

Transmission Request Form

To, Zerodha Broking Limited, #192A 4th Floor, Kalyani Vista, 3rd Main Road JP Nagar 4th Phase
Bengaluru - 560076

Date

SL No.	Documents	Attestation	Nominee ☐	Without Nominee above 30K-30L ☐	Without Nominee above 30L ☐	Submitted Status
1	Transmission Request Form	Signed	Req	Req	Req	
2	Client Master List/Report (CML/R) of claimant/s demat account	NA	Req	Req	Req	
3	Death Certificate	Original/Notarized/QR code	Req	Req	Req	
4	Nomination Form or Nomination Opt-Out form	NA	Req	Req	Req	
5	Bond of Indemnity (BOI)	All Claimant/s with notarized	NA	Req	Req	
6	Affidavit Cum No objection certificate Or/And Family settlement deed (Notarized/Gazetted officer/Approved by a Magistrate/Judge/Civil Court)	All Claimant/s and non claimant/s with Notarized.	NA	Req	Req	
7	Any one from below (A,B,C,D,E,F)	NA	NA	-	Req	
A	Legalheirship/Surviving membership Certificate and BOI	Issued by relevant authority not below the rank of a Tehsildar or equivalent authority	NA	NA	-	
B	Will and BOI	As per Indian Succession Act, 1925	NA	NA	-	
C	Court Decree.	Issued by Court	NA	Optional	-	
D	Probate of the Will	Issued by Court	NA	Optional	-	
E	Succession certificate	Issued by Court	NA	Optional	-	
F	Letter of Administration.	Issued by Court	NA	Optional	-	
Note:	If claimant is a minor – Copy of Birth Certificate or School leaving certificate/ Passport or Aadhaar (where the date of birth is available)	Attested by the Natural/Legal Guardian	Req	Req	Req	
	KYC of Guardian (if claimant is a minor or of unsound mind)	Signed	Req	Req	Req	
	FATCA / CRS declaration form for the NRI	Signed	Req	Req	Req	
For any court issued documents as mentioned above (A-F), the BOI and Affidavit-cum-NOC is not applicable						

PART A – CLAIMANT AND DECEASED HOLDER INFORMATION

Deceased Details

Name		Trading ID	
Demat BO ID (16 digits ID)	1208160__	Date of Death	

Nominee's/Claimant's Details

SL No.	Name	BO ID	PAN/PEKRN (Mention only number)	Percentage
1				
2				
3				

PART B – DETAILS OF SECURITY

I/we, the nominee/legal heir/claimant named hereinabove, hereby inform you about the demise of the above- mentioned security holder/s and request you to transmit the securities held by the deceased security as listed below in my/our favor in my/our capacity as:	☐ Nominee/s	☐ Successor to the Estate of the deceased
	☐ Legal Heir/s	☐ Administrator of the Estate of the deceased

SL No.	ISIN	Name of the Securities	No. of Securities
1	IN		
2	IN		
3	IN		
4	IN		
5	IN		
6	IN		
7	IN		
8	IN		
9	IN		
10	IN		

Part C – Other information about Nominee / Legal Heir / Claimant (Applicable only for transmission of units held in SOA)-Not Applicable

Part D – Consent by other Nominee/s to be added as Joint Holder/s (Applicable only in case of claims submitted by nominee)-Not Applicable

Part E – Declaration and Responsibility Clause

I/We confirm that the above documents have been submitted and that the information, facts, and particulars furnished by me/us in this undertaking and the accompanying documents are true, correct, and complete to the best of my/our knowledge and belief, and no material information / fact has been concealed or misrepresented therein. I/We further undertake and confirm that in the event any information, declaration, or document furnished by me/us herein is subsequently found to be false, incorrect, incomplete, forged, or fraudulent, the responsibility and liability for resolving the resultant discrepancy, dispute, or claim – including any consequential loss, cost, or legal action – shall rest solely and exclusively with me/us, the claimant/s, and I/We shall keep the Company/AMC/RTA/Depository Participant fully indemnified and harmless against any loss, claim, demand, or liability arising directly or indirectly therefrom. I/We also knowledge to share the above demise information to the regulatory authorities or statutory authorities or to the entities entrusted by the regulatory/statutory authorities from time to time.

I hereby solemnly affirm and declare that any access to or use of the Trading and Demat account after the demise of the account holder occurred solely due to bonafide oversight, without any intent to operate, trade or undertake any transactional activity so as to derive unauthorised benefit therefrom. ☐ Yes ☐ No

SL No.	Nominee's/Claimant's Name	Nominee's/Claimant's Signature
1		
2		
3		

Date		Note: If Nominee's/claimant's/legal heir's are Minor, such minor is represented herein by his/her natural(Father/Mother)/legal guardian.
Place		Where any person required to sign this document is unable to sign, he/she may affix his/her notarized left thumb impression in lieu of signature.

For Office use only				
Received		Maker		
Execution		Checker		
	Date		Name	Seal and signature

Deceased BO ID		1208160__		Trading ID			
SL No	ISIN	Securities	Quantity of Nominee's/Claimant's				
			1	2	3		
1	IN						
2	IN						
3	IN						
4	IN						
5	IN						
6	IN						
7	IN						
8	IN						
9	IN						
10	IN						
11	IN						
12	IN						
13	IN						
14	IN						
15	IN						
16	IN						
17	IN						
18	IN						
19	IN						
20	IN						
21	IN						
22	IN						
23	IN						
24	IN						
25	IN						
26	IN						
27	IN						
28	IN						
29	IN						
30	IN						
31	IN						
32	IN						
33	IN						
34	IN						
35	IN						
36	IN						
37	IN						
38	IN						
39	IN						
40	IN						
41	IN						
42	IN						
43	IN						
44	IN						
45	IN						

Note: if required multiple annexures can be attached

Signature			
Name			
	1 st Nominee's/Claimant's	2 nd Nominee's/Claimant's	3 rd Nominee's/Claimant's
Signature and seal of Magistrate/Notary(If nominee is not recorded)		Depository Participants Seal and Signature	