

**Know Your Client (KYC) Application Form - for Individuals**

Please fill this form in English and BLOCK Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

**For office use only (To be filled by the financial institution)**

Application Type\*  New  Update KYC Number

Account Type\*  Normal  Simplified (for low risk customers)  Small



**A. Identity details**

<input type="checkbox"/>	1. Name (Same as ID Proof)	Raju . S
	1a. Maiden Name (If any)	
<input type="checkbox"/>	2. Father's/Spouse's Name	Guru Prasadh
	2a. Mother's Name	Neela

3a. Gender  Male  Female  Transgender 3b. Marital Status  Single  Married  Other 3c. DOB 10/21/99

4a. Citizenship  Indian  Other (ISO 3166 Country Code )

4b. Residential Status  Resident Individual  Non Resident Indian  Person of Indian Origin  Foreign National

**Tick if applicable**  Residence for tax purposes in jurisdiction(s) outside India

ISO 3166 Country Code of Jurisdiction of residence Place of birth

Tax Identification Number or Equivalent ISO3166 Country Code of Birth

5a. PAN ABCDE1234F

5b. Unique Identification Number (UID) / AADHAR

6. Proof of Identity Submitted  Pan Card  Other (Please Specify)

**B. Contact Details**

Telephone (Office) Mobile No 9900011124

Telephone (Residence) Email ID Raju.s@gmail.com

**C. Address details** Address Type:  Residential  Business  Unspecified

**2. Residence/Correspondence Address**

Address 11/4 10th cross Magadi road

City/Town Bangalore District Pin Code 560023

State/U.T Code Karnataka Country/ISO Code

Specify the Proof of Address Submitted for Residence / Correspondence Address

**D. DECLARATION**

I/We declare that the details furnished above are true and correct to the best of my knowledge and undertake all liabilities w.r.t any incorrect information, I also confirm to inform Zerodha w.r.t any changes in the future. I/We are also aware that for Aadhaar OVD based KYC, my KYC shall be validated against my Aadhaar. I/We hereby consent to sharing my/our masked Aadhaar with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I/We or Zerodha have a business relationship for KYC purposes only. I/We hereby consent to receiving information from CVL KRA & C-KYC Registry through SMS/Email on the above registered number/Email ID.

Client Signature

Place :

Date : DDMMYY

**FOR OFFICE USE ONLY**

In Person Verification (IPV) Details:

Name of the Person who has done the IPV: \_\_\_\_\_

Designation: \_\_\_\_\_ Employee ID: \_\_\_\_\_

Name of the Organization: Zerodha Commodities Pvt. Ltd.

Date of the IPV: DDMMYY Signature of the Person who has done the IPV Seal/Stamp of the Intermediary

Originals Verified and Self-Attested Document Copies Received

Date

Signature of the Authorized Signatory



3. Permanent Address

Address									
<i>Same as above</i>									
City/Town				District			Pin Code		
State/U.T Code				Country/ISO Code					

4. Address in the jurisdiction details where applicant is resident outside India for tax purpose (if applicable)

Address									
City/Town				District			Pin Code		
State/U.T Code				Country/ISO Code					

**D. Details of related person** (In case of additional related persons, please fill below details)

Addition of Related Person  Deletion of Related Person

KYC Number of Related Person (if available) 

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Related Person Type  Guardian of Minor  Assignee  Authorized Representative

Name									
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(If KYC number & name are provided, below details are optional)

Proof Of Identity Of Related Person

Identity Proof Submitted				Number					
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Expiry Date : 

D	D	M	M	Y	Y	Y	Y
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Others (any document notified by the Central Govt.)				Identification No					
Simplified Measures Account-Document Type Code				Identification No					

**Trading account related details**

**A. Bank account details**

Account Type: Savings  Current  Others  | In case of NRI Account: NRE  NRO

Bank Name									
Branch Address									
Account Number									
MICR Number				IFSC Code					

**B. Other details**

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh  1-5 Lakh  5-10 Lakh  10-25 Lakh  25 Lacs to 1 Cr  >1Cr

Or Net-worth as on \_\_\_\_\_ date \_\_\_\_\_ (Net worth should not be older than 1 year)

**Occupation**

Private Sector  Public Sector  Government Service  Business  Professional  Agriculturist  Retired

Housewife  Student  Self Employed  Others (please specify) \_\_\_\_\_

Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note: Physical  Electronic

Please tick, if applicable: Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)

In what capacity do you trade commodities?

Farmer/Farmer Producer Organisation  Value Chain Participant  Others



**C. Past actions**

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its partners, promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years NTL

**D. Depository account(s) details**

Depository Name: NSDL  CDSL

Depository Participant Name	Zerodha Broking limited		
Beneficiary Name	Raju S		
Depository ID	12081601	Beneficiary ID	

**E. Dealings through other stock brokers**

Whether dealing with any other stock broker/sub-broker (in case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker		Sub Broker, if any	
Client Code		Exchange(s)	
Website			
Details of disputes/dues pending from/to such stock broker			

**F. Introducer details (optional)**

Name of the Introducer			
Status of the Introducer - Sub-broker/Remisier/Authorized Person/Existing Client			
Address of the Introducer			
Phone Number		Signature (optional)	

**G. Trading preferences / experience**

No Prior Experience

Years in Equities		Years in Derivatives		Years in other Investment Related Field	
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**Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.**  
 \*If, in future, the client wants to trade on any new segment, a separate authorization/letter should be taken from the client by the broker.

Exchanges	BSE & NSE				
Segments	Cash/Mutual funds	F&O	Currency	Debt	Commodity derivatives
	<input checked="" type="checkbox"/> F3 (a)	<input checked="" type="checkbox"/> F3 (b)	<input checked="" type="checkbox"/> F3 (c)	<input checked="" type="checkbox"/> F3 (d)	<input checked="" type="checkbox"/> F3 (e)

If you do not wish to trade in any segment/mutual fund, please mention here \_\_\_\_\_

**H. Additional details**

(I) Whether you wish to receive (a) Physical contract note  (b) Electronic Contract Note (ECN)

If ECN Specify your Email ID RAJU. @GMAIL.COM

(II) Whether you wish to avail the facility of internet trading/wireless technology/mobile trading Yes  No

**I. Nomination details** (Please tick the appropriate options)

I / We do wish to nominate (Fill Annexure 1A)

I / We do not wish to nominate (Fill Annexure 1B)



**Internet & wireless technology based trading facility provided by stock broker to the client**

(All the clauses mentioned in the "Rights and Obligations" document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.)

1. Stock broker is eligible for providing Internet Based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with datacard, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.
2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT website provided that they are in line with the norms prescribed by Exchanges/SEBI.
3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.
4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy is as stipulated in line with norms prescribed by Exchanges/SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whatsoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such a person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.
6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.
8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or nonexecution of his orders due to any link / system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stockbroker/Exchanges.

**Declaration**

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Client Name RAJU SPlace BANGALOREDate : 02/11/2018  
F4 Client Signature



**Tariff sheet**

To

**Zerodha Broking Limited**

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony, Opp. Clarence School, Bangalore - 560078

**Charges for Zerodha Trading Services**

 I/ We agree to pay the charges as per following charges structure for our Trading account with Zerodha effective 02/11/2023

Client ID: \_\_\_\_\_

Charge Head	Brokerage / Charges
Equity Intraday	Rs. 20 per executed order or 0.03% of Turnover whichever is lower
Equity Delivery	Zero Brokerage
Futures	Rs. 20 per executed order or 0.03% of Turnover whichever is lower
Options	Rs. 20 per executed order
Currency Futures	Rs. 20 per executed order or 0.03% of Turnover whichever is lower
Currency Options	Rs. 20 per executed order
Call & Trade Services	Rs. 50 per order placed through a Dealer at Zerodha
NRI - Equity Delivery	Rs. 200 per executed order or 0.5% of Turnover whichever is lower
NRI - Futures & Options	Rs. 100 per executed order placed using a CP code
NRI - NRO non-PIS account	Rs. 100 per executed order or 0.5% of Turnover whichever is lower

Disclaimer: For Delivery based trades, a minimum of Rs. 0.01 will be charged per contract note. Clients who opt to receive physical contract notes will be charged Rs. 20 per contract note plus courier charges. A brokerage of 0.5% of the contract value will be charged for contracts where physical delivery happens. In addition to the brokerage charge the following charges will also be levied. If the account is in debit balance, any order placed will be charged Rs. 40 per executed order instead of Rs. 20 per executed order.

**Schedule of Charges:**

1. Exchange transaction charges | 2. Clearing charges | 3. Securities Transaction Tax | 4. Goods &amp; Services Tax | 5. SEBI Turnover fees | 6. Stamp Duty : State-wise stamp duty as applicable will be levied

Note : Brokerage will not exceed the rates specified by SEBI and the Exchanges

All Statutory and Regulatory charges will be levied as per exchange, SEBI, and depository circulars published from time to time.

Brokerage is also charged on expired, exercised, and assigned Options contracts

 Charges for other value added services will be applicable at the time of availing such service, upon your consent. Detailed explanation of all charges is available online at : <https://zerodha.com/charge-list>

F5 Client Signature

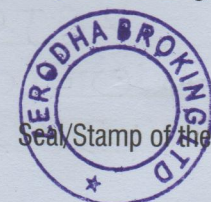
**For office use only**

UCC Code allotted to the Client \_\_\_\_\_

	Documents verified with originals	Client interviewed by	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of employee			
Date			
Signature			

I/ We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

 Date : 02/11/2023


Seal/Stamp of the stock broker



Zerodha

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Voluntary

**Sub: Voluntary Undertaking/Authorisation**

With respect to member-constituent relationship and mandatory and voluntary (optional) documents executed between us, I/we do hereby authorize Zerodha to do the following:

**1. ORDER PLACEMENT INSTRUCTIONS**

I understand that you require written instructions from me for placing/modifying/cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even If I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting/calling/emailing the call centre/branch specified for the said purpose by Zerodha in case of breakdown of internet connectivity or other similar reasons. I hereby request you to kindly accept my verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other approved modes. I/we also agree that non-receipt of bounced mail notification by you shall amount to delivery of contract note at my/our email ID. I indemnify Zerodha and its employees against all trade related losses, damages, actions which you may suffer or face, as a consequence carrying out my instructions for orders placed verbally

**2. ERRORS AND OMISSIONS**

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances Zerodha shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold Zerodha responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by Zerodha.

**3. NO MARKET MANIPULATION**

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, Zerodha has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

**4. NOT TO ACT AS UNREGISTERED SUB BROKER**

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients. In case I wish to deal for other clients also, I undertake to apply to SEBI through Zerodha to obtain a sub broker registration. In case Zerodha perceives that I am acting as an unregistered sub broker, Zerodha has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to Zerodha without the requirement of any notice from Zerodha. Further, Zerodha has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify Zerodha from any loss/ damage/claim arising out of such activity.

**5. NOT DEBARRED BY ANY REGULATOR**

I confirm and declare that there is no bar on me imposed by any Exchange or any regulatory and/or statutory authority to deal in securities directly or indirectly. I agree to inform Zerodha, in writing, of any regulatory action taken by any Exchange or regulatory/statutory authority on me in future. In case I fail to inform the same and Zerodha on its own comes to know of such action, Zerodha has the right to suspend/close my trading account and refuse to deal with me. Also, Zerodha can at its sole discretion, close all the open positions and liquidate collaterals to the extent of trade related debit balances, without any notice to me.

**6. PMLA DECLARATION**

I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by Zerodha officials. I further declare that I shall adhere to all the provisions of PMLA Act, 2002.

I further undertake and confirm that;

- a. I do not have any links with any known unlawful persons/institutions
- b. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

**7. INDEMNIFICATION** I hereby indemnify and hold Zerodha, its Directors and employees harmless from and against all trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the Zerodha directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me at the time of registration and/ or subsequently.

**INDEMNITY OF JOINT HOLDINGS**

I hereby agree to indemnify and hold Zerodha harmless from any trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.



### 8. BSE StAR MUTUAL FUND FACILITY

I am interested in availing the StAR Mutual Fund facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the StAR platform of the Exchange. For the purpose of availing the StAR Mutual Fund facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of StAR and I/we further confirm that the details contained in same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

### 9. DELAYED PAYMENT CHARGES

I understand that in case my account is in debit balance due to utilisation of funds over and above the available funds, and my account goes into negative balance, I will be charged interest at 0.05% per day or 18% per annum on such debit balance. For derivative positions, it is a requirement by the Exchanges that 50% of the margins be fulfilled in the form of cash or cash equivalent. The other 50% may be satisfied by providing non-cash collateral as margin. I acknowledge that failure to maintain sufficient cash margin and resorting to the use of non cash collateral to fulfil derivative margin requirement will result in a delayed payment charge of 0.035% per day.

### 10. NRI DECLARATION

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to Zerodha every time the investment is made.

### 11. THIRD-PARTY PAYMENTS

Zerodha shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof thereof as per proforma prescribed by Zerodha. Zerodha shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by Zerodha and the credit for the same has been given in the client's ledger, Zerodha shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, Zerodha reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. Zerodha, its Directors and employees shall not be responsible for any consequential damages or losses.

### 12. NO DEALINGS IN CASH

Zerodha as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub-Broker/ Remisier/Employee/Authorised Person of Zerodha.

### 13. DISCLOSURE OF PROPRIETARY TRADING BY ZERODHA

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, Zerodha discloses to its clients about its policies on proprietary trades. Zerodha does proprietary trades in the cash and derivatives segment at NSE & BSE.

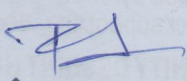
### 14. DELIVERIES


The client shall ensure that the shares are properly transferred to the designated demat account of Zerodha, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/Zerodha. In case the client fails to transfer the shares on time to Zerodha, Zerodha shall not be responsible for any loss/damages arising out of such delayed transfers.

### 15. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS (to the extent of Settlement Margin obligation)

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then Zerodha has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. Zerodha, its Directors and Employees shall not be responsible for any trade related loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of Zerodha.

After such square off of open positions by Zerodha, as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, Zerodha shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. Zerodha, its Directors and employees shall not be responsible for any trade related loss or damages arising out of such selling.



 F6 Client Signature



Running account authorization

Voluntary

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade.

I/We authorize you as under:

- 1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
- 2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
- 3. I/We request you to settle my fund and securities account (choose one option)
  - Once in a calendar Month
  - Once in every calendar Quarter except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt
- 4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
- 5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
- 6. I/We confirm you that I can revoke the above mentioned authority at any time.
- 7. This running account authorization would continue until it is revoked by me.

Yours faithfully,

Date : 02/11/2023

[Signature] Client Signature

Mobile declaration

Voluntary

I RAGU.S having PAN ABCDEF1234F do hereby declare that my mobile no. is 9900011124 Further, I authorize ZERODHA that the same may be used for giving me any information/ alert/SMS.

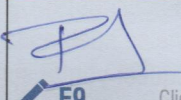
I further declare the above mentioned statement is true and correct.

[Signature] Client Signature



**Client defaulter declaration**
**Voluntary**

I RAJU S having PAN ABCDE1234F do hereby declare that I have not been involved in any unlawful activities and I have not been declared a defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchange/ Regulatory bodies, etc. I further declare that the above mentioned declaration/ statement is true and correct.



**F9** Client Signature

To

**Zerodha Broking Limited**

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

**Sub: Acknowledgement**

This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

Sl. No	Brief significance of the Document
1	Duly Executed Copy of KYC
2	Rights and Obligations
3	Risk Disclosure document (RDD) for Capital, Derivatives, and Currencies Segments
4	Guidance Note - Do's and Dont's for trading on the Exchange(s) for investors
5	Zerodha Tariff Sheet
6	Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
7	General Terms & Conditions governing securities trading and broking services of Zerodha
8	Running account authorisation, Mobile declaration & Client defaulter declaration

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Zerodha

Yours faithfully,

 Client Name : RAJU S

 Date : 02/11/2023


**F10** Client Signature



## Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,  
Opp. Clarence School, Bangalore - 560078

### Additional KYC Form for Opening a Demat Account

#### For Individuals

(To be filled by the Depository Participant)

Application No		Date	02/11/2023	DP Internal Reference No	
DP ID	Exchange	NSE/BSE	UCC	Client ID	

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

#### Holder Details

Sole / First Holder's Name	RAJU S
PAN	ABCDE1234F
Second Holder's Name	
PAN	
Third Holder's Name	
PAN	

\*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

#### Type of Account (Please tick whichever is applicable)

Status	Sub - Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA) <span style="float: right;"> <input type="checkbox"/> Individual-Director  <input type="checkbox"/> Individual HUF / AOP  <input type="checkbox"/> Minor  <input type="checkbox"/> Others(specify)                 </span>
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <span style="float: right;"> <input type="checkbox"/> NRI Non-Repatriable  <input type="checkbox"/> NRI Non-Repatriable Promoter  <input type="checkbox"/> Others (specify)                 </span>
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)

#### Details of Guardian (in case the account holder is minor)

Guardian's Name	PAN
Relationship with the applicant	

I / We instruct the DP to receive each and every credit in my / our account [Automatic Credit]  Yes  No  
(If not marked, the default option would be 'Yes')

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')  Yes  No

Account Statement Requirement,  As per SEBI Regulation  Daily  Weekly  Monthly  Fortnightly

I / We request you to send electronic transaction-cum-holding statement at the following  Yes  No

Email ID	RAJU . @GMAIL . COM
----------	---------------------

I / We would like to share the email ID with the RTA  Yes  No

I / We would like to receive the Annual Report  Physical  Electronic  Both Physical and Electronic  
(Tick the applicable box. If not marked the default option would be in Physical)



## Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,  
Opp. Clarence School, Bangalore - 560078

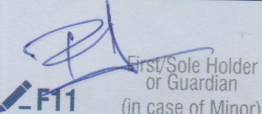
<b>Schedule-A Tariff Structure</b>	
<b>For individuals/HUF/corporates</b>	
<b>Details</b>	<b>POA Clients</b>
	<b>Option-1</b>
Stamp charges payable upfront	Rs.50/-
<b>Annual Maintenance Charges (charged quarterly)</b>	
a. Individuals/Non Individual (except Corporate & NRIs)	Rs.300/- + GST
b. Non Individual - Corporate	Rs.1000/- + GST
b. NRIs	Rs.500/- + GST
<b>Transaction Charges : (Market Trades)</b>	
Buy(Receive) / Sell (Debit)	Nil / Rs.8/- + Rs 5.50/- (CDSL Charges)
<b>Transaction Charges : (Off-Market Trades)</b>	
Buy(Receive) / Sell (Debit)	Nil / 0.03% or Rs.25/- whichever is higher
<b>Demat (Per certificate)</b>	Rs. 150/- per certificate
<b>Remat (Per certificate)</b>	Rs. 150/- per certificate + CDSL Charges
Courier charges per Demat/Remat/Demat Rejn./CMR	Rs. 100/-
Pledge Request	Rs. 20/- + Rs. 12 per request (CDSL Charges)
Unpledge Request	Rs. 20/- + Rs. 12 per request (CDSL Charges)
Pledge invocation	Rs. 20/-
Margin Pledge	Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Unpledge	Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Repledge	Rs. 2/- (CDSL charges)
<b>Periodic Statement</b>	
By Email / Physical	Free / Rs. 50/- (+ Courier charges at actual)
<b>Adhoc / Non Periodic Statement Requests</b>	
a. By Email	Rs.10/- per request
b. Physical	Rs. 50 per request upto 10 pages. Every additional page at Rs. 5 (+ Courier charges at actual)
<b>Delivery instruction</b>	
a. First Delivery Instruction Book	Free (10 Leaves)
b. Every Addl Booklet (10 Leaves)	Rs.100/-
Cheque Bounce Charges	Rs. 350/-
Failed Transactions	Rs. 50 per ISIN
Modification in CML	Rs. 25/- per request
KRA Upload / Download	Rs. 50/-

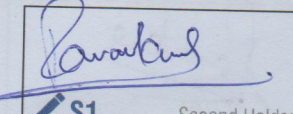
### NOTE :

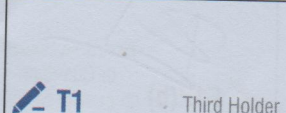
For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Zerodha Broking Ltd. reserves the right to freeze depository account for debit transaction in case of non payment of charges after two days from the bill date.

The above tariff is subject to change. Changes if any will be intimated 30 days in advance Annual Maintenance Charge (AMC) is non refundable. GST is applicable on all above charges except stamp charges.

I/We understand that any instruction provided by me to Zerodha to transfer securities from my account shall be rejected by Zerodha, if there is any debit balance or any unpaid amounts due as per this tariff sheet to Zerodha.

  
First/Sole Holder  
or Guardian  
(in case of Minor)

  
S1  
Second Holder

  
T1  
Third Holder



Date : 02/11/2023

To,  
**Zerodha Broking Ltd.**

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Dear Sir,

**Sub : Requesting for bill/transaction/holding statement through email.**

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. \_\_\_\_\_  
 \_\_\_\_\_ at following email address: RAJU@GMAIL.COM

I/We fully agree and are aware of following Terms and Conditions mentioned below.

- a) that I/We will not receive the bill, transaction and holding statements in paper form.
- b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality /secrecy of the login name and password is compromised.
- d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Zerodha Broking. about change in email address, if any.

In case, the Zerodha Broking is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Zerodha Broking Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

I/We hereby request you to send the statements:

Daily  Fortnightly  Weekly  Monthly

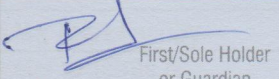
**Mode of Operations for Demat Account**

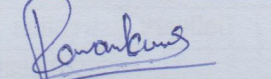
We would like to update mode of operation in the Demat account number \_\_\_\_\_ held with Zerodha Broking Ltd. as below:


Jointly  Anyone of the holder or survivor(s)

We understand that If Mode of Operation for Joint Account is chosen as anyone of the holder or survivor(s), only specified operations such as transfer of securities including Inter-Depository Transfer, pledge/hypothecation/margin pledge/margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and/or securities and/or specific number of securities will be permitted.

Yours faithfully,

  
 First/Sole Holder  
 or Guardian  
 **F12(a)** (in case of Minor)

  
 **S2(a)** Second Holder

  
 **T2(a)** Third Holder

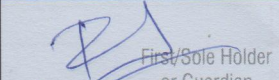
I, the sole holder, or we, the Joint Holders confirm that we would like to designate the First Demat Account Holder (who is a Resident Indian Individual) of our account to receive the CDSL TPIN (or any such other similar PIN as required by any or all Depositories to transact as per the e-DIS facility offered by such Depository) on his/her mobile/email address for execution/permission of all such transactions & ancillary facilities to be availed via such mechanism from any or all Depositories.

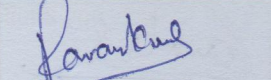
**Declaration**


I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

  
 First/Sole Holder  
 or Guardian  
 **F12(b)** (in case of Minor)

  
 **S2(b)** Second Holder

  
 **T2(b)** Third Holder



Annexure E

Option form for issue of DIS booklet

Voluntary

Date : 02/01/2022

DP ID

Client ID

First Holder Name	
Second Holder Name	
Third Holder Name	

To,  
**Zerodha Broking Ltd.**

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Dear Sir,  
 I/We hereby state that: [select one of the option given below]

**Option 1:**

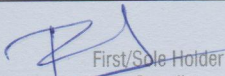
I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/ We have issued a Power of Attorney (POA)/Demat Debit and Pledge Instruction (DDPI) in favour of Zerodha Broking Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

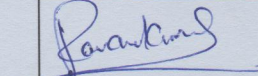
OR


**Option 2:**

I/We do not require the Delivery Instruction Slip(DIS)for the time being, Since I/We have issued a POA/DDPI in favour of Zerodha Broking Ltd. for executing the delivery instructions for settling stock exchange trades [settlement related transaction] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

I/We hereby select Option 1  Option 2

  
 First/Sole Holder  
 or Guardian  
 (in case of Minor)  
 F14

  
 S5  
 Second Holder

  
 T5  
 Third Holder

Client E-mail ID

Optional

RAJU. @ ET MAIL. COM

Client Mobile Number

9900011124

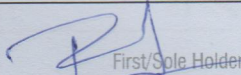
**Declaration**

I hereby declare that the mobile no./email ID mentioned in the Account opening form/ request for change in mobile no./email ID is my own

**Request letter for registration of mobile no./email ID of person belonging to the client's family**

Please note that the mobile no./email id/both mentioned in the Account opening form/ request for change in mobile no./email ID belongs to Mr/Mrs./Ms. \_\_\_\_\_ who is my

\_\_\_\_\_ [relationship with the client]

  
 First/Sole Holder  
 or Guardian  
 (in case of Minor)  
 F15

[Only the mobile no./email id of your spouse, dependent children and dependent parents can be registered in your demat account]



Nomination Details - Annexure 1A

Zerodha Broking Limited

Zerodha Commodities Private Limited

Date

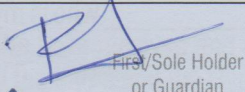
02/11/2023

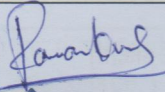
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Name	RAJU S	UCC	Demat ID
------	--------	-----	----------

Nomination Details

Up to 3 nominations can be made	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Name of the nominee(s) (Mr./Ms.)	Ajith	Shiva	
Share of each nominee <input checked="" type="checkbox"/> Distribute equally <small>[If not equally shared, please specify %age]</small>	50%	50%	
Any odd lot after division shall be transferred to the first nominee mentioned in the form.			
Relationship With the Applicant (if any)	Brother	Brother	
Address of nominee(s)			
Mobile/Telephone no. of nominee(s)			
Email ID of nominee(s)			
Proof of identity submitted for nominee(s)			
<b>Fill below details only if the nominee is a minor</b>			
Date of birth			
Name of the guardian			
Address of guardian(s)			
Mobile/Telephone no. of guardian(s)			
Email ID of guardian(s)			
Relationship of guardian with nominee			
Proof of identity submitted			

  
 F17 First/Sole Holder or Guardian (in case of Minor)

  
 S6 Second Holder

  
 T6 Third Holder

Note:

- This nomination shall supersede any prior nomination made by the account holder(s), if any.
- The Trading Member / Depository Participant shall provide acknowledgment of the nomination form to the account holder(s).



# Zerodha Broking Ltd.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,  
Opp. Clarence School, Bangalore - 560078

Date : 02/11/2023

## Declaration form for opting out of nomination (Annexure 1B)

To,  
**Zerodha Broking Ltd.**  
153/154, 4th Cross, Dollars Colony,  
4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore -  
560078.

DP ID

Client ID

First Holder Name	<input type="text"/>
Second Holder Name	<input type="text"/>
Third Holder Name	<input type="text"/>

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/demat account.

**F17** First/Sole Holder  
or Guardian  
(in case of Minor)

**S6** Second Holder

**T6** Third Holder

**Note:** You can add a nominee to your account online on [console.zerodha.com](https://console.zerodha.com) after the account is opened.



From,

RAJU S

To,  
Zerodha Commodities Pvt. Ltd.,  
153/154, 4th Cross 4th Phase,  
JP Nagar Opp Clarence School,  
Bangalore-560078

Subject: Declaration for Confirmation of Signature

Sir/Madam,

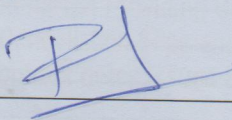
This letter is to confirm that all signatures placed on the account opening forms, and all such other documents towards Zerodha Broking Limited or any of its group entities, are done solely by me with complete knowledge of all such terms and clauses contained therein.

I/We, the undersigned, would like to request Zerodha Broking Limited or any of its group entities to accept the signed account opening forms and documents, even in case there may be slight variations on a few pages/areas of the document.

I/We, the undersigned, further would like to represent and warrant that in case of any misrepresentation with respect to the signatures placed on the account opening forms or all such documents towards Zerodha Broking Limited or any of its group entities by me/us, I/We will indemnify Zerodha Broking Limited and its directors/employees/agents for all such actions.

Yours faithfully,

Signature:



Name:

RAJU S



2nd Holder

### Know Your Client (KYC) Application Form - for Individuals

Please fill this form in English and BLOCK Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

<b>For office use only</b> (To be filled by the financial institution)				
Application Type*	<input type="checkbox"/> New	<input type="checkbox"/> Update	KYC Number	<input type="text"/>
Account Type*	<input type="checkbox"/> Normal	<input type="checkbox"/> Simplified (for low risk customers)	<input type="checkbox"/> Small	



#### A. Identity details

<input type="checkbox"/> 1. Name (Same as ID Proof)	PAVAN KUMAR R
1a. Maiden Name (If any)	
<input type="checkbox"/> 2. Father's/Spouse's Name	RAMANANDHAN
2a. Mother's Name	SEETHA DEVI

3a. Gender  Male  Female  Transgender 3b. Marital Status  Single  Married  Other 3c. DOB 05061998  
 4a. Citizenship  Indian  Other \_\_\_\_\_ (ISO 3166 Country Code  )  
 4b. Residential Status  Resident Individual  Non Resident Indian  Person of Indian Origin  Foreign National

**Tick if applicable**  Residence for tax purposes in jurisdiction(s) outside India  
 ISO 3166 Country Code of Jurisdiction of residence  Place of birth BENGALURU  
 Tax Identification Number or Equivalent  ISO3166 Country Code of Birth

5a. PAN ABFCPI080M

5b. Unique Identification Number (UID) / AADHAR

6. Proof of Identity Submitted  Pan Card  Other (Please Specify) \_\_\_\_\_

#### B. Address details

1. Contact Details  
 Telephone (Office)  Mobile No 8056111890  
 Telephone (Residence)  Email ID Pavan0672@gmail.com

2. Residence/Correspondence Address Address Type:  Residential  Business  Unspecified

Address	<u>#05 Ajay layout, Bannerghatta Road, Kalkere</u>		
City/Town	<u>Bengaluru</u>	District	
State/U.T Code	<u>Karnataka</u>	Pin Code	<u>560078</u>
		Country/ISO Code	
Specify the Proof of Address Submitted for Residence / Correspondence Address			

#### C. DECLARATION

I/We declare that the details furnished above are true and correct to the best of my knowledge and undertake all liabilities w.r.t any incorrect information, I also confirm to inform Zerodha w.r.t any changes in the future. I/We are also aware that for Aadhaar OVD based KYC, my KYC shall be validated against my Aadhaar. I/We hereby consent to sharing my/our masked Aadhaar with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I/We or Zerodha have a business relationship for KYC purposes only. I/We hereby consent to receiving information from CVL KRA & C-KYC Registry through SMS/Email on the above registered number/Email ID.

Pavan Kumar  
 F2 Client Signature

Date : D D M M Y Y Y Y

#### FOR OFFICE USE ONLY

In Person Verification (IPV) Details:  
 Name of the Person who has done the IPV: \_\_\_\_\_  
 Designation: \_\_\_\_\_ Employee ID: \_\_\_\_\_  
 Name of the Organization: ZERODHA BROKING LTD.  
 Date of the IPV: D D M M Y Y Y Y Signature of the Person who has done the IPV \_\_\_\_\_ Seal/Stamp of the Intermediary \_\_\_\_\_

Originals Verified and Self-Attested Document Copies Received

Date \_\_\_\_\_ Signature of the Authorized Signatory \_\_\_\_\_

Sign wherever you see



3. Permanent Address

*Same as above*

Address									
City/Town			District			Pin Code			
State/U.T Code			Country/ISO Code						

4. Address in the jurisdiction details where applicant is resident outside India for tax purpose (if applicable)

Address									
City/Town			District			Pin Code			
State/U.T Code			Country/ISO Code						

**D. Details of related person** (In case of additional related persons, please fill below details)

Addition of Related Person  Deletion of Related Person

KYC Number of Related Person (if available)

Related Person Type  Guardian of Minor  Assignee  Authorized Representative

Name									
------	--	--	--	--	--	--	--	--	--

(If KYC number & name are provided, below details are optional)

**Proof Of Identity Of Related Person**

Identity Proof Submitted	Number									
--------------------------	--------	--	--	--	--	--	--	--	--	--

Expiry Date :

Others (any document notified by the Central Govt.)	Identification No									
Simplified Measures Account-Document Type Code	Identification No									

**Trading account related details**

**A. Bank account details**

Account Type: Savings  Current  Others  | In case of NRI Account: NRE  NRO

Bank Name											
Branch Address											
Account Number											
MICR Number						IFSC Code					

**B. Other details**

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh  1-5 Lakh  5-10 Lakh  10-25 Lakh  25 Lacs to 1 Cr  >1Cr

Or Net-worth as on \_\_\_\_\_ date \_\_\_\_\_ (Net worth should not be older than 1 year)

**Occupation**

Private Sector  Public Sector  Government Service  Business  Professional  Agriculturist  Retired   
Housewife  Student  Self Employed  Others (please specify) \_\_\_\_\_

Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note: Physical  Electronic

Please tick, if applicable: Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)

In what capacity do you trade commodities?

Farmer/Farmer Producer Organisation  Value Chain Participant  Others



Zerodha

Zerodha

I/We v  
shall r

Name

Nominati

Up to 3

Name

Share

Relati

Addr

Mc

Er

Pr

F

C

I

From,

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

To,  
Zerodha Broking Ltd, 153/154  
4th Cross 4th Phase,  
JP Nagar Opp Clarence School,  
Bangalore-560078

Subject: Declaration for Confirmation of Signature

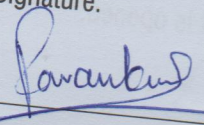
Sir/Madam,

This letter is to confirm that all signatures placed on the account opening forms, and all such other documents towards Zerodha Broking Limited or any of its group entities, are done solely by me with complete knowledge of all such terms and clauses contained therein.

I/We, the undersigned, would like to request Zerodha Broking Limited or any of its group entities to accept the signed account opening forms and documents, even in case there may be slight variations on a few pages/areas of the document.

I/We, the undersigned, further would like to represent and warrant that in case of any misrepresentation with respect to the signatures placed on the account opening forms or all such documents towards Zerodha Broking Limited or any of its group entities by me/us, I/We will indemnify Zerodha Broking Limited and its directors/employees/agents for all such actions.

Yours faithfully,  
Signature:

  
\_\_\_\_\_

Name:

\_\_\_\_\_