

Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

Additional KYC Form for Opening a Demat Account

(To be filled by the Depository Participant)

Application No		Date		DP Internal Reference No	
DP ID	12081600	Exchange	NSE/BSE	UCC	Client ID

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holder Details

Sole / First Holder's Name			
PAN		UID	
Second Holder's Name			
PAN		UID	
Third Holder's Name			
PAN		UID	

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub – Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)	

Details of Guardian (in case the account holder is minor)

Guardian's Name	PAN
Relationship with the applicant	

I / We instruct the DP to receive each and every credit in my / our account [Automatic Credit] ☐ Yes ☐ No
(If not marked, the default option would be 'Yes')

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without ☐ Yes ☐ No
any other further instruction from my/our end (If not marked, the default option would be 'No')

Account Statement Requirement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Monthly ☐ Fortnightly

I / We request you to send electronic transaction-cum-holding statement at the following ☐ Yes ☐ No

Email ID	
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I / We would like to share the email ID with the RTA ☐ Yes ☐ No

I / We would like to receive the Annual Report ☐ Physical ☐ Electronic ☐ Both Physical and Electronic
(Tick the applicable box. If not marked the default option would be in Physical)

I/We would wish to avail the following facility:

Basic Service Demat Account facility (BSDA)

☐ Yes ☐ No

Nomination details (Please tick the appropriate options)

☐ I / We do wish to nominate (Fill Annexure 1A)

☐ I / We do not wish to nominate

I/We wish to receive dividend/interest directly in to my bank account as given below through ECS. ☐ Yes ☐ No
(If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

Bank Details [Dividend Bank Details]

Account type Saving ☐ Current ☐ Others (specify) ☐

Bank Code (9 digit MICR code)										IFS Code (11 character)										
Account number																				
Bank Name																				
Branch Name																				
Bank Branch Address																				
City											State									
Country																PIN code				

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the bank statement having name and address of the BO

(iii) Photocopy of the passbook having name and address of the BO, (or) (iv) Letter from the Bank.

*In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

Other Details

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh ☐ 1-5 Lakh ☐ 5-10 Lakh ☐ 10-25 Lakh ☐ >25 Lacs ☐

Or Net-worth as on _____ date _____ (Net worth should not be older than 1 year)

Occupation : _____

Please tick, if applicable: Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐

Any other information: _____

SMS Alert Facility Refer to Terms & Conditions given in Annexure - 2.4	MOBILE NO. +91 _____ [Mandatory, if you are giving Demat Debit and Pledge Instruction (DDPI)] (if DDPI is not granted & you do not wish to avail of this facility, cancel this option).
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Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <input type="checkbox"/> Yes <input type="checkbox"/> No <table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
	To register for easi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
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Schedule-A Tariff Structure		
For individuals/HUF/corporates		
Details		POA Clients
		Option-1
Stamp charges payable upfront		Rs.50/-
Annual Maintenance Charges (charged quarterly)		
a.	Individuals/Non Individual (except Corporate)	Rs.300/- + GST
b.	Non Individual - Corporate	Rs.1000/- + GST
Transaction Charges : (Market Trades)		
Buy(Receive) / Sell (Debit)		Nil / Rs.8/- + Rs 5.50/- (CDSL Charges)
Transaction Charges : (Off-Market Trades)		
Buy(Receive) / Sell (Debit)		Nil / 0.03% or Rs.25/- whichever is higher
Demat (Per certificate)		Rs. 150/- per certificate
Remat (Per certificate)		Rs. 150/- per certificate + CDSL Charges
Courier charges per Demat/Remat/Demat Rejn./CMR		Rs. 100/-
Pledge Request		Rs. 20/- + Rs. 12 per request (CDSL Charges)
Unpledge Request		Rs. 20/- + Rs. 12 per request (CDSL Charges)
Pledge invocation		Rs. 20/-
Margin Pledge		Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Unpledge		Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Repledge		Rs. 2/- (CDSL charges)
Periodic Statement		
By Email / Physical		Free / Rs. 50/- (+ Courier charges at actual)
Adhoc / Non Periodic Statement Requests		
a.	By Email	Rs.10/- per request
b.	Physical	Rs. 50 per request upto 10 pages. Every additional page at Rs. 5 (+ Courier charges at actual)
Delivery instruction		
a.	First Delivery Instruction Book	Free (10 Leaves)
b.	Every Addl Booklet (10 Leaves)	Rs.100/-
Cheque Bounce Charges		Rs. 350/-
Failed Transactions		Rs. 50 per ISIN
Modification in CML		Rs. 25/- per request
KRA Upload / Download		Rs. 50/-

NOTE :

For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Zerodha Broking Ltd. reserves the right to freeze depository account for debit transaction in case of non payment of charges after two days from the bill date.

Interest at 18% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance Annual Maintenance Charge (AMC) is non refundable. GST is applicable on all above charges except stamp charges.

F12

First/Sole Holder
or Guardian
(in case of Minor)

S1

Second Holder

T1

Third Holder

Date :

D	D	M	M	Y	Y	Y	Y
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To,

Zerodha Broking Ltd.

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Dear Sir,

Sub : Requesting for bill/transaction/holding statement through email.

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. 12081600 _____
_____ at following email address: _____

I/We fully agree and are aware of following Terms and Conditions mentioned below.

(a) that I/We will not receive the bill, transaction and holding statements in paper form.

(b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.

(c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality /secrecy of the login name and password is compromised.

(d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Zerodha Broking. about change in email address, if any.

In case, the Zerodha Broking is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Zerodha Broking Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

I/We hereby request you to send the statements:

Daily ☐ Fortnightly ☐ Weekly ☐ Monthly ☐

Yours faithfully,

F13(a) First/Sole Holder
or Guardian
(in case of Minor)

S2(a) Second Holder

T2(a) Third Holder

I, the sole holder, or we, the Joint Holders confirm that we would like to designate the First Demat Account Holder (who is a Resident Indian Individual) of our account to receive the CDSL TPIN (or any such other similar PIN as required by any or all Depositories to transact as per the e-DIS facility offered by such Depository) on his/her mobile/email address for execution/permission of all such transactions & ancillary facilities to be availed via such mechanism from any or all Depositories.

Declaration

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

F13(b) First/Sole Holder
or Guardian
(in case of Minor)

S2(b) Second Holder

T2(b) Third Holder

Annexure E

Option form for issue of DIS booklet

Voluntary

Date :

DP ID

Client ID

First Holder Name	
Second Holder Name	
Third Holder Name	

To,
Zerodha Broking Ltd.
 153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Dear Sir,
 I/We hereby state that: [select one of the option given below]

Option 1:

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/ We have issued a Power of Attorney (POA)/Demat Debit and Pledge Instruction (DDPI) in favour of Zerodha Broking Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

OR

Option 2:

I/We do not require the Delivery Instruction Slip(DIS)for the time being, Since I/We have issued a POA/DDPI in favour of Zerodha Broking Ltd. for executing the delivery instructions for settling stock exchange trades [settlement related transaction] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

I/We hereby select Option 1 ☐ Option 2 ☐

F14

First/Sole Holder
 or Guardian
 (in case of Minor)

S5

Second Holder

T5

Third Holder

Client E-mail ID

Optional

Client Mobile Number

Declaration

I hereby declare that the mobile no./email ID mentioned in the Account opening form/ request for change in mobile no./email ID is my own

Request letter for registration of mobile no./email ID of person belonging to the client's family

Please note that the mobile no./email id/both mentioned in the Account opening form/ request for change in mobile no./email ID belongs to Mr/Mrs./Ms. _____ who is my _____ [relationship with the client]

F15

First/Sole Holder
 or Guardian
 (in case of Minor)

[Only the mobile no./email id of your spouse, dependent children and dependent parents can be registered in your demat account]

Voluntary**Demat Debit and Pledge Instruction ("DDPI")**

I/We agree to the terms and purpose of this DDPI document between Mr./Ms.

_____ (First Holder) _____
(Second holder) and _____ (Third
holder) _____ an individual/body of individuals/a sole proprietary

concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act 2013, or any relevant Act or unregistered in nature; and Zerodha Broking Limited (hereinafter referred to as "Zerodha"), a Company within the meaning of Companies Act, 2013 with its registered office at Zerodha Broking Ltd., #153/154, 4th Cross, Dollars Colony, Opp. Clarence Public School, J.P Nagar 4th Phase, Bengaluru - 560078, Karnataka, India;

Whereas:


- (a) I/We have established a business relationship with Zerodha to avail services w.r.t trading, investing & other services offered by Zerodha, having a DP ID 12081600/12081601 & Client ID _____;
- (b) This DDPI document shall be in line with SEBI Circular no. SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 04, 2022, as may be updated from time to time, & I agree to the below points;

Annexure B

Particulars	DP ID	Client ID	Particulars	DP ID	Client ID
CDSL BSE Principal A/C	12081600	00013706	CDSL BSE Early Pay-in A/C	11000010	00023629
CDSL NSE Pool A/C	12081600	00000061	NSDL NSE Pool A/C	IN304287	10000004
CDSL NSE-SLB Early Pay-in A/c	11000023	00000748	NSDL BSE Pool A/C	IN304287	10000045
CDSL NSE Early Pay-in A/C	11000011	00019678	CDSL NSE-SLB Pool A/c	12081600	23213431

S.No	Purpose	Signature of Client		
		First Holder	Coparcener <small>(for HUF only)</small>	Coparcener <small>(for HUF only)</small>
1.	I/We agree and permit Zerodha to transfer any securities held in my beneficial owner account towards any Exchange (any SEBI Recognised Exchanges where Zerodha is a member) related deliveries / settlement obligations arising out of trades executed by me/us on the Exchanges through Zerodha;			
2.	I/We agree and confirm to allow pledging / re-pledging of securities in favour of Zerodha and the clearing member (CM) with whom Zerodha is a member of, for the purpose of meeting my/our margin requirements in connection with the trades executed by me/us on the Exchanges.			
3.	I/We agree enabling Mutual Fund transactions (buy or sell) to be executed via stock exchange operated order entry platforms, such as BSEStar MF & NSE NMF.			
4.	I/We agree to enable tendering of shares submitted by me under any open offers via stock exchange platforms			

Dated at Bangalore on this _____ day of _____

 First/Sole Holder
or Guardian
(in case of Minor)

 Coparcener
(for HUF only)

 Coparcener
(for HUF only)

**Important Instructions:**

- A) Fields marked with "*" are mandatory fields.
 B) Tick '✓' wherever applicable.
 C) Please fill the date in DD-MM-YYYY format.
 D) Please fill the form in English and in BLOCK letters.
 E) KYC number of applicant is mandatory for update application.
- F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 G) List of two character ISO 3166 country codes is available at the end.
 H) Please read section wise detailed guidelines / instructions at the end.
 I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only

Application Type*

☐ New ☐ Update

(To be filled by financial institution) KYC Number

(Mandatory for KYC update request)

1. ENTITY DETAILS* (Please refer instruction A at the end)

Name*

Entity Constitution Type*

 (Others (Specify))

(Please refer instruction B at the end)

Date of Incorporation / Formation*

 - -

Date of Commencement of Business

 - -

Place of Incorporation / Formation*

Country of Incorporation / Formation*

TIN or Equivalent Issuing Country

PAN *

☐ Form 60 furnished

TIN / GST Registration Number

2. PROOF OF IDENTITY (PoI)* (Please refer instruction B at the end)
☐ Officially valid document(s) in respect of person authorised to transact

☐ Certificate of Incorporation / Formation

☐ Registration Certificate

 Regn Certificate No.

☐ Memorandum and Articles of Association

☐ Partnership Deed

☐ Trust Deed

☐ Resolution of Board / Managing Committee

☐ Power of attorney granted to its manager, officers or employees to transact on its behalf

☐ Activity Proof - 1 (For Sole Proprietorship Only)

☐ Activity Proof - 2 (For Sole Proprietorship Only)
3. ADDRESS* (Please see instruction C at the end)**3.1 Registered Office Address / Place of Business***

Proof of Address*

☐ Certificate of Incorporation / Formation

☐ Registration Certificate

☐ Other Document

Line 1*

Line 2

Line 3

City / Town / Village*

District*

PIN / Post Code*

State / U.T Code*

ISO 3166 Country Code*

3.2 Local Address in India (If different from Above)*

Line 1*

Line 2

Line 3

City / Town / Village*

District*

PIN / Post Code*

State / U.T Code*

ISO 3166 Country Code*

4. CONTACT DETAILS (All communications will be sent to Mobile number/ Email-ID provided" may be used) (Please refer instruction D at the end)

Tel. (Off)

FAX

Mobile

Email ID

Mobile

Email ID

5. NUMBER OF RELATED PERSONS

(Please refer instruction E at the end)

[illegible]

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I/We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

[illegible]

Signature / Thumb Impression of Authorised Person(s)

Documents Received ☐ Certified Copies ☐ Equivalent e-document

Identity Verification	<input type="checkbox"/> Done	Date	<div></div> - <div></div> - <div></div>
Emp. Name	<div></div>		
Emp. Code	<div></div>		
Emp. Designation	<div></div>		
Emp. Branch	<div></div>		

Name

Code