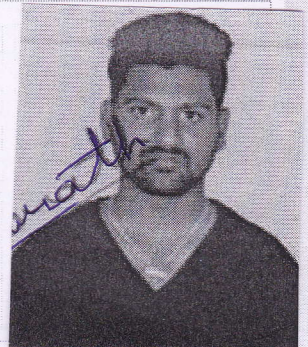
1. Name **PRADEEP**
2. Relationship with Applicant (i.e. promoters, whole time directors etc.) **KARTA**
3a. PAN **CHIZKH567L** 3b. DIN
3c. Aadhar (UID) Number
4. Residential/ Registered Address **# 101 JP NAGAR**
City / Town / Village **BANGALORE** Pin Code **560078**
State **KARNATAKA** Country **INDIA**



1. Name **FRANCIS**
2. Relationship with Applicant (i.e. promoters, whole time directors etc.) **CO-PARCE**
3a. PAN **FCHTJ0001K** 3b. DIN
3c. Aadhar (UID) Number
4. Residential/ Registered Address **SAME AS ABOVE**
City / Town / Village
State
Country
Pin Code



1. Name **BHARATH**
2. Relationship with Applicant (i.e. promoters, whole time directors etc.)
3a. PAN **FJHKK0001M** 3b. DIN
3c. Aadhar (UID) Number
4. Residential/ Registered Address **SAME AS ABOVE**
City / Town / Village
State
Country
Pin Code



1. Name **AKSHITH**
2. Relationship with Applicant (i.e. promoters, whole time directors etc.)
3a. PAN **LMNOP5554Q** 3b. DIN
3c. Aadhar (UID) Number
4. Residential/ Registered Address **SAME AS ABOVE**
City / Town / Village
State
Country
Pin Code




1. Name
2. Relationship with Applicant (i.e. promoters, whole time directors etc.)
3a. PAN 3b. DIN
3c. Aadhar (UID) Number
4. Residential/ Registered Address
City / Town / Village
State
Country
Pin Code

PHOTOGRAPH

Please affix
your recent passport
size photograph and
sign across it

PRADEEP & FAMILY HUF


Name & Signature of the Authorised Signatory (ies)
KARTA

Date:

TRADING ACCOUNT RELATED DETAILS

A. BANK ACCOUNT DETAILS

Bank Name HDFC BANK
 Branch Address BANGALORE BRANCH KARNATAKA-560078
 Account Number 501000111222
 MICR Number 411240002 IFSC Code HDFC0000007

Account Type: Savings ☒ Current ☐ Others ☐ | In case of NRI Account: NRE ☐ NRO ☐

B. OTHER DETAILS

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh ☐ 1-5 Lakh ☒ 5-10 Lakh ☐ 10-25 Lakh ☐ 25 Lakh to 1 Crore ☐ >1 Crore ☐

Net-worth as on (date) _____ (Net worth should not be older than 1 year)

Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note: Physical ☐ Electronic ☒

In case of non-individuals, for any of your Authorized Signatories / Promoters / Partners / Karta/Trustees / Whole time Directors

Please tick, if applicable: Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐

C. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name

Beneficiary Name

DP ID

BO ID

Depository Name:

NSDL ☐

CDSL ☒

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years NA

E. DEALINGS THROUGH SUBBROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name

SEBI Registration number

Registered Office Address

Phone

Website

Whether dealing with any other stock broker/sub-broker (If case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker

Name of Sub Broker, if any

Client Code

Exchange

Details of disputes/dues pending from/to such stock broker/sub-broker: _____

F. INTRODUCER DETAILS (optional)

Name of the Introducer

Status of the Introducer - Sub-broker/Renmisier/Authorized Person/Existing Client/ Others (please specify)

Address of the Introducer

Phone Number

Signature

G. TRADING PREFERENCES / EXPERIENCENo Prior Experience ☐

Years in Equities _____ / Years in Derivatives _____ / Years in other Investment Related Field _____

***Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.**

	Cash	F&O	Currency derivatives	Commodity derivatives
NSE	PRADEEP & FAMILY HUF F3 (a) <u>P</u>	PRADEEP & FAMILY HUF F3 (b) <u>P</u>	PRADEEP & FAMILY HUF F3 (c) <u>P</u>	PRADEEP & FAMILY HUF F3 (d) <u>P</u>
BSE	KARTA PRADEEP & FAMILY HUF F3 (e) <u>P</u>	KARTA PRADEEP & FAMILY HUF F3 (f) <u>P</u>	KARTA PRADEEP & FAMILY HUF F3 (g) <u>P</u>	KARTA PRADEEP & FAMILY HUF F3 (h) <u>P</u>

If, in future, the client wants to trade on any new segment, a separate authorization/letter should be taken from the client by the broker.

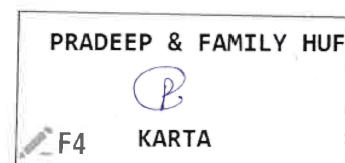
H. ADDITIONAL DETAILS(I) Whether you wish to receive (a) Physical contract note ☐(b) Electronic Contract Note (ECN) ☒If ECN Specify your Email id: PRADEEP@CMAIL.COM(ii) Whether you wish to avail the facility of internet trading/wireless technology/mobile trading YES ☒ NO ☐

In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of person/s authorized to deal in securities on behalf of the company/firm/others:

Any Other Information: _____

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place BANGALOREDate 19-10-2020

Signature of all Authorized Signatory(ies)

Instructions/Checklist - As mentioned in the Circular NSE/INSP/18677 dated August 22, 2011 (Annexure 3)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of employee			
Date			
Signature			

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Seal/Stamp of the stock broker

Date _____

Internet & wireless technology based trading facility provided by stock broker to the client

(All the clauses mentioned in the "Rights and Obligations" document(s) shall be applicable.

Additionally, the clauses mentioned herein shall also be applicable.)

1. Stock broker is eligible for providing Internet Based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with datacard, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.
2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT website provided that they are in line with the norms prescribed by Exchanges/SEBI.
3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.
4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy is as stipulated in line with norms prescribed by Exchanges/SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such a person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.
6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.
8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or nonexecution of his orders due to any link / system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stockbroker/Exchanges.

Declaration

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Client Name PRADEEP & FAMILY HUF

Place BANGALORE

Date : 19-10-2020



TARIFF SHEET

To

ZERODHA BROKING LIMITED

153/154, 4th Cross, Dollars Colony, Opp. Clarence Public
School, J.P. Nagar, 4th Phase Bangalore - 560078

Charges for Zerodha Trading Services

I/ We agree to pay the charges as per following charges structure for our Trading account with Zerodha effective

19-10-2020

Client ID: _____

Charge Head	Brokerage / Charges
Equity Intraday	Rs 20 per executed order or 0.03% of Turnover whichever is lower
Equity Delivery	Rs 20 per executed order or 0.1% of Turnover whichever is lower
Futures	Rs 20 per executed order or 0.03% of Turnover whichever is lower
Options	Rs 20 per executed order
Currency Futures	Rs 20 per executed order or 0.03% of Turnover whichever is lower
Currency Options	Rs 20 per executed order
Call & Trade Services	Rs 50 per order placed through a Dealer at Zerodha

Disclaimer: Clients who opt to receive physical contract notes will be charged Rs. 20 per contract note plus courier charges. A brokerage of 0.5% of the contract value will be charged for contracts where physical delivery happens. In addition to the brokerage charge the following charges will also be levied.

Schedule of Charges:

1. Exchange transaction charges | 2. Clearing charges | 3. Securities Transaction Tax | 4. Goods & Services Tax | 5. SEBI Turnover fees | 6. Stamp Duty

Note :

Brokerage will not exceed the rates specified by SEBI and the Exchanges

All Statutory and Regulatory charges will be levied at actuals

Brokerage is also charged on expired, exercised, and assigned Options contracts

*Turnover for Options is calculated as (Strike + Premium)*Lot Size

Charges for other value added services will be applicable at the time of availing such service, upon your consent. Detailed explanation of all charges is available online at : <https://zerodha.com/charge-list>



Date: 19-10-2020

ZERODHA

153/154, 4th Cross, Dollars Colony, Opp. Clarence Public School, J.P. Nagar, 4th Phase Bangalore - 560078

SUB: VOLUNTARY UNDERTAKING / AUTHORISATION

With respect to Member-Constituent Relationship and Mandatory and Voluntary (optional) Documents executed between us, I / We do hereby authorize ZERODHA to do the following:

1. ORDER PLACEMENT INSTRUCTIONS

I understand that you require written instructions from me for placing / modifying / cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even If I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting /calling/ Emailing the Call centre / branch specified for the said purpose by ZERODHA in case of breakdown of internet connectivity or other similar reasons.

I hereby request you to kindly accept my verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other modes. I indemnify ZERODHA and its employees against all trade related losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

2. ERRORS AND OMISSIONS

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances ZERODHA shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold ZERODHA responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by ZERODHA.

3. NO MARKET MANIPULATION

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, ZERODHA has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

4. NOT TO ACT AS UNREGISTERED SUB BROKER

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients. In case I wish to deal for other clients also, I undertake to apply to SEBI through ZERODHA to obtain a sub broker registration. In case ZERODHA perceives that I am acting as an unregistered sub broker, ZERODHA has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to ZERODHA without the requirement of any notice from ZERODHA. Further, ZERODHA has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify ZERODHA from any loss/ damage/claim arising out of such activity.

5. NOT DEBARRED BY ANY REGULATOR

I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and/or Statutory authority to deal in securities directly or indirectly. I agree to inform ZERODHA, in writing, of any regulatory action taken by any Exchange or Regulatory/ Statutory authority on me in future. In case I fail to inform the same and ZERODHA on its own comes to know of such action, ZERODHA has the right to suspend/close my trading account and refuse to deal with me. Also, ZERODHA can at its sole discretion, close all the open positions and liquidate collaterals to the extent of trade related debit balances, without any notice to me.

6. PMLA DECLARATION

I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by ZERODHA officials. I further declare that I shall adhere to all the provisions of PMLA Act, 2002.

I further undertake and confirm that:

- I do not have any links with any known criminal
- I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7. INDEMNIFICATION

I hereby indemnify and hold ZERODHA, its Directors and employees harmless from and against all trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the ZERODHA directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings, operations on the Exchange(s) and/ or proof of address, identity and other supporting documents provided by me at the time of registration and/ or subsequently.

INDEMNITY OF JOINT HOLDINGS

I hereby agree to indemnify and hold ZERODHA harmless from any trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

8. BSE STAR MUTUAL FUND FACILITY

I am interested in availing the StAR Mutual Fund facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the StAR platform of the Exchange. For the purpose of availing the StAR Mutual Fund facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of StAR and I/we further confirm that the details contained in same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

9. DELAYED PAYMENT CHARGES

I understand that in case my account is in debit balance and/or if I have insufficient funds to manage my trading positions, I will be charged an interest of 0.05% per day as delayed payment charges. I confirm having read the rules & regulations pertaining to the levy of such interest under the policies & procedures page on Zerodha's website.

10. NRI DECLARATION

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to ZERODHA every time the investment is made.

A. THIRD-PARTY PAYMENTS

ZERODHA shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof thereof as per proforma prescribed by ZERODHA. ZERODHA shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by ZERODHA and the credit for the same has been given in the client's ledger, ZERODHA shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, ZERODHA reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. ZERODHA, its Directors and employees shall not be responsible for any consequential damages or losses.

B. NO DEALINGS IN CASH

ZERODHA as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub- Broker/ Remisier/Employee/Authorised Person of ZERODHA.

C. DISCLOSURE OF PROPRIETARY TRADING BY ZERODHA

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, ZERODHA discloses to its clients about its policies on proprietary trades. ZERODHA does proprietary trades in the cash and derivatives segment at NSE, BSE, and MSEI.

D. DELIVERIES

The client shall ensure that the shares are properly transferred to the designated demat account of ZERODHA, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/ZERODHA. In case the client fails to transfer the shares on time to ZERODHA, ZERODHA shall not be responsible for any loss/damages arising out of such delayed transfers.

E. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS (to the extent of Settlement Margin obligation)

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then ZERODHA has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. ZERODHA, its Directors and Employees shall not be responsible for any trade related loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of ZERODHA.

After such square off of open positions by ZERODHA, as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, ZERODHA shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. ZERODHA, its Directors and employees shall not be responsible for any trade related loss or damages arising out of such selling.

PRADEEP & FAMILY HUF

P

F7 KARTA

RUNNING ACCOUNT AUTHORIZATION


VOLUNTARY

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my fund and securities account (Choose one Option)
 - ☐ Once in a calendar Month
 - ☐ Once in every calendar Quarter except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. I/We confirm you that I can revoke the above mentioned authority at any time.
7. All accounts with credit balances of Rs. 10000/- or lesser may be automatically retained and transfer of funds to the bank account may not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/INSP/24849, 20091204-7.
8. This running account authorization would continue until it is revoked by me.

Yours faithfully,

PRADEEP & FAMILY HUF

 F8 KARTA

Date: 19-10-2020

MOBILE DECLARATION

VOLUNTARY

The entity PRADEEP & FAMILY HUF having PAN PPARH1134P do hereby declare that my mobile no. is 9900001234 Further, I authorize ZERODHA that the same may be used for giving me any information/ alert/SMS.

I further declare the above mentioned statement is true and correct.

PRADEEP & FAMILY HUF

 F9 KARTA

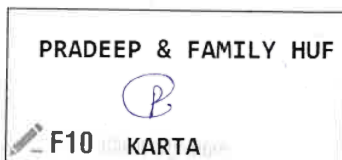
CLIENT DEFAULTER DECLARATION

VOLUNTARY

Date: 19-10-2020

The entity PRADEEP & FAMILY HUF having PAN PPARH1134P do hereby declare that we have not been involved in any terrorist activities and we have not been declared a defaulter or my name is not appearing in any defaulter database as per SEBI/ Various Exchange/ Regulatory bodies, etc.

I further declare that the above mentioned declaration/ statement is true and correct.



To

Zerodha Broking Limited

153/154, 4th Cross, Dollars Colony, Opp. Clarence Public School, J.P. Nagar, 4th Phase Bangalore - 560078

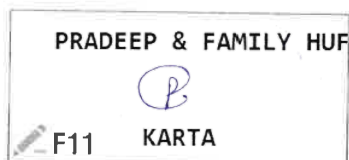
This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

Sub: Acknowledgement

Sl. No	Brief significance of the Document
1	Duly Executed Copy of KYC
2	Rights and Obligations
3	Risk Disclosure document (RDD) for Capital, Derivatives, and Currencies Segments
4	Guidance Note - Do's and Dont's for trading on the Exchange(s) for investors
5	Zerodha Tariff Sheet
6	Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
7	General Terms & Conditions governing securities trading and broking services of Zerodha
8	Running Account Authorization, Defaulter Declaration, & Mobile Declaration

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of ZERODHA

Yours faithfully,

Client Name: PRADEEP & FAMILY HUF

Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

Additional KYC Form for Opening a Demat Account

(To be filled by the Depository Participant)

Application No		Date	DD	MM	YY	YY	YY	YY	DP Internal Reference No	
DP ID	12081600								Client ID	

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holder Details

Sole / First Holder's Name	PRADEEP & FAMILY HUF																			
PAN	P	P	A	R	H	1	1	3	4	P	UID									
Second Holder's Name																				
PAN											UID									
Third Holder's Name																				
PAN											UID									

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)	

Details of Guardian (in case the account holder is minor)

Guardian's Name		PAN							
Relationship with the applicant									

I / We instruct the DP to receive each and every credit in my / our account [Automatic Credit] ☐ Yes ☐ No
(If not marked, the default option would be 'Yes')

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No') ☐ Yes ☐ No

Account Statement Requirement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Monthly ☐ Fortnightly

I / We request you to send electronic transaction-cum-holding statement at the following ☐ Yes ☐ No

Email ID PRADEEP@EMAIL.COM

I / We would like to share the email ID with the RTA ☐ Yes ☐ No

I / We would like to receive the Annual Report ☐ Physical ☐ Electronic ☐ Both Physical and Electronic
(Tick the applicable box. If not marked the default option would be in Physical)

I/We would wish to avail the following facility:

Basic Service Demat Account facility (BSDA)

☐ Yes ☐ No

Nomination details (Please tick the appropriate options)

☐ I / We do wish to nominate (Fill Annexure 1A)

☒ I / We do not wish to nominate

I/We wish to receive dividend/interest directly in to my bank account as given below through ECS. ☐ Yes ☐ No
(If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

Bank Details [Dividend Bank Details]

Account type Saving ☒ Current ☐ Others (specify) ☐

Bank Code (9 digit MICR code)	411240002	IFS Code (11 character)	HDFC00000007
Account number	501000111222		
Bank Name	HDFC BANK		
Branch Name	BANGALORE BRANCH		
Bank Branch Address	BANGALORE		
City	BANGALORE	State	KARNATAKA
Country	INDIA	PIN code	560078

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the bank statement having name and address of the BO

(iii) Photocopy of the passbook having name and address of the BO, (or) (iv) Letter from the Bank.

*In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

Other Details

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh ☐ 1-5 Lakh ☒ 5-10 Lakh ☐ 10-25 Lakh ☐ > 25 Lacs ☐

Or Net-worth as on _____ date _____ (Net worth should not be older than 1 year)

Occupation : _____

Please tick, if applicable: Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐

Any other information: _____

SMS Alert Facility

Refer to Terms & Conditions given in Annexure - 2.4

MOBILE NO. +91 9900001234

[Mandatory, if you are giving Power of Attorney (POA)]

(if POA is not granted & you do not wish to avail of this facility, cancel this option).

Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure - 2.6

I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.

I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST

☒ Yes ☐ No

Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

Easi

To register for easi, please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

Schedule-A Tariff Structure	
For individuals/HUF/corporates	
Details	POA Clients
	Option-1
Stamp charges payable upfront	Rs.50/-
Annual Maintenance Charges (charged quarterly)	
a. Individuals/Non Individual (except Corporate)	Rs.300/- + GST
b. Non Individual - Corporate	Rs.1000/- + GST
Transaction Charges : (Market Trades)	
Buy(Receive) / Sell (Debit)	Nil / Rs.8/- + Rs 5.50/- (CDSL Charges)
Transaction Charges : (Off-Market Trades)	
Buy(Receive) / Sell (Debit)	Nil / 0.03% or Rs.25/- whichever is higher
Demat (Per certificate)	Rs. 150/- per certificate
Remat (Per certificate)	Rs. 150/- per certificate + CDSL Charges
Courier charges per Demat/Remat/Demat Rejn./CMR	Rs. 100/-
Pledge Request	Rs. 20/- + Rs. 12 per request (CDSL Charges)
Unpledge Request	Rs. 20/- + Rs. 12 per request (CDSL Charges)
Pledge invocation	Rs. 20/-
Margin Pledge	Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Unpledge	Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Repledge	Rs. 2/- (CDSL charges)
Periodic Statement	
By Email / Physical	Free / Rs. 50/- (+ Courier charges at actual)
Adhoc / Non Periodic Statement Requests	
a. By Email	Rs.10/- per request
b. Physical	Rs. 50 per request upto 10 pages. Every additional page at Rs. 5 (+ Courier charges at actual)
Delivery instruction	
a. First Delivery Instruction Book	Free (10 Leaves)
b. Every Addl Booklet (10 Leaves)	Rs.100/-
Cheque Bounce Charges	Rs. 350/-
Failed Transactions	Rs. 50 per ISIN
Modification in CML	Rs. 25/- per request
KRA Upload / Download	Rs. 50/-

NOTE :

For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Zerodha Broking Ltd. reserves the right to freeze depository account for debit transaction in case of non payment of charges after two days from the bill date.

Interest at 18% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance Annual Maintenance Charge (AMC) is non refundable. GST is applicable on all above charges except stamp charges.

PRADEEP & FAMILY HUF
F12 KARTA

S1

T1

Date : 19 10 2020

To,
Zerodha Broking Ltd.
 153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Dear Sir,

Sub : Requesting for bill/transaction/holding statement through email.

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. 12081600 _____
 _____ at following email address: PRADEEP@Email.com

I/We fully agree and are aware of following Terms and Conditions mentioned below.

(a) that I/We will not receive the bill, transaction and holding statements in paper form.

(b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.

(c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality /secrecy of the login name and password is compromised.

(d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Zerodha Broking. about change in email address, if any.

In case, the Zerodha Broking is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Zerodha Broking Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.


I/We hereby request you to send the statements:


Daily ☐ Fortnightly ☐ Weekly ☐ Monthly ☒

Yours faithfully,

PRADEEP & FAMILY HUF

 F13(KARTA)

 S2(a)

 T2(a)

Declaration

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

PRADEEP & FAMILY HUF

 F13(KARTA)

 S2(b)

 T2(b)

Annexure E

Option form for issue of DIS booklet

Voluntary

Date : 19/10/2020

DP ID : 12081600

Client ID

First Holder Name	PRADEEP & FAMILY HUF
Second Holder Name	
Third Holder Name	

To,

Zerodha Broking Ltd.

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Dear Sir,

I/We hereby state that: [select one of the option given below]

Option 1:

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / We have issued a Power of Attorney (POA) in favour of Zerodha Broking Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

Option 2:

OR

I/We do not require the Delivery Instruction Slip (DIS) for the time being, Since I/We have issued a POA in favour of Zerodha Broking Ltd. for executing the delivery instructions for settling stock exchange trades [settlement related transaction] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

I/We hereby select Option 1 ☐ Option 2 ☒

PRADEEP & FAMILY HUF
F14 KARTA

S5

T5

Client E-mail ID

PRADEEP@EMAIL.COM

Optional

Client Mobile Number

9900001234

Declaration

I hereby declare that the mobile no./email ID mentioned in the Account opening form/ request for change in mobile no./email ID is my own

Request letter for registration of mobile no./email ID of person belonging to the client's family

Please note that the mobile no./email id/both mentioned in the Account opening form/ request for change in mobile no./email ID belongs to Mr./Mrs./Ms. _____ who is my _____ [relationship with the client]

PRADEEP & FAMILY HUF
F15 KARTA

[Only the mobile no./email id of your spouse, dependent children and dependent parents can be registered in your demat account]

Power Of Attorney (Voluntary)

To all to whom these presents shall come I/we the below mentioned send greetings.

Whereas I/we have established a business relation with Zerodha Broking Ltd., an entity registered with Securities and Exchange Board of India (SEBI) under Registration No IN-DP-100-2015, by virtue of opening a beneficial owner account with Central Depository Services (India) Limited (CDSL)

And Whereas I/we am/are desirous to buy and sell securities through Zerodha Broking Limited (hereinafter referred to as "Zerodha"), a body corporate registered under the Companies Act of 2013 under CIN: U65929KA2018PLC116815, having its registered office at 153/154, 4th Cross, Dollars Colony, Opposite Clarence School, J.P Nagar, 4th Phase, Bangalore KA 560078 and is a Member (Trading Member) of the National Stock Exchange of India Limited (NSE) & Bombay Stock Exchange limited (BSE) respectively (hereinafter referred to as "the Exchange/s"), who is a stock broker registered with SEBI under registration no. INZ000031633 and a member of recognized stock exchange/s.

And Whereas In the course of availing the services and for meeting the settlement obligation thereof on the Exchanges, I/We do hereby nominate, constitute and appoint Zerodha Broking Limited (Member Broker), hereinafter referred to as "Zerodha" acting through their Director/s and/or duly authorised staff for the purpose, as my/our true and lawful attorneys for my depository account with Zerodha Broking Ltd, (Depository Participant) DP ID 12081600 and Client ID as mentioned below, to execute and perform severally all such acts and deeds provided the attorney complies with all applicable conditions of all or any of their services offered by them in their capacity as stock brokers.

KNOW ALL MEN BY THESE PRESENTS THAT I/WE Mr./Ms./M/s. (First holder) PRADEEP & FAMILY HUF
(Second holder) _____


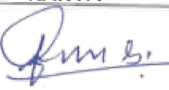
(Third holder) _____ an individual/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act 1956, or any relevant Act, having his/her/its residence/registered office/place of business at # 101 JP NAGAR
BANGALORE KARNATAKA - 560078


(hereinafter referred to as "Beneficial Owner") wish to avail/have availed the broking/e-broking facilities and services offered (hereinafter referred to as "Services") by Zerodha who is a Member (Trading Member) of the National Stock Exchange of India Limited (NSE) & Bombay Stock Exchange limited (BSE) respectively (hereinafter referred to as "the Exchange").


Whereas in the course of availing the services and for meeting the settlement obligation thereof on the Exchanges, Zerodha would be acting through their director/s and/or duly authorised staff for the purpose, as my/our true and lawful attorneys for my depository account with Zerodha Broking Ltd. (Depository Participant) DP ID 12081600, Client ID _____ to execute and perform severally the following acts, deeds, matters and things, provided the attorney complies with all applicable conditions of all or any of their services offered by them in their capacity as stock brokers.

1. To have and exercise the powers and/or authority, to do and/or execute the acts, deeds, matters and things specified in Mandatory and Voluntary Client Registration Documents between Zerodha and the Beneficial Owner as may be applicable in relation to the transactions executed by the client.
2. To Operate depository account(s) for the purpose of setting up/ execution of any client pledges and honouring delivery obligations for any transaction executed with Zerodha Broking Ltd.
3. To Sign instructions on my behalf with respect to debit/credit the depository account(s) for the credit or benefit of my/our account with Zerodha for the transactions carried by me/us with Zerodha.
4. To issue instructions relating, executing delivery/receipt instructions, pledge creation instructions, pledge closure instructions, lending and borrowing instructions, to operate the depository account by issue and receipt of instructions for the above mentioned purpose and such other authorization given by me/us severally on behalf of all of us, or all/any of us jointly, in any electronic form, in any format and at any time either through the portal of Zerodha or through the internet will be validly constituted attorney to intimate the same to the Depository Participant for the purpose of debiting or crediting my account opened with the Depository Participant.
5. To validate on my/our behalf any such instruction so given to the Depository Participant(s), in written/physical or other form as may be required by the concerned Depository Participant.
6. For these purposes and to this extent, Zerodha is empowered by me/us, to affix their signatures to any document, form or any other record, being a delivery participant, as required by the concerned depository.
7. I/We also undertake to pay such demat charges/fees and such other charges incurred by Zerodha under this Power of Attorney and that I/We further authorise Zerodha to debit my/our account with Zerodha with the said charges as and when the same becomes due for payment and I/we hereby undertake to pay the same immediately on demand made by Zerodha. All charges have been mentioned in the Tariff sheet in the application form.
8. To register this power of attorney with the Depository Participant and/or with any other party concerned as is required within the parameters of this Power of Attorney.
9. To sign all applications, apply for, subscribe to or enter into correspondence with respect to any Initial Public Offers/Offer For Sale of securities of the companies/units of mutual funds, asset management company or any other "investment products" offered through the website www.zerodha.com (owned by Zerodha Broking Ltd.) or any other means as per the instructions made available to them by me/us as on the website or otherwise.
10. To transfer securities held in my/our aforesaid Demat Account towards Exchange(s) related delivery obligations arising out of trades executed by me/us through the above said Trading Account with Zerodha on NSE/BSE or any such other Exchange(s) that Zerodha, the Trading Member, may be a member of at such Recognized Stock Exchange(s) (As defined in Securities Contract Regulation Act, 1956); provided that I/We have executed a Member – Constituent Agreement/Account opening application form and accepted the Policies & Procedures of Zerodha, the Trading Member, with respect to such Exchange(s).
11. To debit securities and/or transfer the securities for the purpose of delivering the same to the clearing house of the respective Stock Exchange(s) directly or through Zerodha's (Trading Member) Pool Account towards any segment in respect of securities sold by me/us through the Trading Member.
- Zerodha (the Trading Member) may from time to time open such other demat accounts in addition to the account numbers mentioned below in Annexure B, for the above purpose from time to time. In case of any change in the below account numbers, Zerodha (the Trading Member) is authorized to transfer the securities to the new account number(s) with prior intimation to me/us regarding such change(s).
12. To pledge/repledge the securities in favour of Zerodha (the Trading Member)/ the Exchange/clearing house for the limited purpose of meeting my/ our margin requirements in connection with the trades executed by me/us on any Recognized Stock Exchange (s) through Zerodha (the Trading Member).
13. To make payment to any party with respect to the aforesaid products mentioned in Point 9 above on my/our behalf to fulfil the transaction requested by me/us.
14. To do all such acts/processes as may be necessary to effect the subscription/purchase/redemption or any other such transaction, in any securities/scrips and/or investment product, for which services are availed from Zerodha.
15. To forward all such applications placed through the website to the online-IPO module of the concerned Exchange or owner/issuer of "Investment Product".

PRADEEP & FAMILY HUF


KARTA






16. To receive intimation from the Exchange and any other party regarding the allocation/allotment/rejection/regret of the securities or such other "Investment Product" applications / subscriptions / withdrawal or any other communications.
17. To authorize Zerodha to invest on behalf of me/us and to hold the mutual fund/asset management company(ies) based on the request given by me/us. I confirm not to hold such mutual fund/asset management company/Zerodha liable for any transaction processed based on my/our request to correspond with and give notice to the corresponding asset management company/body corporate(s) issuer/registrar and transfer agent of securities including giving instructions with regard to nomination/change in investment plans/any other changes that may be necessitated pursuant to the authorization given by me/us to Zerodha in this regard.
18. To deposit/transfer on allotment, the securities, debentures, units of the mutual funds and/or all other investments products applied through Zerodha, on allotment, to my/our Beneficial Owner Demat Account opened for the purpose with the Zerodha-DP.
19. To do all other acts and things as may be necessary to affect the subscriptions/purchase/redemption or any other transaction in any investment Product for which services are availed from Zerodha.
20. To debit my Trading account towards trade related fees or charges payable to Zerodha or a third party service provider or to any of the affiliates/subsidiaries of Zerodha by virtue of I/We using/subscribing to any of the facilities/service provided either by Zerodha or through a third party service provider or by any other security or financial instrument on behalf of me/us through Zerodha or any third party. To bind ourselves with respect to any instruction given by first holder or any other holder to Zerodha.
21. In case of any erroneous transfer done by attorney, the attorney may return the same to my account as soon it comes to their notice.
22. To send the consolidated summary of client wise, scrip wise buy and sell position with average rates by email.
23. To authorize Zerodha to transfer the securities to any of the demat accounts of Zerodha as mentioned in Annexure B in connection with any transactions undertaken by me/us on the Zerodha's platforms, or any settlement obligations thereof.
24. We the joint holders of demat account agree, ratify and confirm to bind ourselves to any instructions given by the client herein above mentioned who shall be the exclusive beneficiary of the transactions carried out pursuant to this power of attorney in favour of the Director(s) and/or the Authorised Signatories, who have in token thereof, subscribed their signature thereto.

That the Power of Attorney herein referred to is revocable at any time without notice subject to such revocation shall not be applicable for any outstanding settlement obligation arising out of the trades carried out prior to receiving request for revocation of POA.

That, I/We hereby declare that all the actions taken by my/our above mentioned attorney (herein Zerodha) in this regards shall be deemed to be an action done by me/us and if necessary shall be ratified by me /us on the instruction of the said attorney and that such actions will be binding on me/us.


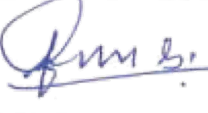
I/We agree that Zerodha is entitled to credit the proceeds of any instruction. This document shall be subject to the jurisdiction of the courts in Bangalore.


In witness whereof I/We have executed this revocable Power of Attorney on the day, date and year herein below mentioned.

Annexure B

Particulars	DP ID	Client ID	Particulars	DP ID	Client ID
CDSL BSE Principal A/C	12081600	00013706	CDSL BSE Early Pay-in A/C	11000010	00023629
CDSL NSE Pool A/C	12081600	00000061	NSDL BSE Pool A/C	IN300095	11619878
CDSL NSE-SLB Pool A/C	12081600	23213431	NSDL NSE Pool A/C	IN300095	11496000
CDSL NSE Early Pay-in A/C	11000011	00019678	CDSL Margin Trading A/C	12081600	25724470
NSDL NSE Pool A/C	IN304287	10000004			

Dated at Bangalore on this 19 day of 10-2020

PRADEEP & FAMILY HUF

KARTA






WITNESSES

<u>patrick</u>	<u>Aneel</u>
<u>B. love. 78</u>	<u>B. love. 78</u>
<u>[Signature]</u>	<u>[Signature]</u>