

Registered Office :- A1210, Floor No.12 Titanium City Centre, Nr. Sachin Tower, 100ft Anand Nagar Road, Satellite, Ahmedabad - 380015, Gujarat (India). **Phone :** (079) 40069003, **Fax :** (079) 00000000. **Correspondence Office :-** Office No. 104, Plot No. 1891, Shukan Complex, Rupani Circle to Atabhai Chowk, Bhavnagar – 364 001, Gujarat (India). **Phone :** (0278) 3005904 -14 / 2225904-14, **Fax :** (0278) 3005903 / 2225903. **Grievance ID :** grievances@tradedealonline.com;
CIN : U67120GJ2011PTC065689; **SEBI Regi. No.:** INZ000008730; IN-DP-CDSL-719-2014;
 dp.grievances@tradedealonline.com; Pan No.:AAJCA5184F; Service Tax No.: AAJCA5184FSD001; Compliance Officer: Rupal Darji; Compliance Email:rupal@tradedealonline.com; Compliance Tel. No.: 0278-3005904-14; **Member of NSE, BSE, MSEI, CDSL**

To,
 UCC Code/Trading Account Code; _____ Client ID: _____
 Name : _____

Dear Sir,

Sub: Additional Financial Details

Kindly note that as per the directions of the Financial Intelligence Unit – India (FIU-IND) under the Prevention of Money Laundering Act, 2002 (PMLA), the following details to be obtained with the prevailing regulatory requirement

Additional Financial Detail for Individual

	Sole/First Holder		Second Holder		Third Holder	
Gross Annual Income Details (please specify): (Income Range per annum)		Below Rs. 1 Lac		Below Rs. 1 Lac		Below Rs. 1 Lac
		Rs. 1 to 5 Lacs		Rs. 1 to 5 Lacs		Rs. 1 to 5 Lacs
		Rs. 5 to 10 Lacs		Rs. 5 to 10 Lacs		Rs. 5 to 10 Lacs
		Rs. 10 to 25 Lacs		Rs. 10 to 25 Lacs		Rs. 10 to 25 Lacs
		> Rs. 25 Lacs		> Rs. 25 Lacs		> Rs. 25 Lacs

OR

Networth as on (Date) (Networth should not be older than 1 Year)	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
	Rs.	Rs.	Rs.

Additional Financial Detail for Non-Individual

	Sole/First Autho. Signatory		Second Autho. Signatory		Third Autho. Signatory	
Gross Annual Income Details (please specify): (Income Range per annum)		Below Rs. 1 Lac		Below Rs. 1 Lac		Below Rs. 1 Lac
		Rs. 1 to 5 Lacs		Rs. 1 to 5 Lacs		Rs. 1 to 5 Lacs
		Rs. 5 to 10 Lacs		Rs. 5 to 10 Lacs		Rs. 5 to 10 Lacs
		Rs. 10 to 25 Lacs		Rs. 10 to 25 Lacs		Rs. 10 to 25 Lacs
		Rs. 25 to 1 Crore		Rs. 25 to 1 Crore		Rs. 25 to 1 Crore
	> Rs. 1 Crore		> Rs. 1 Crore		> Rs. 1 Crore	

OR

Networth as on (Date) (Networth should not be older than 1 Year)	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
	Rs.	Rs.	Rs.

Financial Proof	Copy of ITR acknowledgement
	Bank Account Statement for the last six Month
	Copy of Demat holding Statement not older than two month
	Networth Certificate
	In case of salary Income – Salary Slip, Copy of Form 16
	Copy of Annual Accounts

Client Signature: _____

Signature			
	Sole/First Holder/ Autho. Signatory	Second Holder/ Autho. Signatory	Third Holder/ Autho. Signatory

SELF DECLARATION FOR FINANCIAL DETAILS

I/We, _____ (Name of the Client) having Trading Code _____ hereby provide following information.

- 1. Occupation _____
- 2. Nature of business: _____
- 3. Whether borrowed fund used for trading in securities market: Yes/No
- 4. Risk appetite: High/Medium/Low
- 5. Whether promoter/Directors/Trustees/Karta etc. is politically exposed person**: Yes/No
- 6. Whether promoter/Directors/Trustees/Karta etc. related to any politically exposed person* : Yes/No

I/We hereby confirm that above declaration is correct.

Client Signature: -----

Signature			
	Sole/First Holder/ Autho. Signatory	Second Holder/ Autho. Signatory	Third Holder/ Autho. Signatory

Note: As per Prevention of Money Laundering Act (PMLA), trading of the client has to be in sync with his net worth/income.

* Net worth means liquid net worth i.e. Assets (excluding immovable properties) – Liabilities
**Politically Exposed Person : Senior figure who is or has been entrusted with prominent public functions e.g., Head of State or of Government, senior politician, senior government / judicial / military officer, senior executive of state-owned corporation, important political party official, etc.