

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2025**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Aayushi Sanghavi, Company Secretary and Compliance Officer of 360 ONE Alternates Asset Management Limited ("**Company**"), am aware of the compliance requirement of Structured Digital Database ("**SDD**") pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place;
2. control exists as to who can access the SDD;
3. all the UPSI disseminated in the previous quarter have been captured in the Database: Not Applicable
4. the system has captured nature of UPSI along with date and time;
5. the database has been maintained internally, and an audit trail is maintained;
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was not required to capture any event during the quarter ended December 31, 2025.\*

I would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NIL

*\*Note: The Non-Convertible Debentures issued by the Company were listed on the Stock Exchange ie. BSE on December 09, 2025.*

**For 360 ONE Alternates Asset Management Limited**

**Aayushi Sanghavi**  
**Company Secretary & Compliance Officer**  
**Mem. No. A52128**  
**Place: Mumbai**  
**Date: January 9, 2026**