

**Registered Office :-** A1210, Floor No.12 Titanium City Centre, Nr. Sachin Tower, 100ft Anand Nagar Road, Satellite, Ahmedabad - 380015, Gujarat (India). **Phone :** (079) 40069003, **Fax :** (079) 40069003. **Correspondence Office :-** Office No. 104, Plot No. 1891, Shukan Complex, Rupani Circle to Atabhai Chowk, Bhavnagar - 364 001, Gujarat (India). **Phone :** (0278) (0278)2573100, **Fax :** (0278) 3005903 / 2225903. **Grievance ID :** grievances.commodity@tradedealonline.com; **CIN :** U67110GJ2013PTC073453; **SEBI Regi. No.:** INZ000085739; **Compliance Officer:** Rupal Darji; **Compliance Email:** rupal@tradedealonline.com; **Compliance Tel. No.:** 0278-3005904-14; **Member of MCX & NCDEX**

To,  
 UCC Code/Trading Account Code; \_\_\_\_\_

Name : \_\_\_\_\_

Dear Sir,

Sub: Additional Financial Details

Kindly note that as per the directions of the Financial Intelligence Unit – India (FIU-IND) under the Prevention of Money Laundering Act, 2002 (PMLA), the following details to be obtained with the prevailing regulatory requirement

**Additional Financial Detail for Individual:**

Gross Annual Income Details (please specify): (Income Range per annum)	Below 1 Lacs
	Rs. 1 to 5 Lacs
	Rs. 5 to 10 Lacs
	Rs. 10 to 25 Lacs
	> Rs. 25 Lacs

OR

Networth as on (Date) (Networth should not be older then 1 Year)	D	D	M	M	Y	Y	Y	Y	
	Rs.								

**Additional Financial Detail for Non-Individual:**

Gross Annual Income Details (please specify): (Income Range per annum)	Below 1 Lacs
	Rs. 1 to 5 Lacs
	Rs. 5 to 10 Lacs
	Rs. 10 to 25 Lacs
	Rs. 25 to 1 Crore
> Rs. 1 Crore	

OR

Networth as on (Date) (Networth should not be older then 1 Year)	D	D	M	M	Y	Y	Y	Y	
	Rs.								

Financial Proof	Copy of ITR acknowledgement
	Bank Account Statement for the last six Month
	Copy of Demat holding Statement not older than two month
	Networth Certificate
	In case of salary Income – Salary Slip, Copy of Form 16
	Copy of Annual Accounts

Client Signature: \_\_\_\_\_

SELF DECLARATION FOR FINANCIAL DETAILS

I/We, \_\_\_\_\_ (Name of the Client) having Trading Code \_\_\_\_\_ hereby provide following information.

1. Occupation \_\_\_\_\_
2. Nature of business: \_\_\_\_\_
3. Whether borrowed fund used for trading in securities market: Yes/No
4. Risk appetite: High/Medium/Low
5. Whether promoter/Directors/Trustees/Karta etc. is politically exposed person\*\*: Yes/No
6. Whether promoter/Directors/Trustees/Karta etc. related to any politically exposed person\* : Yes/No

I/We hereby confirm that above declaration is correct.

Client Signature: \_\_\_\_\_

Note: As per Prevention of Money Laundering Act (PMLA), trading of the client has to be in sync with his net worth/income.

\* Net worth means liquid net worth i.e. Assets (excluding immovable properties) – Liabilities

\*\*Politically Exposed Person : Senior figure who is or has been entrusted with prominent public functions e.g., Head of State or of Government, senior politician, senior government / judicial / military officer, senior executive of state-owned corporation, important political party official, etc.