

Application Kit

Equity

Documents Required

Pan Card Address Proof Cancelled Cheque Income Proof





A. Important points

- 1. Self attested copy of PAN card is mandatory for all clients, including Promoters /Partners /Karta /Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
- 2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.

3. If any proof of identity or address is in a foreign language, then translation into English is required.

4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.

5. If correspondence & permanent address are different, then proofs for both have to be submitted.

Sole proprietor must make the application in his individual name & capacity.

7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.

8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.

9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted

10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.

11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.

3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.

3. Bank Account Statement/Passbook - Not more than 3 months old.

4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.

5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected representatives to the Legislative Assembly/ Parliament / Documents issued by any Govt, or Statutory Authority.

- 6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- 7. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and /or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.

2 Investors residing in the state of Sikkim.

3. UN entities / multilateral agencies exempt from paying taxes / filing tax returns in India.

4. SIP of Mutual Funds up to Rs 50,000/- p.a.

5. In case of institutional clients, namely, Fils, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents

- 1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- 2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts	
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate	
Copy of demat account holding statement.	Bank account statement for last 6 months Self declaration with relevant supporting documents.	
Any other relevant documents substantiating ownership of Assets		

In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

- G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.
- H. Demat master or recent holding statement issued by DP bearing name of the client.

- a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office
- b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted

For non-individuals:

- a. Form need to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on hehalf of company others & their specimen signatures.



S. No.	Name of the Document	Brief Significance of the Document	Page No		
MAN	NDATORY DOCUMENTS AS PRE	ESCRIBED BY SEBI & EXCHANGES			
1 Account Opening Form		A. KYC form - Document captures the basic information about the constituent and an instruction/checklist. B. Document captures the additional information about the			
- 1-		Constituent relevant to trading account and an instruction /check list.	4 – 6		
2	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	7		
3	Bights and Obligations Document stating the Rights & Obligations of stock broker /trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).		Annexure A		
Risk Disclosure Document (RDD) Document detailing risks associated with dealing in the securities market.		Annexure B			
5	Guidance note	Document detailing do's and dont's for trading on exchange for education of investors			
6 Policies and Procedures		Document describing significant policies and procedures of Stock Broker.	Annexure D		
VOL	UNTARY AND OPTIONAL DOCU	IMENTS AS PROVIDED BY THE STOCK BROKER			
7	Undertaking	Contains voluntary undertakings by the client with respect to Zerodha's internal operations during the course of the relationship with Zerodha.	na's la. 8 – 9		
8	Running Account Authorization and Mobile Declaration	Letter of Authorization for maintaining a Running Account with Zerodha and Client consent letter for receiving SMS from Zerodha	10		
9	Client Defaulter Declaration and Client Acknowledgment	Client declaration stating that he is not a defaulter and Client acknowledgment that he has read all the rules & regulations.	11		
10	Nomination Details	Collects details of any nomination made for the account	Annexure 1 A		
Nam	ne of the Trading Member	Zerodha Broking Limited (hereinafter referred to as "Zerodha")			
Name of the Clearing Member		Zerodha Broking Limited			
Cash Segment		NSE, BSE : Zerodha Broking Limited			
Derivatives		NSE: F&O: Zerodha Broking Limited SEBI Registration No. INZ00003163 CDS: Zerodha Broking Limited SEBI Registration No. INZ00003163 COM: Zerodha Broking Limited SEBI Registration No. INZ00003163 BSE: F&O: Zerodha Broking Limited SEBI Registration No. INZ00003163 CDS: Zerodha Broking Limited SEBI Registration No. INZ00003163	33 33 33 33		

SEBI Registration Number:

Zerodha Broking Limited: Member of NSE & BSE – INZ000031633 CDSL: Depository services through Zerodha Broking Ltd. – IN-DP-431-2019

Zerodha's Registered Office:

153/154, 4th Cross, Dollars Colony, Opp. Clarence Public School, J.P. Nagar, 4th Phase Bangalore

- 560078. Ph: 080-47181888

Correspondence Address: Same as above

Compliance Officer: Venu Madhav, Phone No. & Email ID: 080-47181888, compliance@zerodha.com

CEO: Nithin Kamath, Phone No. & Email ID: 080-47181888, ceo@zerodha.com
For any grievance please contact Zerodha at the above address or email complaints@zerodha.com & Phone no. 91-8047181888:

In case not satisfied with the response, please contact the concerned exchange at:

1.NSE: ignse@nse.co.in or contact at 022-26598100 | 2. BSE: is@bseindia.com or contact at 022-22728097



Know Your Client (KYC) Application Form - for Individuals

Please fill this form in English and BLOCK Letters
(Please fick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

(PI	ease tick the box on the left margin or the	, appropriate fow where or i			
	For office use only (To be filled be Application Type* ☐ Note Account Type* ☐ Note Property ☐	ew □ Update	on) KYC Number ed (for low risk custom	ners) 🗆 Small	
_	A. Identity details				0.6
ì		AKSHITH	1 N		
Ш	1. Name (Same as ID Proof)				9
	1a. Maiden Name (If any) 2. Father's/Spouse's Name	NACIARAJ			THE LAND
	2a. Mother's Name	PUSHPA			
	3a. Gender	☐ Transgender 3b. Ma other lent Individual	(ISO 3166 Cour n Resident Indian □ F risdiction(s) outside Ind □ Place of birth	ntry Code) Person of Indian Origi dia	
	Telephone (Office) Telephone (Residence)	Address Address T			Q REDDIFMAIL. COM
		BLACK BERRY	COURT		
	City/Town MANDINS State/U.T Code NEW Specify the Proof of Address S	SON	District		in Code 3 3 1 3 0 Code USA
	C. DECLARATION I hereby declare that the details furnish I undertake to inform you of any chang false or untrue or misleading or misrep I hereby consent to receiving information number/email address.	jes therein, immediately. In c iresenting, I am aware that I on from Central KYC Registr	may be held liable for it.	וומנוטוו וא וטשוומ נס מפ	1 F2 ★ 1 1 1 1 1 1 1 1 1 1
	Date: 2 0 20	22			
	FOR OFFICE USE ONLY				
	In Person Verification (IPV) Details:	IDV			
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			pidyee iD.		±1
	Name of the Organization: ZERODHA &		Signature of the Person who	has done the IPV	Seal/Stamp of the Intermediary
_	Date of the IPV: Originals Verified and Self-Attested Do				
,	Wildian Course and Con Little Co.	·	Date		Signature of the Authorized Signatory



☐ 3. Permanent Address						
Address 191E DOLLARS	COLONY	JP NACI	FR.	474	PHA	SE
City/Town BANCIALORE State/U.T Code KARNATAYA	District	Coun	Pin Co try/ISO Co		00 b	78
☐ 4. Address in the jurisdiction details where applic	cant is resident outs	ide India for tax purpos	e (if applic	abie)		
Address						
City/Town State/U.T Code	District	Coun	Pin Co try/ISO Co			
D. Details of related person (In case of additional	related persons, pl	ease fill below details)				
☐ Addition of Related Person ☐ Deletion of Relate						
KYC Number of Related Person (if available)						
Related Person Type 🛛 Guardian of Minor	□ Assignee □ Au	thorized Representative				
Name						
(If KYC number & name are provided, below details	s are optional)					
Proof Of Identity Of Related Person						
Identity Proof Submitted	Number					
Expiry Date :						
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Branch Address JP NACIAR	BANCIA 0169	IFSC Code	HDF	000	910	1
B. Other details	omo Dango nor apr	ııım				
Gross Annual Income Details (please specify): Inc Below Rs 1 Lakh □ 1-5 Lakh □ 5-10		enn 25 Lakh□	cs to 1 Cr		>1Cr 🗆	
Or Net-worth as ondate						
Occupation						
Private Sector Public Sector Governme	ent Service 🗆 🛮 Bu	siness Professional	☐ Agri	culturist [] Reti	red 🗆
Housewife ☐ Student ☐ Self Employed ☐						
Mode in which you wish to receive the RDD, Right	ts & Obligations, ar	d Guidance Note:	Physical (Electro	onic 🗆
Please tick, if applicable: Politically Exposed Perso	on (PEP) 🗇 Related	to a Politically Exposed	l Person (F	PEP)		
In what capacity do you trade commodities? Farmer/Farmer Producer Organisation Value	Chain Participant (Others				



Details of ar constituent 3 years	ny action/procee or its partners,	edings initiated, promoters/who	pending/ tal	ken by SEBI/ Stors/authorized	persons in cl	rany other auth harge of dealing	ority against j in securities	the applicanty s during the last
D. Deposito	ry account(s) o	letails						
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G. Trading p	oreferences / e	xperience						
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Internet & wireless technology based trading facility provided by stock broker to the client

(All the clauses mentioned in the "Rights and Obligations" document(s) shall be applicable.

Additionally, the clauses mentioned herein shall also be applicable.)

1. Stock broker is eligible for providing Internet Based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with datacard, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.

2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT website provided that they are in line with the norms prescribed by Exchanges/SEBI.

3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the

notice of the client by the stock broker.

4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its

password policy is as stipulated in line with norms prescribed by Exchanges/SEBI.

- 5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such a person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.
- 6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
- 7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.
- 8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.
- 9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
- 10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, nonavailability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or nonexecution of his orders due to any link / system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stockbroker/Exchanges.

Declaration

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock

broker and the tariff sheet.

3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Client Name	AKSHITH N	
Place	USA	
Date: 2	1012022	





Tariff sheet

Τo

Zerodha Broking Limited

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony, Opp. Clarence School, Bangalore - 560078

Charges for Zerodha Trading Services

1/ We agree to pay the charges as per following charges structure for our Trading account with Zerodha effective 21/01/2022

Charge Head	Brokerage / Charges
Equity Intraday	Rs. 20 per executed order or 0.03% of Turnover whichever is lower
Equity Delivery	Zero Brokerage
Futures	Rs. 20 per executed order or 0.03% of Turnover whichever is lower
Options*	Rs. 20 per executed order
Currency Futures	Rs. 20 per executed order or 0.03% of Turnover whichever is lower
Currency Options*	Rs. 20 per executed order
Call & Trade Services	Rs. 50 per order placed through a Dealer at Zerodha
NRI - Equity Delivery	Rs. 200 per executed order or 0.5% of Turnover whichever is lower
NRI - Futures & Options	Rs. 100 per executed order placed using a CP code
NRI - NRO non-PIS account	Rs. 100 per executed order or 0.5% of Turnover whichever is lower

Disclaimer: For Delivery based trades, a minimum of Rs. 0.01 will be charged per contract note. Clients who opt to receive physical contract notes will be charged Rs. 20 per contract note plus courier charges. A brokerage of 0.5% of the contract value will be charged for contracts where physical delivery happens. In addition to the brokerage charge the following charges will also be levied.

Schedule of Charges:

1. Exchange transaction charges | 2. Clearing charges | 3. Securities Transaction Tax | 4. Goods & Services Tax | 5. SEBI Turnover fees | 6. Stamp Duty : State-wise stamp duty as applicable will be levied

Note: Brokerage will not exceed the rates specified by SEBI and the Exchanges

All Statutory and Regulatory charges will be levied at actuals

Brokerage is also charged on expired, exercised, and assigned Options contracts

*Turnover for Options is calculated as (Strike + Premium)*Lot Size

Charges for other value added services will be applicable at the time of availing such service, upon your consent. Detailed explanation of all charges is available online at : https://zerodha.com/charge-list



For office use only

UCC Code allotted to the Client

000 odde anotted to the onom	Documents verified with originals	Client interviewed by	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of employee			
Date			
Signature			

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Seal/Stamp of the stock broker

Date:



Zerodha

Voluntary 153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Sub: Voluntary Undertaking/Authorisation

With respect to member-constituent relationship and mandatory and voluntary (optional) documents executed between us, I/we do hereby authorize Zerodha to do the following:

1.ORDER PLACEMENT INSTRUCTIONS

I understand that you require written instructions from me for placing/modifying/cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even If I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting/calling/emailing the call centre/branch specified for the said purpose by Zerodha in case of breakdown of internet connectivity or other similar reasons. I hereby request you to kindly accept my verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other approved modes. I/we also agree that non-receipt of bounced mail notification by you shall amount to delivery of contract note at my/our email ID. I indemnify Zerodha and its employees against all trade related losses, damages, actions which you may suffer or face, as a consequence carrying out my instructions for orders placed verbally

2.ERRORS AND OMISSIONS

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances Zerodha shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold Zerodha responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by Zerodha.

3.NO MARKET MANIPULATION

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, Zerodha has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

4.NOT TO ACT AS UNREGISTERED SUB BROKER

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients In case I wish to deal for other clients also, I undertake to apply to SEBI through Zerodha to obtain a sub broker registration. In case Zerodha perceives that I am acting as an unregistered sub broker, Zerodha has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to Zerodha without the requirement of any notice from Zerodha. Further, Zerodha has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify Zerodha from any loss/damage/claim arising out of such activity.

5 NOT DEBARRED BY ANY REGULATOR

I confirm and declare that there is no bar on me imposed by any Exchange or any regulatory and/or statutory authority to deal in securities directly or indirectly. I agree to inform Zerodha, in writing, of any regulatory action taken by any Exchange or regulatory/ statutory authority on me in future. In case I fail to inform the same and Zerodha on its own comes to know of such action, Zerodha has the right to suspend/close my trading account and refuse to deal with me. Also, Zerodha can at its sole discretion, close all the open positions and liquidate collaterals to the extent of trade related debit balances, without any notice to me.

6. PMLA DECLARATION

I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by Zerodha officials. I further declare that I shall adhere to all the provisions of PMLA Act, 2002.

I further undertake and confirm that;

- a. I do not have any links with any known unlawful persons/institutions
- b. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7.INDEMNIFICATION I hereby indemnify and hold Zerodha, its Directors and employees harmless from and against all trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the Zerodha directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/documents provided by me at the time of registration and/or subsequently.

INDEMNITY OF JOINT HOLDINGS

I hereby agree to indemnify and hold Zerodha harmless from any trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.



8. BSE STAR MUTUAL FUND FACILITY

I am interested in availing the StAR Mutual Fund facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the StAR platform of the Exchange. For the purpose of availing the StAR Mutual Fund facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of StAR and I/we further confirm that the details contained in same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

9. DELAYED PAYMENT CHARGES

I understand that in case my account is in debit balance and/or if I have insufficient funds to manage my trading positions, I will be charged an interest of 0.05% per day as delayed payment charges. I confirm having read the rules & regulations pertaining to the levy of such interest under the policies & procedures page on Zerodha's website.

10. NRI DECLARATION

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to Zerodha every time the investment is made.

11. THIRD-PARTY PAYMENTS

Zerodha shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof thereof as per proforma prescribed by Zerodha. Zerodha shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by Zerodha and the credit for the same has been given in the client's ledger, Zerodha shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, Zerodha reserves the right to liquidate any of the open positions and/or any of the collaterals received/held on behalf of the client. Zerodha, its Directors and employees shall not be responsible for any consequential damages or losses.

12. NO DEALINGS IN CASH

Zerodha as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub-Broker/Remisier/Employee/Authorised Person of Zerodha.

13, DISCLOSURE OF PROPRIETARY TRADING BY ZERODHA

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, Zerodha discloses to its clients about its policies on proprietary trades. Zerodha does proprietary trades in the cash and derivatives segment at NSE & BSE.

14. DELIVERIES

The client shall ensure that the shares are properly transferred to the designated demat account of Zerodha, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/Zerodha. In case the client fails to transfer the shares on time to Zerodha, Zerodha shall not be responsible for any loss/damages arising out of such delayed transfers.

15. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS (to the extent of Settlement Margin obligation)

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then Zerodha has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. Zerodha, its Directors and Employees shall not be responsible for any trade related loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of Zerodha.

After such square off of open positions by Zerodha, as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, Zerodha shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. Zerodha, its Directors and employees shall not be responsible for any trade related loss or damages arising out of such selling.

F6

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Running account authorization

Voluntary

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade.

I/We authorize you as under:

- 1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards -my/our margin/pay-in/other future -obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
- 2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
- 3. I/We request you to settle my fund and securities account (choose one option)
- Once in a calendar Month
- Once in every calendar Quarter except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt
 - 4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
 - 5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
 - 6. I/We confirm you that I can revoke the above mentioned authority at any time.
 - 7. This running account authorization would continue until it is revoked by me.

Yours faithfully,

Date: 9 1 0 1 2 0 2 2

Voluntary

Mobile declaration

having PAN

BMSMS6114A do hereby declare that my

mobile no. is

7755331144

Further, I authorize ZERODHA that the same may be used for

giving me any information/ alert/SMS₂

I further declare the above mentioned statement is true and correct.



Client defaulter declaration

Voluntary

l	AKSHITH N	having PAN 13 MSA	186114A	do hereby declare that	I have not been
involved	in any unlawful activities and I have	not been declared a def	aulter or my name is	s not appearing in defai	ulter database as
per SEB	I/ Various Exchange/ Regulatory bod	ies, etc. I further declare	that the above men	tioned declaration/ stat	ement is true
and cor	ect.				

F9 A Clima Signature

To

Zerodha Broking Limited

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Sub: Acknowledgement

This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

SI. No	Brief significance of the Document			
1	Duly Executed Copy of KYC			
2	Rights and Obligations			
3	Risk Disclosure document (RDD) for Capital, Derivatives, and Currencies Segments			
4	Guidance Note - Do's and Dont's for trading on the Exchange(s) for investors			
5	Zerodha Tariff Sheet			
6	Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009			
7	General Terms & Conditions governing securities trading and broking services of Zerodha			
8	Running account authorisation, Mobile declaration & Client defaulter declaration			

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Zerodha

Yours	faithfully,

Client Name : AKSAITH-N

Date: 21012022

F10 A



Zerodha Broking Ltd.
153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

Additional KYC Form for Opening a Demat Account

For Individuals		
To be filled by the Depository I	Participant)	
Application No	Date 2101/2022 DP Inte	rnal Reference No
	change NSE/BSE UCC	Client ID
(To be filled by the applicant in /We request you to open a der	BLOCK LETTERS in English) nat account in my/ our name as per following deta	ils:-
	Holder Details	
Sole / First Holder's Name	AKSHITH . N	UID
PAN BMSns 611	LIA	OID
Second Holder's Name PAN		UID
Third Holder's Name	- Y = 7	LIID
PAN	of Persons (AOP), Partnership Firm, Unregistered	Trust etc. although the account is opened in the
should be mentioned above. Type of Account (Please tick		y, i ai aio, diilp i iiii, diilogice.ce e e e e e
Status	Sub – Status	☐ Individual-Director
□ Individual	☐ Individual Resident ☐ Individual Director's Relative	☐ Individual HUF / AOP
	District Dis	☐ Minor
	☐ Individual Promoter☐ ☐ Individual Margin Trading A/c (MANTRA)	☐ Others(specify)
□ NRI	☐ NRI Repatriable	☐ NRI Non-Repatriable ☐ NRI Non-Repatriable Promoter
	□ NRI Repatriable Promoter	- 011 (- 16.)
	☐ NRI – Depository Receipts	
☐ Foreign National	☐ Foreign National ☐ Foreign National	- Depository Receipts
	Details of Guardian (in case the account ho	older is minor)
Guardian's Name		PAN
Relationship with the applica		
(If not marked, the default opt		[Automatic Credit]
I / We would like to instruct the any other further instruction f	ne DP to accept all the pledge instructions in my /orrow my/our end (If not marked, the default option	ur account without □ Yes □No would be 'No')
Account Statement Requirem	ent 🗆 As per SEBI Regulation 🗀 Daily 🗀 Weekly	y □ Monthly □ Fortnightly
	ectronic transaction-cum-holding statement at the	
	HIT 755@ REDIFMA.	
L / We would like to receive th		vsical □ Electronic□ Both Physical and Electron



I/We would wish to avail the Basic Service Demat Accoun		□ Yes □ No
Nomination details (Please t		
☐ I / We do wish to nominat		
We do not wish to nom	inate	
I/We wish to receive dividence (If not marked, the default or	l/interest directly in to my bank account as giver tion would be 'Yes') [ECS is mandatory for loca	n below through ECS.
	Bank Details [Dividend Bank De	etails]
Bank Code (9 digit MICR code Account number Bank Name Branch Name Bank Branch Address City Country (I) Photocopy of the cancelle (ii) Photocopy of the bank st (iii) Photocopy of the passbo	JP MACJAR 3RD DHA	character) HUCCOOCLOI SE CATE RAPTORA PIN code 56075 er where the cheque book is issued, (or) 1 Letter from the Bank.
Below Rs 1 Lakh ☐ 1 Or Net-worth as on Occupation:	date(Net	Lakh □ >25 Lacs □ worth should not be older than 1year) Politically Exposed Person (RPEP) □
SMS Alert Facility Refer to Terms & Conditions given in Annexure - 2.4	MOBILE NO. +91 775533119 [Mandatory, if you are giving Power of Attorne (if POA is not granted & you do not wish to av	y (POA)]
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	read and understood the Terms and Conditions I/We wish to register the following clearing me registered for TRUST PYes No	bile number registered for SMS Alert Facility. I have s prescribed by CDSL for the same. ember IDs under my/our below mentioned BO ID ember Name Clearing Member ID (Optional)
Casi	To register for easi, please visit our website w ISIN balances, transactions and value of the p	ww.cdslindia.com. Easi allows a BO to view his ortfolio online.



Zerodha Broking Ltd.
153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

		e-A Tariff Structure		
	For individ	uals/HUF/corporates		
Deta	nils	POA Clients		
		Option-1		
Stan	np charges payable upfront	Rs.50/-		
		e Charges (charged quarterly)		
a.	Individuals/Non Individual (except Corporate & NRIs)			
b.	Non Individual - Corporate	Rs.1000/- + GST		
b.	NRIs	Rs.500/- + GST		
	Transaction Cl	harges : (Market Trades)		
Buy	(Receive) / Sell (Debit)	Nil / Rs.8/- + Rs 5.50/- (CDSL Charges)		
	Transaction Cha	arges : (Off-Market Trades)		
Buyı	(Receive) / Sell (Debit)	Nil / 0.03% or Rs.25/- whichever is higher		
<u>_</u>	at (Per certificate)	Rs. 150/- per certificate		
		Rs. 150/- per certificate		
Kem	at (Per certificate)	+ CDSL Charges		
Courier charges per Demat/Remat/Demat Rejn./CMR		Rs. 100/-		
Pledge Request		Rs. 20/- + Rs. 12 per request (CDSL Charges)		
Unpledge Request		Rs. 20/- + Rs. 12 per request (CDSL Charges)		
Pledge invocation		Rs. 20/-		
	gin Pledge	Rs. 9 + Rs. 5 per request (CDSL Charges)		
	gin Unpledge	Rs. 9 + Rs. 5 per request (CDSL Charges)		
	gin Repledge	Rs. 2/- (CDSL charges)		
TVICE		iodic Statement		
By F	mail / Physical	Free / Rs. 50/- (+Courier charges at actual)		
Оу С	Adhoc / Non Pe	riodic Statement Requests		
a.	By Email	Rs.10/- per request		
b.	Physical	Rs. 50 per request upto 10 pages. Every additional page at Rs. 5 (+ Courier charges at actual)		
	Deli	very instruction		
a.	First Delivery Instruction Book	Free (10 Leaves)		
b.	Every Addl Booklet (10 Leaves)	Rs.100/-		
	eque Bounce Charges	Rs. 350/-		
	led Transactions	Rs. 50 per ISIN		
	dification in CML	Rs. 25/- per request		
KRA Upload / Download		Rs. 50/-		

NOTE:

For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Zerodha Broking Ltd. reserves the right to freeze depository account for debit transaction in case of non payment of charges after two days from the bill date.

The above tariff is subject to change. Changes if any will be intimated 30 days in advance Annual Maintenance Charge (AMC) is non refundable. GST is applicable on all above charges except stamp charges.







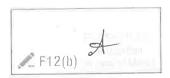
Date: DID M M Y Y Y Y To,
Zerodha Broking Ltd. 153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.
Dear Sir, Sub: Requesting for bill/transaction/holding statement through email.
I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no
at following email address:AKSHIT755@REDDSFMAIL: COM
I/We fully agree and are aware of following Terms and Conditions mentioned below.
(a) that I/We will not receive the bill, transaction and holding statements in paper form.
(b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the
internet/email account.
(c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the
confidentiality /secrecy of the login name and password is compromised.
(d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Zerodha
Broking, about change in email address, if any.
In case, the Zerodha Broking is not able to provide bill/transaction statement to its Clients by email or on website due to any
reason (including bounced emails), Zerodha Broking Ltd. will ensure that the transaction statement is provided to me/us in
paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.
I/We hereby request you to send the statements:
Daily ☐ Fortnightly ☐ Weekly ☐ Monthly ☐
bully in the diagnation of the second of the
Vouve feithfully
Yours faithfully,
Ford Selection to the selection of the s
F12(a) on case of Military Z2(a) Second Holder Z2(a) - Dant Helder
I, the sole holder, or we, the Joint Holders confirm that we would like to designate the First Demat Account Holder (who
is a Resident Indian Individual) of our account to receive the CDSL TPIN (or any such other similar PIN as required by any or all Depositories to transact as per the e-DIS facility offered by such Depository) on his/her mobile/email address for execution/permission of all such transactions & ancillary facilities to be availed via such mechanism from any or all Depositories.

Declaration

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.









Annexure E

Option form for issue of DIS booklet		Voluntar	У
Date: 21012022	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Client ID	
First Holder Name Second Holder Name Third Holder Name	AKSHITH.	11	
To, Zerodha Broking Ltd. 153/154, 4th Cross, Dollars Colony, 4th Phase,	JP Nagar, Opp. Clarence Pub	blic School, Bangalore - 560078.	
Dear Sir, I/We hereby state that: [select one of the option	given below]		
Option 1: I/We require you to issue Delivery Instruction Slip though I/ We have issued a Power of Attorney (F settling stock exchange trades (Settlement relate	OΔ) in favour of Zerodha Bro	roking Ltg. for executing delivery instructions for	
Option 2: I/We do not require the Delivery Instruction Slip(booklet should be issued to me / us immediately	DIS)for the time being. Howe	ever, the Delivery Instruction Slip (DIS) later date.	
I/We hereby select Option 1 □ Option 2 □			
Fig. Sole Holder C F15 (in case of Minor)	Second Holles	₹ T5 Third Ho	Heer
Client E-mail ID		Optio	nal
AKSHITTISSO REDDI	-FMATL.COM	M	
Client Mobile Number			
ID is my own		ning form/ request for change in mobile no./ema	il ———
Request letter for registration of mobile no./e	mail ID of person belonging	g to the client's family	
belongs to Mr/Mrs./Ms.		ning form/ request for change in mobile no./emai	my Ul
[relati	onship with the client]		
F16			

[Only the mobile not/email id of your spouse, dependent children and dependent parents can be registered in your demat account]



Nomination Details - Annexure 1A

☐ Zerodha Commodities Private Limited

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

	AKSHIT	HM	UUU	Delligr in 1508 (800
Nomination Details			D. I. H. of On A Marriage	Details of 2nd Naminas
Up to 3 nominations car		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Name of the nominee(s)	(Mr./Ms.)	KEERTHI		
		KUMAR		
Share of each nominee	Distribute equally	1001/-	all be transferred to the first no	
	[If not equally shared, please specify %age]	Any odd lot after division sh	all be transferred to the first no	minee mentioned in the form,
Relationship With the Ap		BROTHER		
Address of nominee(s)				
h - 3 = 1	te i	SAMERST		- Kr
Mobile/Telephone no. of Email ID of nominee(s)	nominee(s)			
Proof of identity submitt	ed		F-10	
Fill below details only i	f the nominee is a	minor		
Date of birth				
Name of the guardian				,
Address of guardian(s)				
			-	
Mobile/Telephone no. of	guardian(s)			
Email ID of guardian(s)				
Relationship of guardian				
Proof of identity submitt	ed			
F17		2S6 Sucum	rodone:	T6 4 190 1 Louis

Note:

- This nomination shall supersede any prior nomination made by the account holder(s), if any.
- The Trading Member / Depository Participant shall provide acknowledgment of the nomination form to the account holder(s).



Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony, Opp. Clarence School, Bangalore - 560078

Date: 21012022

Declaration form for opting out of nomination (Annexure 1B)

To,

Zerodha Broking Ltd.

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore -560078.

DPID 1208[600			Client	ID	
First Holder Name	AKSHI TH	N			
Second Holder Name					A - 1- 1
Third Holder Name			-		

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/demat account.







Note: You can add a nominee to your account online on console.zerodha.com after the account is opened.

AKSHITH.N MANDINZON

To, Zerodha Broking Ltd, 153/154 4th Cross 4th Phase, JP Nagar Opp Clarence School, Bangalore-560078

Subject: Declaration for Confirmation of Signature

Sir/Madam,

This letter is to confirm that all signatures placed on the account opening forms, and all such other documents towards Zerodha Broking Limited or any of its group entities, are done solely by me with complete knowledge of all such terms and clauses contained therein.

I/We, the undersigned, would like to request Zerodha Broking Limited or any of its group entities to accept the signed account opening forms and documents, even in case there may be slight variations on a few pages/areas of the document.

I/We, the undersigned, further would like to represent and warrant that in case of any misrepresentation with respect to the signatures placed on the account opening forms or all such documents towards Zerodha Broking Limited or any of its group entities by me/us, I/We will indemnify Zerodha Broking Limited and its directors/employees/agents for all such actions.

Yours faithfully, Signature:

A

Name:

AKSHITH. N

Important Instructions: A) Fields marked with "" are mand B) Tick " " wherever applicable C) Please fill the form in English ar D) Please fill the date in DD-MM-Y E) For particular section update, pl section number and strike off the to be updated.	nd in BLOCK letters. YYY format. ease tick (*) in the box	G) List of State / U.T code as H) List of two character ISO 3 I) KYC number of applicant i	netailed guidelines / instructions at the end per Indian Motor Vehicle Act, 1988 is available a st166 country codes is available at the end s mandatory for update application. theck box is to be checked for accounts opened to face to face mode	CERSOL
For office use only	Application Type*	New Update		
(To be filled by financial institution) KYC Number		(Mandatory for KYC u	
	Account Type*		Aadhaar OTP based E-KYC (in non-face to face	mode)
1. PERSONAL DETAILS*	Pleaso refer instruction A at	the end)		Last Name
	NAC 05-07-19 MM- Male	F- Female	Middle Name	L.SSI YOTHO
PAN*	BMSMS6112	Form 60 f	furnished	
O a proce of IDENTITY AN	D ADDRESS (Diago refe	instruction B at the end)		
A- Passport Number B-Voter ID Card C-Driving Licence D-NREGA Job Card E-National Population Re F-Proof of Possession of E-KYC Authentication Offline verification of Aad	ent e-document of OVD or egister Letter Aadhaar	Pin/Post Code* 3312	City / Town / Villag	4
3. CURRENT ADDRES	S DETAILS (Please refe	r instruction B at the end)		
		ess details as below need not be p	provided)	
I. Certified copy of OVD or equive A- Passport Number B-Voter ID Card C- Driving Licence D-NREGA Job Card E- National Population F F- Proof of Possession II	ilent e-document of OVD or tegister Letter of Aadhaar	OVD obtained through digital KYC	C process needs to be submitted (anyone of the f	ollowing OVDs)
Address Line 1*				
Ling i				
Line 3			City / Town / Villa	ge* ISO 3166 Country Gode*
District*		Pin / Post Code*	State/U.T Code*	15O 5 HB COMINY COST

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

District*

I. (Off)		Tel. (Res)		Mobile 77-65331144
nail ID AK	SHI7755@RE	DDIFMAIC.	COM	
5. REMARKS (If a	ny)			A
6. APPLICANT DI	ECLARATION			
o inform you of any ch misleading or misrepre hereby consent to re	he details furnished above are true a hanges therein, immediately. Incase esenting, I am aware that I may be h ceiving information from Central KY0	any of the above information in held liable for it.	is found to be false of difficults of	A
registered number/em	nail address.	Place: MANDIN		Signature / Thumb Impression of Applicant
	FOR OFFICE USE ONLY			
ocuments Received	Certified Copies	☐ E-KYC data received fro	om UIDAI	om Offline verification Digital KYC Process
odinema (vioevos	Equivalent e-document	☐ Video Based KYC		
W. Carlotte	YC VERIFICATION CARRIED OUT	BY		INSTITUTION DETAILS
			Name	
Date			Code	والمتعام والم والمتعام والمتعام والمتعام والمتعام والمتعام والمتعام والمتعا
with Marine				
Emp. Name Emp. Code				
Emp. Code				

Emp. Code Emp. Designation				
Emp. Code Emp. Designation	[Employee Signature]			



To, Zerodha Broking Limited, 153/154, 4 TH Cross, J P Nagar, 4 th Phase, Bangalore-560078 Karnataka
Dear Sir / Madam,
Re : Application No
Sub Declaration towards address provided in the account opening form {NRI / FN}
This has reference to my / our application for opening of a demat account with you
In this connection, please find below our residential address:
606 BLACKBERRY
COURT MANDINSON
NEW JERSEY
USA 3313
Further, I/ we confirm that in case of any change in our above residential address, the same will be brought to your notice
ao ao eagairte gant a chairte ann an t-airte ann a
Thanking you,
Yours truly,
Sole / First Holder Second Holder / Third Holder / Authorised Signatory Authorised Signatory

Date 21-01-2022



Addendum to Individual Account opening form 7 December 2015

Annexure to Account opening Form for Individuals containing information for reporting requirement under Section 285BA of the Income-tax Act, 1961 and KYC.

Background:

- 1. India has joined the Multilateral Competent Authority Agreement (MCAA) on Automatic Exchange of Financial Account Information (AEOI) on June 3, 2015 and has agreed to certain global standards on automatic exchange of information, known as Common Reporting Standards (CRS). Further, the Government of India (GoI) signed an Inter-Governmental Agreement (IGA) with United States of America (USA) on July 9, 2015 to improve international tax compliance and to implement Foreign Account Tax Compliance Act (FATCA) in India.
- 2.To implement the CRS on AEOI and also the IGA with USA, the GoI has made necessary amendments in Section 285BA of the Income-tax Act, 1961 and notified Rules 114F to 114H in the Income-tax Rules, 1962 vide amendment dated August 7, 2015. These Rules are available on: http://www.incometaxindia.gov.in.
- 3.SEBI has issued a circular dated August 26, 2015 advising all registered intermediaries to implement FATCA and CRS as per above mentioned Rules.

We do understand that the information mentioned above is technical in nature and hence we advise you to consult your financial or tax advisor for more details.

Applicant's Name: AKSHITH N					
Client Id / Form No:		Father's name :			
PAN: BMSMSGIKA Place of Birth(City): BANGALORE Country of Birth: INDIA					
Gender : ☑M – Male □ F – Female □ O – Others	Nationality:	Nationality: ☑ Indian ☐ Other (Please specify the name of country):			
Occupation Type:					
	sidential Or Busines	_	ential Business		
☐ Re	gistered Office	Unspecified		70.4	
City/Town: MANDI	NSON	State:	NEW JERSE		
Country: USA	Country: 11CA Postal Code: 3 3 1 3				
			of tax residence India - Y	es / No.	
2. Tax resident of N	fultiple Countries -	Yes / No	C		
If tax resident of multiple	countries, kindly pr	ovide information	on of tax residence of all co	ountries.	
If Point 1 is Yes and Poin	t 2 is No, no further	information req	lowing should be provided	alonowith with	
	1 Point 1 and 2 are	res, then the for	lowing should be provided	alongwith with	
documentary evidence:					
Country/countries of	Tax	TIN /	Documents provided	Date upto which the	
tax residency	Identification	functional	(copy of certificate of	documentary evidence	
.,	Number (TIN)/	equivalent	tax residence or copy	is valid	
	functional	Issuing	of TIN or others)		
	equivalent	Country			
	number			1 10 21	
USA	Z233233	USA	SSNITIN	21 01 2024	





Addendum to Individual Account opening form 7 December 2015

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Declaration and Undertakings

The Customer/account holder certifies that:

- a. The information provided in the Form is in accordance with Section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income-tax Rules, 1962.
- b. the information provided by me/us in the Form, its supporting Annexures as well as in the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete and that I/we have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.
- c. I/We permit/authorise the Company to collect, store, communicate and process information relating to the Account and all transactions therein, by the Company and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
- d. I / We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide fresh self certification alongwith documentary evidence.
- e. I / We also agree that our failure to disclose any material fact known to us, now or in future, may invalidate our application and the Company would be within its right to put restrictions in the operations of my/our account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /Reserve Bank of India for the purpose or take any other action as may be deemed appropriate by the Company if the deficiency is not remedied by us within the stipulated period.
- f. I / We hereby accept and acknowledge that the Company shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me / us to the Company.
- g. It shall be my responsibility / our responsibilities to educate myself / ourselves and to comply at all times with all relevant laws relating to reporting under Section 285BA of the Act read with the Rules thereunder.
- h. I/We also agree to furnish such information and/or documents as the Company may require from time to time on account of any change in law either in India or abroad in the subject matter herein.
- i. I/We shall indemnify the Company for any loss that may arise to the Company on account of providing incorrect or incomplete information.

Date: 2101 2022

Place: MANDINSON

A

Signature of the Applicant



Dated

FEMA Declaration

То,	Dated
Zerodha Broking limited	2101/2022
153/154, 4th Cross, Dollars Colony,	
Opp. Clarence Public School, JP Nagar 4th Phase,	
Bangalore – 560078	
Building 5000.0	
Dear Sir,	
Re: Application Number:	
Sub: Application for opening of an NRI/FN/FCB account	
This has reference to my/our application for opening of a trading & demat account with	ı you.
In this connection, I/we hereby declare that I/we have complied and will continue to co	omply
with FEMA Regulations with respect to buying and selling of securities in the Indian Car	oitai
Market.	
Thereling you	
Thanking you,	
Yours truly,	
Signature of the First/Sole Holder/Authorised signatory	