

Transmission Request Form-cum-Undertaking for Quick Transmission Processing (QTP)

To, **Zerodha Broking Limited**, #192A 4th Floor, Kalyani Vista, 3rd Main Road JP Nagar 4th Phase
Bengaluru - 560076

Date

SL No.	Documents	Attestation	QTP with Relationship proof ☐	QTP without Relationship proof ☐	Submitted Status
1	Transmission Request Form (QTP)	Signed	Req	Req	
2	Client Master List/Report (CML/R) of claimants' demat account	NA	Req	Req	
3	Death Certificate (Original/Notarized/QR code)	Original/Notarized/QR code	Req	Req	
4	Nomination Opt-Out form	NA	NA	NA	
5	Relationship Proof (where claimant is spouse/child/parent/parent-in-law)	Self Attested	Req	NA	
6	QTP-Affidavit	All Claimant/s and non claimant/s with Notarized.	NA	Req	
Note:	If claimant is a minor – Copy of Birth Certificate or School leaving certificate/ Passport or Aadhaar (where the date of birth is available)	Attested by the Natural/Legal Guardian	Req	Req	
	KYC of Guardian (if claimant is a minor or of unsound mind)	Signed	Req	Req	
	FACTA / CRS declaration form for the NRI	Signed	Req	Req	

PART A – CLAIMANT AND DECEASED HOLDER INFORMATION

Deceased Details

Name		Date of Death	
Demat BO ID (16digits ID)	12081600__	Trading ID	

Claimant Details

Category of Claimant

☐ Parent ☐ Spouse ☐ Child ☐ Parent-in-law

SL No.	Name	BO ID	PAN/PEKRN (Mention only number)	%
1				
2				
3				

ANNEXURE-A: PROOF OF RELATIONSHIP- (Any one of the below)

A self-attested copy consisting the name of the deceased in the proof of relationship document of the claimant is enclosed herewith:

☐ PAN	☐ Passport	☐ Birth certificate	☐ Will	☐ Letter of Administration
☐ Aadhar	☐ Ration Card	☐ School leaving certificate	☐ Court Decree	☐ Legal Heirship Certificate
☐ Voter ID	☐ Marriage Certificate	☐ School records/service records	☐ Succession Certificate	☐ Probate of Will (where available)

PART B – TRANSMISSION REQUEST FORM

I/we, the claimant/s named hereinabove, hereby inform you about the demise of the above- mentioned security/unit holder/s and request you to transmit the securities/units held by the deceased security/unit holder/s in my favor in my capacity as:

- ☐ Legal Heir
☐ Successor to the Estate of the deceased
☐ Administrator of the Estate of the deceased

PART C – UNDERTAKING

I/we, the claimant named herein above, hereby inform you the legal heir/s of the above- mentioned deceased, residing at the below mentioned address do hereby state and under take as under:

1. The deceased holder held the following securities / MF unit holdings in his/her name as sole/single holder:

SL No.	ISIN	Name of the Securities	No. of Securities
1	IN		
2	IN		
3	IN		
4	IN		
5	IN		
6	IN		
7	IN		
8	IN		
9	IN		
10	IN		

2. The above referred deceased holder died without registering any nominee.

3. That I/We are the legal heir/s of the deceased as per the details in the below table and that we are the only legal heirs/legatees of the deceased holder.

SL No.	Name of the Legal Heir/s	Age	Relationship with the deceased
1			
2			
3			
4			
5			

Therefore, I/We, the Legal Heir/s/Claimant/s, have approached Zerodha Broking Limited with a request to transmit the aforesaid securities in the name of the undersigned legal heir/s/claimant/s, on my/our behalf. I/We, am/are furnishing the documents as mentioned above checklist for transmission of the securities in our name:

PART D – OTHER INFORMATION ABOUT CLAIMANT (Applicable only for transmission of units held in SOA) -NA

PART E – DECLARATION AND RESPONSIBILITY CLAUSE

I/We confirm that the above documents have been submitted and that the information, facts, and particulars furnished by me/us in this undertaking and the accompanying documents are true, correct, and complete to the best of my/our knowledge and belief, and no material information/fact has been concealed or misrepresented therein. I/We further undertake and confirm that in the event any information, declaration, or document furnished by me/us herein is subsequently found to be false, incorrect, incomplete, forged, or fraudulent, the responsibility and liability for resolving the resultant discrepancy, dispute, or claim – including any consequential loss, cost, or legal action – shall rest solely and exclusively with me/us, the claimant/s, and I/We shall keep the Company/AMC/RTA/Depository Participant/Depository fully indemnified and harmless against any loss, claim, demand, or liability arising directly or indirectly therefrom. I/We also acknowledge to share the above demise information to the regulatory authorities or statutory authorities or to the entities entrusted by the regulatory/statutory authorities from time to time for onward circulation/dissemination to all applicable regulated entities.

I hereby solemnly affirm and declare that any access to or use of the Trading and Demat account after the demise of the account holder occurred solely due to bonafide oversight, without any intent to operate, trade or undertake any transactional activity so as to derive unauthorised benefit therefrom. ☐ Yes ☐ No

SL No.	Claimant/s Name	Address and Contact details	Claimant/s Signature
1			
2			
3			
Date	Note: If claimant's/legal heir's are Minor, such minor is represented herein by his/her natural(Father/Mother)/legal guardian.		
Place	Where any person required to sign this document is unable to sign, he/she may affix his/her notarized left thumb impression in lieu of signature.		

For office use only

Received		Maker		
Execution		Checker		
	Date		Name	DP Seal and signature

Deceased BO ID		1208160__		Trading ID			
SL No	ISIN	Securities	Quantity of Nominee's/Claimant's				
			1	2	3		
1	IN						
2	IN						
3	IN						
4	IN						
5	IN						
6	IN						
7	IN						
8	IN						
9	IN						
10	IN						
11	IN						
12	IN						
13	IN						
14	IN						
15	IN						
16	IN						
17	IN						
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28	IN						
29	IN						
30	IN						
31	IN						
32	IN						
33	IN						
34	IN						
35	IN						
36	IN						
37	IN						
38	IN						
39	IN						
40	IN						
41	IN						
42	IN						
43	IN						
44	IN						
45	IN						

Note: if required multiple annexures can be attached

Signature			
Name			
	1 st Nominee's/Claimant's	2 nd Nominee's/Claimant's	3 rd Nominee's/Claimant's
Signature and seal of Magistrate/Notary(If nominee is not recorded)		DP Seal and Signature	

