

## Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,  
Opp. Clarence School, Bangalore - 560078

### Additional KYC Form for Opening a Demat Account

#### For Individuals

(To be filled by the Depository Participant)

Application No		Date		DP Internal Reference No	
DP ID	Exchange	NSE/BSE	UCC	Client ID	

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

#### Holder Details

Sole / First Holder's Name			
PAN		UID	
Second Holder's Name			
PAN		UID	
Third Holder's Name			
PAN		UID	

\*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

#### Type of Account (Please tick whichever is applicable)

Status	Sub – Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)

#### Details of Guardian (in case the account holder is minor)

Guardian's Name	PAN
Relationship with the applicant	

I / We instruct the DP to receive each and every credit in my / our account      [Automatic Credit]     Yes     No  
(If not marked, the default option would be 'Yes')

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without     Yes     No  
any other further instruction from my/our end (If not marked, the default option would be 'No')

Account Statement Requirement     As per SEBI Regulation     Daily     Weekly     Monthly     Fortnightly

I / We request you to send electronic transaction-cum-holding statement at the following       Yes     No

Email ID	
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I / We would like to share the email ID with the RTA       Yes     No

I / We would like to receive the Annual Report       Physical     Electronic     Both Physical and Electronic  
(Tick the applicable box. If not marked the default option would be in Physical)

I/We would wish to avail the following facility:

Basic Service Demat Account facility (BSDA)

Yes  No

**Nomination details** (Please tick the appropriate options)

I / We do wish to nominate (Fill Annexure 1A)

I / We do not wish to nominate

I/We wish to receive dividend/interest directly in to my bank account as given below through ECS.  Yes  No  
(If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

**Bank Details [Dividend Bank Details]**

Account type Saving  Current  Others (specify)

Bank Code (9 digit MICR code)										IFS Code (11 character)									
Account number																			
Bank Name																			
Branch Name																			
Bank Branch Address																			
City											State								
Country													PIN code						

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the bank statement having name and address of the BO

(iii) Photocopy of the passbook having name and address of the BO, (or) (iv) Letter from the Bank.

\*In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

**Other Details**

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh  1-5 Lakh  5-10 Lakh  10-25 Lakh  >25 Lacs

Or Net-worth as on \_\_\_\_\_ date \_\_\_\_\_ (Net worth should not be older than 1 year)

Occupation : \_\_\_\_\_

Please tick, if applicable: Politically Exposed Person (PEP)  Related to Politically Exposed Person (RPEP)

Any other information: \_\_\_\_\_

<b>SMS Alert Facility</b> Refer to Terms & Conditions given in <b>Annexure - 2.4</b>	MOBILE NO. +91 _____ [Mandatory, if you are giving Demat Debit and Pledge Instruction (DDPI)] (if DDPI is not granted & you do not wish to avail of this facility, cancel this option).
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Transactions Using Secured Texting Facility ( <b>TRUST</b> ). Refer to Terms and Conditions <b>Annexure – 2.6</b>	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST  <input type="checkbox"/> Yes <input type="checkbox"/> No <table border="1" style="width: 100%;"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								

	To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.
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# Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,  
Opp. Clarence School, Bangalore - 560078

<b>Schedule-A Tariff Structure</b>	
<b>For individuals/HUF/corporates</b>	
<b>Details</b>	<b>POA Clients</b>
<b>Option-1</b>	
Stamp charges payable upfront	Rs.50/-
<b>Annual Maintenance Charges (charged quarterly)</b>	
a. Individuals/Non Individual (except Corporate & NRIs)	Rs.300/- + GST
b. Non Individual - Corporate	Rs.1000/- + GST
b. NRIs	Rs.500/- + GST
<b>Transaction Charges : (Market Trades)</b>	
Buy(Receive) / Sell (Debit)	Nil / Rs.8/- + Rs 5.50/- (CDSL Charges)
<b>Transaction Charges : (Off-Market Trades)</b>	
Buy(Receive) / Sell (Debit)	Nil / 0.03% or Rs.25/- whichever is higher
<b>Demat (Per certificate)</b>	Rs. 150/- per certificate
<b>Remat (Per certificate)</b>	Rs. 150/- per certificate + CDSL Charges
Courier charges per Demat/Remat/Demat Rejn./CMR	Rs. 100/-
Pledge Request	Rs. 20/- + Rs. 12 per request (CDSL Charges)
Unpledge Request	Rs. 20/- + Rs. 12 per request (CDSL Charges)
Pledge invocation	Rs. 20/-
Margin Pledge	Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Unpledge	Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Repledge	Rs. 2/- (CDSL charges)
<b>Periodic Statement</b>	
By Email / Physical	Free / Rs. 50/- (+ Courier charges at actual)
<b>Adhoc / Non Periodic Statement Requests</b>	
a. By Email	Rs.10/- per request
b. Physical	Rs. 50 per request upto 10 pages. Every additional page at Rs. 5 (+ Courier charges at actual)
<b>Delivery instruction</b>	
a. First Delivery Instruction Book	Free (10 Leaves)
b. Every Addl Booklet (10 Leaves)	Rs.100/-
Cheque Bounce Charges	Rs. 350/-
Failed Transactions	Rs. 50 per ISIN
Modification in CML	Rs. 25/- per request
KRA Upload / Download	Rs. 50/-

## NOTE :

For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Zerodha Broking Ltd. reserves the right to freeze depository account for debit transaction in case of non payment of charges after two days from the bill date.

The above tariff is subject to change. Changes if any will be intimated 30 days in advance Annual Maintenance Charge (AMC) is non refundable. GST is applicable on all above charges except stamp charges.

I/We understand that any instruction provided by me to Zerodha to transfer securities from my account shall be rejected by Zerodha, if there is any debit balance or any unpaid amounts due as per this tariff sheet to Zerodha.

	First/Sole Holder or Guardian (in case of Minor)
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	Second Holder
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	Third Holder
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Date :

To,  
**Zerodha Broking Ltd.**  
 153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Dear Sir,  
**Sub : Requesting for bill/transaction/holding statement through email.**

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. \_\_\_\_\_  
 \_\_\_\_\_ at following email address: \_\_\_\_\_

I/We fully agree and are aware of following Terms and Conditions mentioned below.

- (a) that I/We will not receive the bill, transaction and holding statements in paper form.
- (b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- (c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality /secrecy of the login name and password is compromised.
- (d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Zerodha Broking. about change in email address, if any.

In case, the Zerodha Broking is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Zerodha Broking Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

I/We hereby request you to send the statements:  
 Daily  Fortnightly  Weekly  Monthly

Yours faithfully,

**F12(a)** First/Sole Holder or Guardian (in case of Minor)

**S2(a)** Second Holder

**T2(a)** Third Holder

I, the sole holder, or we, the Joint Holders confirm that we would like to designate the First Demat Account Holder (who is a Resident Indian Individual) of our account to receive the CDSL TPIN (or any such other similar PIN as required by any or all Depositories to transact as per the e-DIS facility offered by such Depository) on his/her mobile/email address for execution/permission of all such transactions & ancillary facilities to be availed via such mechanism from any or all Depositories.

**Declaration**

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

**F12(b)** First/Sole Holder or Guardian (in case of Minor)

**S2(b)** Second Holder

**T2(b)** Third Holder



### Nomination Details - Annexure 1A

 Zerodha Broking Limited

 Zerodha Commodities Private Limited


Date

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

<b>Name</b>	<b>UCC</b>	<b>Demat ID</b>
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#### Nomination Details

Up to 3 nominations can be made	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Name of the nominee(s) (Mr./Ms.)			
Share of each nominee <input type="checkbox"/> Distribute equally <small>[if not equally shared, please specify %age]</small>	Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
Relationship With the Applicant (if any)			
Address of nominee(s)			
Mobile/Telephone no. of nominee(s)			
Email ID of nominee(s)			
Proof of identity submitted			
<b>Fill below details only if the nominee is a minor</b>			
Date of birth			
Name of the guardian			
Address of guardian(s)			
Mobile/Telephone no. of guardian(s)			
Email ID of guardian(s)			
Relationship of guardian with nominee			
Proof of identity submitted			

 First/Sole Holder or Guardian (in case of Minor)
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 Second Holder
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 Third Holder
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#### Note:

- This nomination shall supersede any prior nomination made by the account holder(s), if any.
- The Trading Member / Depository Participant shall provide acknowledgment of the nomination form to the account holder(s).

# Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,  
Opp. Clarence School, Bangalore - 560078

Date :

## Declaration form for opting out of nomination (Annexure 1B)

To,

**Zerodha Broking Ltd.**


153/154, 4th Cross, Dollars Colony,  
4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore -  
560078.

DP ID

Client ID

First Holder Name	
Second Holder Name	
Third Holder Name	

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/demat account.

 **F17** First/Sole Holder  
or Guardian  
(in case of Minor)

 **S6** Second Holder

 **T6** Third Holder

**Note:** You can add a nominee to your account online on [console.zerodha.com](https://console.zerodha.com) after the account is opened.

From,

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To,  
Zerodha Broking Ltd, 153/154  
4th Cross 4th Phase,  
JP Nagar Opp Clarence School,  
Bangalore-560078

Subject: Declaration for Confirmation of Signature

Sir/Madam,

This letter is to confirm that all signatures placed on the account opening forms, and all such other documents towards Zerodha Broking Limited or any of its group entities, are done solely by me with complete knowledge of all such terms and clauses contained therein.

I/We, the undersigned, would like to request Zerodha Broking Limited or any of its group entities to accept the signed account opening forms and documents, even in case there may be slight variations on a few pages/areas of the document.

I/We, the undersigned, further would like to represent and warrant that in case of any misrepresentation with respect to the signatures placed on the account opening forms or all such documents towards Zerodha Broking Limited or any of its group entities by me/us, I/We will indemnify Zerodha Broking Limited and its directors/employees/agents for all such actions.

Yours faithfully,  
Signature:

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Name:

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